1	Morphology and phylogeny of <i>Prorocentrum venetum</i> Tolomio & Cavolo (Dinophyceae)
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19	Running title: Study of Prorocentrum venetum
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23	Abstract
24	Prorocentrum venetum was one of the first species of the genus Prorocentrum described by
25	scanning electron microscopy by Tolomio and Cavolo in 1985. Since the first observation of
26	the species in the Venice Lagoon (Italy) in summer 1981, it has not been found reported again
27	in published phytoplankton records in Mediterranean waters or elsewhere. Two strains were
28	isolated from a French Mediterranean lagoon, which were morphologically identified as
29	P. venetum by microscopy. Based on rDNA sequences (spanning from the 18S rDNA to the D3
30	region of the 28S rDNA), the phylogenetic analysis demonstrated that P. venetum belongs to
31	the same clade as Prorocentrum triestinum and Prorocentrum redfieldii. The analysis of
32	scanning electron micrographs provided an in-depth morphological description of the theca,
33	particularly on the pore pattern and new structural details of the platelets in the periflagellar

34	area. These morphological characteristics were compared with the closely related species within
35	the P. triestinum clade, which showed synapomorphic characters in the periflagellar area (small
36	accessory pore, platelet pattern, shape of the apical wing). Further comparison of characteristics
37	varying between species in this clade and in the sister clade encompassing species related to
38	Prorocentrum micans suggested some features of morphological evolution within this part of
39	the genus.
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41	Highlights
42	• Detailed morphological description with new structural details of the periflagellar area
43	of Prorocentrum venetum.
44	• First rDNA sequence and phylogenetic analysis of <i>P. venetum</i> .
45	• <i>P. venetum</i> belongs to the same clade as <i>P. triestinum</i> and <i>P. redfieldii</i> .
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48	Keywords

- 49 rDNA sequence, morphology, phylogeny, taxonomy
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#### 52 **1. Introduction**

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*Prorocentrum* (Ehrenberg, 1834) is a thecate dinoflagellate genus with a peculiar morphology 54 within this lineage. The theca is composed of two large lateral plates joined by the sagittal 55 suture and of the periflagellar area which is a set of small platelets around the flagellar and 56 57 accessory pores inserted apically between these two plates (Hoppenrath et al., 2013). The genus is distributed worldwide, mostly marine. It is diverse in terms of morphology and habitats, 58 comprising planktonic and benthic species. For a century and half after the description of the 59 60 type species Prorocentrum micans Ehrenberg (Ehrenberg, 1834), all species were described by light microscopy. With the advent of new technologies, first for observation by scanning 61 electron microscopy, and then for genetic analysis mainly by rDNA sequencing, the new species 62 were then gradually described with more and more fine and precise criteria. Thus, even for old, 63 64 well-established species with worldwide distribution, it has become obvious that a new clarified description may be needed (e.g., Tillmann et al., 2019, 2022). For P. micans reinvestigated by 65 66 Tillmann et al. (2019), this came after new cryptic species were erected (Han et al. 20016, Henrichs et al., 2013), to some extent challenging of the limits of accepted morphological 67 plasticity in many Prorocentrum species (e.g., Bursa, 1959, 1962; Hulburt, 1965; Cohen-68 Fernandez et al., 2006). In addition, new Prorocentrum species are still regularly described 69 (e.g., Arteaga-Sogamoso et al., 2023; Tillmann et al., 2023a, b; Gómez et al., 2023). 70

71 The small planktonic species Prorocentrum venetum Tolomio & Cavolo was first described from waters collected in Venice Lagoon (Italy), near Alberoni fort along the Canale del 72 Lemento, during summer 1981, when the organism formed a dense and almost monospecific 73 bloom (Tolomio & Cavolo, 1985). Original material had been stored by the authors in the 74 cryptogamy herbarium of the Biology Department of the University of Padua (Padova, Italy), 75 but upon request to the Biology Department, it was established that this material was obviously 76 77 lost (L. Trainotti, pers. com.) and was therefore no longer available for further analysis. The description of *P. venetum* was one of the first made using scanning electron microscopy (SEM) 78 79 for a species of *Prorocentrum*. All observations were made from formalin-fixed material: light microscopy (LM) and SEM observations (two and six published micrographs, respectively) 80 were reported under relatively low magnifications. Cell orientation (i.e., the designation of right 81 and left lateral thecal plates) was done following Bergh (1881) and Loeblich et al. (1979), 82 whereas most species were described with the reverse orientation following Stein (1883), which 83 84 has now become the conventional designation (Taylor, 1980; Fensome et al., 1993; Hoppenrath 85 et al., 2013).

Since the first description of P. venetum, the distribution of this species has remained 86 undocumented, as it seemed not to have been reported again in published phytoplankton records 87 in Mediterranean waters or elsewhere (Gómez, 2008; Guiry, 2022). The validity of 88 phytoplankton taxa never reported again after their original description can sometimes be 89 challenged (e.g., Thessen et al., 2012). In a review examining the taxonomical status of 90 Prorocentrum mexicanum, Gómez et al. (2017) proposed that P. venetum should be considered 91 a synonym of P. mexicanum, given the similarity in cell shape and morphology of the two 92 species. In contrast, Tillmann et al. (2022) suggested that P. venetum could belong to the 93 94 Prorocentrum triestinum/Prorocentrum redfieldii lineage based on similar morphological features including the position of the periflagellar area and the pattern of thecal pores. No 95 genetic data from specimens identified as P. venetum have yet been reported to date to establish 96 the phylogenetic position of the species in the genus *Prorocentrum*. Therefore, a morphological 97 98 reinvestigation of P. venetum and its genetic characterization with rDNA sequencing were needed. 99

In this paper, the isolation of two *Prorocentrum* strains from a Mediterranean coastal lagoon is reported. The general morphology, ultrastructure and rDNA phylogenetics have been investigated and LM and especially SEM morphological data were consistent with the description of *P. venetum* by Tolomio & Cavolo (1985). High magnification SEM enabled clarifying ultrastructural details of the species and the closest *Prorocentrum* species were identified by phylogenetic analysis of ribosomal DNA (rDNA).

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### 107 2. Material and methods

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#### 109 2.1. Sampling, strain isolation and culture methods

Two Prorocentrum venetum strains, AYR20082019-3C4 and AYR20082019-3E9 (abbreviated 110 AYR19-3C4 and AYR19-3E9), were isolated on 20 August 2019 from the Ayrolle Lagoon 111 (43°04'44"N, 3°04'12"E). This shallow oligotrophic coastal Mediterranean lagoon has a 112 surface area of 13.2 km<sup>2</sup> and an average depth of 0.5 m. Its water shows important annual 113 variations in salinity and temperature (Bec et al., 2011; Grzebyk et al., 2017); on sampling day, 114 the water temperature was 20 °C and salinity was 43. Seawater (201) was filtered through a 20-115 μm sieve, passed onto a 5-μm sieve, and the 5-20 μm phytoplankton thus collected were then 116 used for cell isolations. Clonal cultures were obtained by isolating a single cell by micro-117 pipetting using a sharpened Pasteur pipette under a Axiovert inverted microscope (Zeiss, Jena, 118 Germany), and inoculation in a well of a 96-well culture plate containing enriched natural 119

seawater (ENSW) culture medium (Andersen, 2005) at a salinity of 34. Cells were grown at
18.5 °C in a climate chamber with a 12:12 h light-dark photoperiod. Well-developed cultures

were selected and transferred to wells of 24-well plates containing 2 ml of culture medium, and

subsequently in 50-ml suspension culture flasks (Greiner Bio-One, Frickenhausen, Germany),

- and grown as described before.
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## 126 2.2 Morphological analyses

Observation of living or fixed cells (formaldehyde: 1% final concentration, or neutral Lugol-127 fixed: 1% final concentration) was carried out using an inverted microscope (Axiovert 200M; 128 Zeiss, Jena, Germany) and a compound microscope (Axiovert 2; Zeiss), both equipped with 129 epifluorescence and differential interference contrast optics. Light microscopy examination of 130 thecal plates of cells stained with Solophenyl Flavine 7GFE500 (Chomérat et al., 2017) was 131 132 performed using epifluorescence microscopy with epifluorescence filter set 09 (Zeiss; BP 450-490; FT 510; LP 515). The shape and location of the nucleus was determined after staining of 133 formalin-fixed cells with 4'-6-diamidino-2-phenylindole (DAPI, 0.1 µg ml<sup>-1</sup> final 134 concentration) for 10 min. Images were taken either with a digital camera (Axiocam MRc5; 135 Zeiss), or videos were recorded using a digital camera (Gryphax; Jenoptik, Jena, Germany) at 136 full-HD resolution. Single frames were extracted using Corel Video Studio software (Version 137 X8; Coral, Ottawa, Canada). Cell length and depth of freshly fixed cells (neutral Lugol, 1 % 138 final concentration) from dense but healthy and growing strains during late exponential phase 139 were measured at microscopic magnification of 1000x using the compound microscope and the 140 Axiovision software (Zeiss). 141

142 Observations using scanning electron microscopy (SEM) were performed as described in143 Tillmann et al. (2023b).

For transmission electron microscopy (TEM), cells from strain AYR19-3C4 were concentrated 144 in a microfuge tube by slow centrifugation (8 g for 1.5 min). The pellet was prefixed with 2.5% 145 glutaraldehyde in filtered seawater at 4 °C for 60 min. Cells were washed twice in filtered 146 147 seawater before post-fixation with 2% OsO4 in filtered seawater at room temperature for 60 min. Fixed cells were dehydrated through a graded series of ethanol (30%, 50%, 70%, 10 min 148 each; 85%, 90%, 95%, 2x 100%, 15 min each), then in 100% propylene oxide (twice, 15 min 149 each), infiltrated with propylene oxide-resin mixtures (2:1, 1:1, 1:2), and embedded in EMBed-150 812 resin (Science Services, Munich, Germany). The block was polymerized at 60 °C for 22 h 151 and sectioned with a diamond knife on a Reichert Ultracut microtome (Reichert-Jung, Vienna, 152 153 Austria). Thin sections were directly viewed under an EM 900N TEM (Zeiss) operated at 80

154 kV. Digitized images were taken with a *sharp:eye* Wide-angle Dual Speed CCD-camera (TRS,

155 Dünzelbach, Germany) operated by the ImageSP software (TRS, Dünzelbach, Germany).

156 Terminology of cell orientation and designation of thecal plates and platelets follows

157 Hoppenrath et al. (2013) supplemented by Tillmann et al. (2019).

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### 159 *2.3 Sequencing and phylogenetic analyses*

Genomic DNA (gDNA) extraction and purification was performed using the PureLink Plant 160 Total DNA Purification kit (Invitrogen, Carlsbad, California, USA). Pelleted cells (1.0 ml of 161 162 culture) were resuspended in 250 µl of provided lysis buffer R2, then after the addition of 15 µl of SDS, were incubated at 55 °C for 15 min in a in a water bath sonicator Sonorex RK100H 163 (Bandelin, Berlin, Germany) working at 35 kHz frequency. RNAs were then digested after 164 RNase addition and incubating again at 55 °C for 15 min in the water bath sonicator. 165 166 Manufacturer's instructions were then followed, allowing gDNA adsorption onto a purification column, cleaning steps with a washing buffer, and ultimately, gDNA was eluted with 100 µl of 167 the provided elution buffer (10 mmol l<sup>-1</sup> Tris at pH 8.0). The eluted gDNA solution was 168 supplemented with 0.2  $\mu$ l of EDTA solution (0.5 mol 1<sup>-1</sup> at pH 8.0) and then stored at -24 °C. 169

170 An assembled rDNA sequence that included the 18S rRNA gene, the internal transcribed spacer (ITS) region (ITS1, the 5.8S rDNA, and ITS2), and the D1-D3 region of the 28S rRNA 171 gene (hereafter called 18S-D3 sequence) was constructed with sequencing data obtained from 172 two overlapping PCR-amplified rDNA fragments (Grzebyk et al., 2022). The PCR primers used 173 for PCR and sequencing are described in supplementary material (Table S1). The 18S rDNA 174 fragment was amplified with the primer pair 18S-F and 18S-PROT-R. The second rDNA 175 fragment, which included the 18S rDNA end, the ITS region and the beginning of the 28S 176 rDNA, was obtained with the 18S-I3F forward primer that hybridizes to the 3' end of 18S rDNA 177 (allowing a ~600-bp overlap with the 18S rDNA amplicon sequence) and the D3b-R reverse 178 primer hydridizing at the end of D3 domain of 28S rDNA. The PCR reactions were performed 179 using and the PrimeSTAR GXL DNA Polymerase Kit (Takara Bio Inc., Japan) with a high-180 181 fidelity enzyme, in a Mastercycler Ep Gradient S thermal cycler (Eppendorf, Germany). The PCR programme consisted of 40 cycles: 98 °C for 15 s, 52 °C for 15 s, and 68 °C for 2 min, 182 followed by a final elongation period at 68 °C for 2 min. The amplicons were purified with the 183 QIAquick PCR Purification Kit (Qiagen), and sequenced with the appropriate sequencing 184 primers (Table S1), the Big Dye Terminator V3.1 kit and an ABI 3500XL Genetic Analyzer 185 (Applied Biosystem, Foster City, CA, USA) at the ISEM-Labex CEMEB sequencing facility 186

187 (Montpellier University, France). The sequence chromatograms were checked by eye and the

188 DNA fragments were assembled using the BioEdit v7.2.6.0 program (Hall, 1999).

Due to the heterogeneous distribution of reference sequence data for the genus Prorocentrum 189 concerning the 18S rDNA, the ITS region and the D1-D3 region of 28S rDNA, their sequencing 190 data were used in separate phylogenetic analyses. The 28S rDNA phylogenetic analysis focused 191 on the D1-D2 region because the D3 domain was not determined in many reference sequences, 192 and given the powerful phylogenetic information retained in this D1-D2 rDNA barcode region 193 (Grzebyk et al., 2017). Reference sequences were selected by BLASTN similarity analyses 194 (Altschul et al., 1990) using the web interface NCBI BLAST (Johnson et al., 2008) and the 195 GenBank nucleotide database. Alignments were generated with CLUSTAL X 2.1 (Larkin et 196 197 al., 2007) and were refined by eye using the BioEdit program (Hall, 1999). Phylogenetic analyses were performed with the online application Phylogeny.fr (Dereeper et al., 2008) run 198 199 by the ATGC bioinformatics facility (http://www.atgc-montpellier.fr/). The "A la Carte" mode was used with the corrected alignment, in which the phylogenetic analysis pipeline 200 201 implemented PHYML 3.0 (Guindon et al., 2010) with applied settings including the HKY85 substitution model and four categories of substitution rates, and the estimation by the program 202 203 of the Gamma distribution parameter, the proportion of invariable sites and the transition/transversion ratio. The estimation of branch support in the phylogenetic tree was 204 statistically tested with the SH-like approximate likelihood-ratio test (Anisimova & Gascuel, 205 2006). 206

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#### 208 **3. Results**

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210 *3.1. Detailed description* 

In culture, the cells of both strains AYR19-3C4 and AYR19-3E9 were photosynthetic and had
a planktonic lifestyle. Both strains shared identical morphological details. The LM and SEM
morphological descriptions and all morphometric analyses were based on the study of these two
strains, while the TEM ultrastructural study was carried out only with the AYR19-3C4 strain.
This strain is described here (Figs 1–6), and micrographs obtained with strain AYR19-3E9 are
shown in the supplementary material (Figs S1–S3).
Cells were 19.0-24.4 µm long, 11.4-17.4 µm deep and 8.1-15.0 µm wide; the length to depth

ratio (1:d) was 1.52-2.52 (Table 1). Using light microscopy, cells observed in lateral view had

an oval shape with a barely visible anterior projection (Figs 1A-H, Figs S1A, B). The dorsal

220 curvature was elliptic whereas the ventral curvature was more swollen and formed a ventral

bulge near the anterior end, making the contour of thecal plates slightly asymmetrical. This 221 asymmetry was accentuated by the small spine-like apical projection located in a slightly central 222 to dorsal subapical position (Figs 1A-E). At the posterior end, the cell shape was variable, 223 showing nearly rounded shapes (Fig. 1C; Figs S1C, P), or slightly pointed with rounded tip 224 close to the vertical axis (Fig. 1V; Fig. S1O), or with a short, flattened edge with a barely visible 225 tip slightly oriented ventrally (Figs 1E, G; Figs S1E, N, P). In dorsal/ventral view, the cell shape 226 was lenticular (Figs 1I-N). The posterior end was pointed in narrow cells (Figs 1I-J; Figs S1G, 227 Q) but was truncate in enlarged cells (Figs 1K-N; Figs S1J, K, S). The pusule having the 228 appearance of a hyaline sack structure was located in the anterior part of the cell (Figs 1A-F, 229 H, I; Figs S1B-E). Golden-brown coloration in light microscopy and red fluorescence of 230 chlorophyll under the epifluorescence microscope showed two lobed chloroplasts extending 231 laterally under each thecal plate (Figs 1A-J, P-S, Z; Figs S1B-E, O-U, W), often having an 232 233 indentation occupied by the pusule system in the anterior end of cell (Fig. 1Aa). In lateral view, a putative roundish pyrenoid was sometimes visible in central position of cells, between the 234 235 pusule and the nucleus (Figs 1B, D, F, G, Aa). The round nucleus occupied most of the posterior half of the cell (Figs 1C, E, G-L, Ab-Ad; Figs S1C, H-N, X). The nucleus shape could be more 236 irregular probably in early stage of nuclear division (Fig. 1Ad). Thecal pores were difficult to 237 recognize and visible only without cellular content (Figs 1W, X) or after theca staining (Figs 238 1T-V). Rod-shaped structures (trichocysts) were not observed in the anterior cell area. 239

Scanning electron microscopy observations were obtained from both strains (strain AYR-3C4: 240 Figs 2-3; strain AYR-3E9: Figs S2-S3). Morphometric data showed moderate variations in the 241 morphological features of the cells within and between the two strains (Table 1). The thecal 242 surface of the two plates and the periflagellar platelets was smooth (Figs 2, 3, S2, S3). The 243 intercalary band at the suture of thecal plates was almost lacking in narrow cells (Fig. 2A, E-F; 244 Fig. S2C), and was broad and smooth with transverse striation in large cells (Figs 2C, I, L; Figs 245 S2G-I). Both lateral plates were perforated with pores except in their central area (Figs 2A-I, 246 S2A-G). There were three different types of pores (Figs 2K-N, 3F-J; Fig. S2M). The first two, 247 248 denoted as large and small pores, were each in similar numbers on the right and left plates (Table 1). The large pores were numerous (24-39 per thecal plate) and consisted of a circular 249 depression (0.31-0.61 µm outside diameter) at the bottom of which was a small round orifice 250 (0.11-0.22 µm in diameter) at the top of a tubular structure. The internal tubular structure could 251 be oblique (Figs 3G, H). There were fewer small pores (7-17 per plate) which were flush with 252 the plate surface and were generally scattered near the large pores. The mean diameter of the 253 254 pore orifice of small pores (0.11-0.16 µm) was similar to that of large pores (Table 1), but the orifice diameter of large pores was more variable (up to 0.22  $\mu$ m, Table 1). The third type of pore (denominated as mini-pore) was a tiny, single pore located at the slightly protruding tip at the posterior end of the cell (Figs 3I, J). Its diameter < 0.1  $\mu$ m was significantly smaller than the orifice of the other pores. The mini-pore was difficult to identify or was not always observed on examined plates.

On the two lateral plates, large and small pores were arranged together in groups, which 260 positions were approximately mirror-arranged on the right and the left plates (Figs 4A-B, E). 261 Groups were numbered clockwise on the right plate starting from the ventral edge of the 262 periflagellar area and ending at the dorsal edge (from R1 to R10), and symmetrically counter-263 clockwise on the left plate (L1 to L10) (Fig. 4E). In each group, the number of pores varied by 264 a few units between cells of each strain and between the two strains (Supplementary Tables S2, 265 S3), and the location of each pore varied more or less on the plate and in relation to neighbouring 266 267 pores. Most groups contained a single small pore, but there were often two in R2/L2 and R9/L9. Only R6/L6 did not have a small pore but sometimes a mini-pore instead. In pore groups, pores 268 were either scattered or arranged in short rows (Fig. 2A-I). On the right plate, the first row of 269 pores R1 was located on the ventral edge of the periflagellar area along platelets 4 and 5 (Figs 270 271 2K, L, 3A, B), while pores in the homologous row L1 were aligned approximately perpendicular to the edge of plate (Fig. 2N). The anterior half of plates had three other groups 272 with scattered pores, one on the ventral edge (R2/L2) and two on the dorsal edge (R9/L9 and 273 R10/L10) (Figs 2A-I). On the posterior half, the smallest group of pores (R5/L5) contained only 274 one large and one small pore (Figs 3F, I, J) and there were five rows of pores (Figs 2A-I). Four 275 rows radiated almost perpendicularly from the plate edge: two rows from the ventral curvature 276 (R3/L3 and R4/L4) and two from the dorsal curvature (R7/L7 and R8/L8). In the last row 277 (R6/L6), the large pores were arranged evenly spaced along the dorsal edge of the posterior end 278 (Figs 3F, I, J). 279

The periflagellar area was enclosed in a shallow V- to U-shaped recess in the right thecal plate, 280 much of it located ventrally to the longitudinal axis of the cell. It was approximately lenticular 281 282 in shape, with dimensions of 3.4-4.3 µm in depth and 1.7-2.5 µm in width (Table 1). It was composed of eight platelets: 1, 2, 3, 4, 5, 6, 7, 8 (Figs 3A-E; Fig. 4F; Fig. S3). The dorsally 283 284 located platelet 1 was the largest, with a size making nearly half of the periflagellar area. It was roughly triangular in shape and partly elevated (forming a sort of hump) above to the edge of 285 the lateral plates (Figs 2K, M, N). Platelet 1 bore the most prominent apical projection (0.80-286 1.43 µm high; Table 1), rising on the internal edge along platelets 2, 7, 8 and 6 (Figs 3A-D). 287 288 This very broad projection was a wing with a visor shape, rising above platelet 7 and the

- accessory pore (Figs 2K, 3A). The ventral platelet 4 was flat (Figs 3A-E). The flagellar pore
- 290 was surrounded by platelets 3, 5, 6 and 8, all of which bore a low list bordering the pore as a
- sort of collar (Figs 3A-E). The list on platelet 6 pointed in the form of a small protrusion rising
- slightly above the pore (Figs 3B, D, E). The flagellar pore was oval in shape, measuring 0.9-
- 293 1.3 μm long and 0.6-0.9 μm wide (Table 1); it was closed by two lip-like structures (Fig. 3A-
- B). The accessory pore was inserted between platelets 7 and 8; it was approximately round and
- tiny in size with a diameter of ~0.15  $\mu$ m (Fig. 3B-D).
- Intracellular ultrastructure examined by TEM (Figs 5, 6) complemented observations made by 296 297 light and epifluorescence microscopy. The large dinokaryotic nucleus in the posterior half of the cell contained the typical condensed chromosomes and a nucleolus (Figs 5A, B D). 298 299 Mitochondria with tubular cristae and oil droplets were distributed in the cytoplasm (Figs 5A, B), and the Golgi apparatus was observed (Fig. 5F). The membrane system of the pusule was 300 301 visible below the flagellar pore region (Fig. 5C). Trichocyst cross sections of different diameter were spread in the cell (Figs 5B, E). Chloroplast parts (lobes) were located in the cell periphery 302 303 directly below the thecal plates (Fig. 5A, B). The pyrenoid can be of an irregular interlamellar (immersed, internal; Fig. 6A, B) or more often of a bulging (terminal) type without starch sheath 304 305 (Fig. 6 C-F). Single thylakoids or stacks of two traversed the pyrenoid matrix in no ordered manner (Fig. 6). 306
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#### 308 *3.2. Molecular phylogenetics*

The size of the rDNA sequence of *P. venetum* strain AYR19-3C4 (accession number PP258975) - comprising the 18S rDNA, the ITS region and the D1-D3 region of the 28S rDNA - was 3326 base pairs (bp) between the two external PCR primer-binding sites (abbreviated as 18S-D3), which was the same length as for the *P. redfieldii* strain CCMP1919 (accession number ON491170). The obtained sequence from strain AYR19-3E9 (from end of 18S to the end of D3 region of the 28S rDNA, accession number PP258976) was identical to those from strain AYR19-3C4.

Phylogenetic analysis of the genus *Prorocentrum* based on 18S-D3 sequences (Fig. 7) showed
that *P. venetum* belonged to a well-supported clade formed with *P. triestinum* and *P. redfieldii*.
This triadic clade was sister to a clade containing the species *P. micans*, *P. koreanum*, *P. texanum*, *P. rhathymum* and *P. steidingerae*. The alignment of nucleotide sequences from *P. venetum*, *P. triestinum* and *P. redfieldii*, showed an identical pattern with many shared gap
positions and specific substitutions in this clade with respect to sequences from other *Prorocentrum* species (Supplementary Fig. S4). Between the three species, some shared

- substitutions alternated two by two (Supplementary Fig. S4). The nucleotide sequences of *P*.
- *venetum* and *P. triestinum* showed fewer nucleotide substitutions between them as compared to
- 325 *P. redfieldii* (Table 2).

In the phylogenetic trees obtained with nucleotide sequences of the three rDNA regions (18S, 326 D1-D2 region of 28S, and ITS region) (Figs 8-10), the relationships were not unequivocally 327 resolved within the triadic clade within the clade between P. venetum, P. triestinum and P. 328 redfieldii. In the 18S rDNA analysis (Fig. 8), the range of genetic variations within the clade 329 between the three species (within which the *P. redfieldii* strains exhibited genetic heterogeneity) 330 331 was similar to that inside the large clade encompassing P. micans with five other documented species (P. gracile, P. texanum, P. koreanum, P. rhathymum and P. steidingerae). The analyses 332 333 based on D1-D2 region of 28S rDNA (Fig. 9) and the ITS hypervariable region (Fig. 10) showed slightly greater genetic difference between P. venetum and P. triestinum and the species clade 334 335 of P. redfieldii. In both of these rDNA regions, unlike the 18S rDNA, the range of genetic variation between species clades was much smaller in the P. triestinum clade than in the sister 336 337 clade encompassing *P. micans*.

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#### 339 **4. Discussion**

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From the examination of the oval cell shape, the size of cells, the distribution pattern of large 341 thecal pores, the location and shape of the periflagellar area, and the visor shape of the apical 342 wing (spine-like in LM), the morphological observations presented herein were consistent with 343 the description of specimens of P. venetum by Tolomio and Cavolo (1985). Thus, both strains 344 (AYR19-3C4 and AYR19-3C9) could be unambiguously identified to species level. Therefore, 345 the first genetic fingerprint of *P. venetum* can be provided in the present study, even when the 346 reinvestigated strains were not collected from the type locality of Venice (Italy) but from 347 another Mediterranean lagoon environment. 348

A comparison of morphological details of physical type material was not possible (see 349 350 Introduction). Overall, the size and oval shape of the cell contour when viewed laterally, asymmetrical at the anterior and posterior ends relative to the long axis of the cell, matched the 351 description by Tolomio and Cavolo (1985). Generally, the shape of the posterior end of the cells 352 varied slightly and was not well rounded (Figs 1A, E, G, 2G-I). Although Tolomio and Cavolo 353 354 (1985) did not explicitly mention such variability and deviation from the oval contour, this can be seen by carefully examining the two light micrographs (Figs. 1 and 2) provided by these 355 356 authors. The strains of *P. venetum* showed a wider range in the number of large pores per plate 357 (24-39), overlapping the range (35-40) reported in the original description. In their original 358 description, Tolomio and Cavolo (1985) did not mention the presence of different types of pores 359 (in particular, no differentiation of small pores), but this could be explained by the lower 360 magnification and resolution applied in their SEM study: the small pores and especially the 361 mini-pore at the posterior end could simply have been overlooked.

- The phylogenetic analysis showed that *P. venetum*, *P. triestinum* and *P. redfieldii* formed a well-supported clade. *P. venetum* and *P. triestinum* were closer to each other with fewer nucleotide substitutions between them, while *P. redfieldii* appeared more distant and more recently derived (Table 2). The analysis also strongly supported the sistership of the *P. triestinum* clade and a large clade encompassing species related to *P. micans*.
- 367 Morphologically, P. venetum shares similarities with P. triestinum with regard to the cell size and the subapical position of the periflagellar area, but the thecal plates of P. triestinum are 368 369 more strongly asymmetrical, have an acute posterior end and the periflagellar area is positioned dorsal to the longitudinal axis (Tillmann et al., 2022). Other features identified as being shared 370 between P. venetum, P. triestinum and P. redfieldii are in the thecal pore pattern and the 371 arrangement of the periflagellar area (see below). Furthermore, regarding intracellular 372 373 ultrastructure, P. venetum has the same type of pyrenoid as P. redfieldii (Ndhlovu et al., 2017). In P. micans and P. texanum, the ultrastructure of the pyrenoid is different (Kowallik, 1969; 374 Henrichs et al., 2017): it is a compound interlamellar pyrenoid that is crossed by parallel 375 thylakoid lamellae in a regular and organized manner; this part of the chloroplast can be swollen 376 and then projects into the cell (Dodge and Crawford, 1971). 377
- The three types of thecal pores seen in *P. venetum* are similar in size and shape to those present in *P. triestinum* and *P. redfieldii* (Tillmann et al., 2022). The three types of pores were also described in *P. micans* (Tillmann et al., 2019), and were likely observed in other species where small pores were described with a large variability in their diameter, including *P. texanum* (range 0.08-0.13 µm; Henrichs et al., 2013), *P. elegans* (range 0.09-0.16 µm; David et al., 2014), and as observed in *Prorocentrum caribaeum* (Faust, 1993, in Fig. 22).
- Regarding the arrangement of large pores (trichocyst pores) on the thecal plates, *P. venetum* has the highest number of pores in the *P. triestinum* clade, about twice as many as in the two other species and the same is observed for small pores. All three species have a similar row of pores at the posterior end along the dorsal edge of the thecal plates (i.e., corresponding to pore groups R6/L6 of *P. venetum*), a configuration not observed elsewhere in the genus. In contrast, *P. venetum* is the only species of its clade with two pairs of radial rows of pores (with  $\geq$ 3 large pores) on the dorsal and ventral edges of plates, although some pores are present in similar

391 locations in the pore patterns of *P. triestinum* and *P. redfieldii*.

The periflagellar areas are very similar and have an identical eight-platelet pattern between P. 392 venetum, P. triestinum and P. redfieldii (Tillmann et al., 2022). The most specific common 393 394 feature of this *P. triestinum* clade is that the accessory pore is very small in size and is therefore hardly visible in the middle of junction between the very small platelet 7 and the platelet 8. In 395 contrast in species of the sister clade encompassing P. micans, the large accessory pore has a 396 lenticular shape whose long axis is parallel to the junction between platelets 7 and 8 397 (Hoppenrath et al., 2013; Tillmann et al., 2019). In addition in the P. triestinum clade, platelets 398 399 7 and 8 are significantly smaller and differently shaped compared to the P. micans clade, and the platelet 1 is relatively larger in size while platelets 2 and 6 have a reduced size. Additionally, 400 401 in the P. triestinum clade, the apical projection rises from an almost linear base along the inner edge of platelet 1, between the right and left thecal plates, which configuration is also present 402 403 in various other Prorocentrum species (Hoppenrath et al., 2013). In contrast, in the P. micans clade, the base of the spine first rises along the right inner edge of platelet 1 then turns toward 404 405 the outer dorsal end of platelet 1 following the edge of the left plate, giving the spine its 406 characteristic curved shape (named "long spine with sail" in P. micans, Tillmann et al., 2019), 407 as it is clearly observable in P. texanum (Henrichs et al., 2013) and P. koreanum (Han et al., 2016), P. gracile (Pei et al., 2022), P. caribaeum (Faust, 1993), P. gibbosum (Tolomio, 1988) 408 and P. arcuatum (Skejić et al., 2017), and with the wing of P. rhathymum (Loeblich et al., 1979; 409 Lim et al., 2013) and P. steidingerae (Figs. 5-10 in Faust, 1990, after Gómez et al., 2017). On 410 the ventral side of the periflagellar area, the platelet 4 is flat in the *P. triestinum* clade whereas 411 412 it is flanged with a short wing along its inner edge (next to platelets 3 and 5) in the P. micans 413 clade.

As already discussed by Tolomio and Cavolo (1985), P. venetum presents similarities in shape 414 and size with P. brochii J.Schiller which was described by Schiller (1918) in Adriatic waters, 415 but this species has a more pointed posterior end, although the cell shape of P. venetum 416 conforms better to another drawing of *P. brochii* published by Schiller in 1928 (pl. 3, fig. 3). 417 418 However, P. brochii is clearly different to P. venetum by the presence of (very) large pores scattered over the thecal plate (as shown in the drawing reproduced in Schiller 1918, 1928 and 419 1933) and sometimes having thick plates. Schiller (1933, pp. 41-42) synonymized P. brochii 420 421 with Prorocentrum maximum (Gourret) J.Schiller, (basionym Postprorocentrum maximum 422 Gourret), although the latter (whose size was not precisely given) had a different cell shape (Gourret, 1883; Schiller, 1933, p. 41, fig. 44c). Overall, it is possible that P. brochii as described 423 424 by Schiller (1918, 1928) is closely related to P. venetum and P. triestinum (Schiller, 1918; Tillmann et al., 2022), but detailed morphological and molecular studies on strains from the type locality of *P. brochii* are needed.

- Compared to P. venetum, P. mexicanum (Osorio Tafall, 1942) is larger (39 µm long, 29 µm in 427 depth). The drawing of a cell in right lateral view shows that the ventral bulge is less prominent; 428 moreover, the dorsal and ventral curvatures are almost elliptical and symmetrical with respect 429 to the transverse axis of lateral plates whereas the ventral curvature is asymmetrical in P. 430 venetum. On the surface of the thecal plate, the drawing shows scattered large pores (described 431 by the Spanish term "puntuaciones") and series of striations (described as tiny spines). The 432 433 apical spine of *P. mexicanum* is also different from the winged projection of *P. venetum*: it points straight forward and is longer with a length about one tenth of the length of the cell. The 434 435 author indicated that it was thin and slightly curved, and with a delicate "wing" visible in dorsal/ventral view. 436
- 437 Comparing P. venetum to Prorocentrum rhathymum A.R.Loeblich III, Sherley et Schmidt (1979), in relation to their oval shape, Tolomio and Cavolo (1985) highlighted several 438 439 differences including the dimensions (larger in P. rhathymum, with the length of cell in the 440 range  $32-39 \mu m$ ), the shape without prominent ventral bulge, the distribution of more numerous 441 trichocyst (large) pores in P. rhathymum (but not indicating precisely what differences), and the structure of the anterior spine (as described above). Indeed, P. rhathymum is notably 442 distinguished by having four pairs of radial rows of pores and the absence of the dorsal row of 443 pores on the two lateral plates. 444
- Comparison of morphological characteristics and rDNA phylogenetical analyses has been used 445 to discuss evolution within the genus Prorocentrum (e.g., Grzebyk et al., 1998; Murray et al., 446 2007, 2009; Boopathi et al. 2015; Zhang et al., 2015; Chomérat et al., 2019). Based on nuclear 447 rDNA or mitochondrial genes, analyses revealed the existence of various clades within the 448 genus Prorocentrum, establishing very early the singularity of a clade of benthic species 449 (including P. concavum, P. hoffmannianum or P. lima) with the symmetrical contour of the 450 lateral plates (Grzebyk et al., 1998; Murray et al., 2009; Hoppenrath et al., 2013; Boopathi et 451 452 al., 2015; Chomérat et al., 2019; this study, Fig 7). The other species, which generally exhibit an asymmetric component in their morphology, are distributed across various clades and 453 454 subclades within the genus, the number of which increased as the number of species analyzed increased (Hoppenrath et al., 2013; Chomérat et al., 2019). 455
- The clade formed of *P. venetum* with *P. triestinum* and *P. redfieldii* is strongly supported despite
  the conspicuously difference in cell shape, with an approximately oval shape in *P. venetum* as
- 458 opposed to a lanceolate shape with a more distinctly pointed posterior end in the other two

species. The proximity of oval shape species with species with a pointed posterior end is also 459 460 observed in the sister clade encompassing P. micans, with P. rhathymum and P. steidingerae on one hand, and P. micans, P. gracile and P. koreanum on the other hand (Fig. 7). In the 461 462 Prorocentrum phylogenetic tree (Fig. 7), basal clades and taxa mostly show a thecal plate shape with a rounded posterior end whereas the P. triestinum and P. micans clades appear to be among 463 the most recently diverged in the genus, suggesting that the pointed posterior end was a late-464 emerging feature in the genus. The variation in the shape of posterior end between the two 465 varieties described in P. texanum within the P. micans clade (Henrichs et al., 2013), one with a 466 467 rounded posterior end (var. texanum) and the other with a pointed posterior end (var. cuspidatum), appears to be some kind of vestigial mark of the evolutionary emergence of the 468 469 morphological transition of the posterior end in this branch of the genus Prorocentrum.

Changes in the number and distribution of large pores also appear to reflect an evolutionary 470 471 pattern in the two sister clades. The higher number of large pores of *P. venetum* in its clade is partly due to the two radial rows of pores. Similarly in the clade encompassing P. micans, radial 472 473 rows of large pores (between 2 and 6 pairs depending on taxa) contribute to a generally higher 474 number of pores. Thus, the reduction in the number of large pores (especially those arranged in 475 rows) appears to be an evolutionary derived feature in this branch of the genus Prorocentrum. Altogether, thecal plates of P. venetum seems to have retained more ancestral features as 476 compared to P. triestinum and P. redfieldii. 477

The phylogenetic analyses of the three considered rDNA regions provided different metric 478 scales that appeared differently useful for the genetic delimitation of related Prorocentrum 479 species. The few units of nucleotide substitutions on these rDNA regions may be sufficient to 480 separate sister clades or species, however, relationships between species clades were not always 481 well resolved. Besides for some species clades, genetic variability carried by a similar number 482 of substitutions, may suggest internal subclades or peripheral clades possibly representing 483 cryptic species. In the analysis with the three combined rDNA regions (18S-D3 analysis, Fig. 484 7), the delimitation of species and the resolution of relationships between species appeared to 485 486 have been improved within the genus Prorocentrum, despite the small number of such data available to date (especially those obtained in the form of fully assembled sequences). 487

488

#### 489 **5.** Conclusions

490

The morphological description of *P. venetum* is complemented with fine details on thecal plate
morphology and periflagellar platelets. The phylogenetic analysis demonstrated that *P. venetum*

belongs to the same lineage as *P. triestinum* and *P. redfieldii*. Morphologically, this lineage can be defined by several synapomorphic characters including the significant size-reduction of the accessory pore and the adjacent platelet 7, and the presence of a row of large pores along the dorsal edge of the posterior end of both thecal plates. Both morphologically and phylogenetically, *P. venetum* is not a synonym of *P. mexicanum*, contrary to what was suggested in Gómez et al. (2017), and this is consistent with the description of this organism as a distinct species by Tolomio and Cavolo (1985).

500

#### 501 CRediT authorship contribution statement

502 **D.** Grzebyk: isolated the *Prorocentrum venetum* strains, performed the genetic study and the 503 phylogenetic analyses, contributed to the morphological description, discussion, drafting and 504 editing manuscript. U. Tillmann: morphological analysis (light microscopy, scanning electron 505 microscopy), discussion, drafting and editing manuscript. M. Hoppenrath: transmission 506 electron microscopy, discussion, drafting and editing manuscript.

507

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513

#### 514 **Disclosure statement**

515 No potential conflict of interest was reported by the authors.

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519	Supplementary material
520	Table S1. PCR and sequencing primers used in this study.
521	
522	Table S2. Variability and frequency in the number of large pores in the pore groups on the right
523	and left thecal plates between cells in the two P. venetum strains.
524	
525	Table S3. Variability and frequency in the number of small pores in the pore groups on the right
526	and left thecal plates between cells in the two P. venetum strains.
527	
528	
529	Fig. S1. Prorocentrum venetum (strain AYR19-3E9), LM. A-G. Living cells. H-X.
530	Formaldehyde fixed cells. O-U. Cells stained with solophenylflavine in epifluorescence and
531	blue-light excitation. V–X. The same cell stained with DAPI in brightfield (V), with blue-light
532	excitation (W) or with UV excitation (X), showing shape and position of the chloroplast and
533	the posterior position of nucleus (in blue color). Scale bars: 5 µm.
534	
535	Fig. S2. Prorocentrum venetum (strain AYR19-3E9), SEM. A, D-G, J. Right lateral view. B.
536	Left lateral view. C. Left dorsal view of a young cell with narrow intercalary band. H-I. Ventral
537	view of an enlarged cell. K. Internal face of left plate. L-M. The three types of pores at the
538	posterior end of cells: large pores, small pores (black arrows) and the mini-pore (white
539	arrowhead). Scale bars: 5 μm (A–K) or 1 μm (L–M).
540	
541	Fig. S3. Prorocentrum venetum (strain AYR19-3E9), SEM. A-E. Detail views of the apical
542	periflagellar area, with platelet numbering, in right apical view (A–B, D–E) and in apical view
543	(C). ap = accessory pore, fp = flagellar pore. Scale bars: 1 $\mu$ m.
544	
545	Fig. S4. Nucleotide alignment of 18SD3 rDNA sequences from species belonging to sister
546	clades encompassing P. venetum and P. micans. Continuous full length sequences for strains
547	with a single accession numbers; combination of discontinuous partial sequences (two or three
548	joint accession numbers for the other strains. Phylogenetic analyses were conducted with
549	fragments between nucleotide positions 1-1749 for 18S rDNA, positions 1770-2369 for ITS
550	region, and positions 2390-3073 for 28S D1D2 region.
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#### 783 Figure captions

784

Fig. 1. Prorocentrum venetum (strain AYR19-3C4), LM. A-J. Living cells. O-Ad. 785 Formaldehyde fixed cells. A-N. Differential interference contrast LM, general size and shape 786 of cells in lateral view of the right thecal plate (A, B), the left thecal plate (C-F) and in 787 ventral/dorsal view (I–N). Note the round to broadly oval pusule (p) labelled in E, and the 788 central round pyrenoid (arrow) labelled in B. Also note the pointed antapical end of a 789 presumably newly divided cell (J) and the truncated antapical end in broader cells (K-N). O-790 791 V. Cells stained with solophenylflavine in epifluorescence and under blue-light excitation, to 792 illustrate plates and plate sutures (visualized by green fluorescence), and the shape and location 793 of chloroplasts (red fluorescence), in ventral/dorsal view (O–U) or right lateral view (V). Note 794 the lateral position of the two lobed chloroplasts (**R**, **S**). V–X. Visibility of thecal pores (arrows) 795 when plates are stained (V), or when the contracted cell content allow a partial view of the plates (W) or on an empty theca (X). Y-Ab. The same cell stained with DAPI in brightfield 796 797 (Y), with blue-light excitation (Z, Aa, two different focal planes) or with UV excitation (Ab), to illustrate shape and position of the chloroplasts and the nucleus (blue fluorescence). Note the 798 799 central pyrenoid (arrow in Aa), the apical oval area void of chloroplast in Aa, and the antapical position of the round nucleus (arrow in Ab). Ac, Ad. DAPI stained cells with epifluorescence 800 and UV excitation to illustrate shape and posterior position of the nucleus; the enlarged nucleus 801 in Ad is presumably undergoing nuclear division. Scale bars: 5 µm. 802

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Fig. 2. *Prorocentrum venetum* (strain AYR19-3C4), SEM. A–I. Entire cells in right thecal view
(A, F, G, I), in left thecal view (B, D, E), or in dorsal apical view (C, an enlarged cell with a
broad, transversely striated, intercalary band). J. Flattened right thecal plate showing the apical
V-shaped insertion of the periflagellar area (arrow). K–N. Detail views of the apical area in
right apical view (K), right dorsal view (L), in right lateral view (M) and in left lateral view
(N). Scale bars: 5 µm (A–J) or 1 µm (K–N).

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Fig. 3. *Prorocentrum venetum* (strain AYR19-3C4), detailed SEM of the periflagellar area, surface structure and pores. A–E. The periflagellar area in apical view (A–C), right lateral view (D) or apical ventral view (E). F–J. General appearance of thecal pores (large pores: white arrows; small pores: black arrows) in external view (F, I, J) or in internal view showing the internal tubular shape of large pores (G, H). At the posterior end of cells (I–J), note the presence of a mini-pore (white arrowhead) located at the slightly protruding tip of the thecal plate, the 817 diameter of which is distinctly smaller than that of the small pores (black arrows). Platelet 818 numbering according to Hoppenrath et al. (2013); ap = accessory pore, fp = flagellar pore. Scale 819 bars: 1  $\mu$ m.

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Fig. 4. Schematic drawings of *P. venetum*. The numbers of pores (large and small) are representative of the mean/median numbers for strain AYR19-3C4 (Table 1, Tables S2 and S3). **A.** Right lateral view. **B.** Left lateral view. **C** Ventral view. **D.** Dorsal view. **E.** Labeling of pore groups on the right (R1 to R10 groups) and left (L1 to L10 groups) lateral plates. **F.** Periflagellar area in apical view (the gray surfaces correspond to the base of the erect structures above the platelets). Platelet numbering according to Hoppenrath et al. (2013); ap = accessory pore, fp = flagellar pore.

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829 Fig. 5. Prorocentrum venetum (strain AYR19-3C4), transmission electron microscopy of the general cell ultrastructure. A. Longitudinal section showing part of the anterior periflagellar 830 831 area with flagellar pore (white arrow) and the posterior nucleus (n), peripherally arranged chloroplast parts (c) and dense black oil droplets. **B.** Oblique section showing the nucleus (n), 832 chloroplast lobes (c), mitochondria (m) and trichocysts (t). C. Anterior cell region below the 833 periflagellar area (black arrow pointing into the flagellar pore region) with membranes of the 834 pusule system (pu). D. Nucleus with condensed chromosomes and nucleolus (nu). E. Cross 835 sections of trichocysts (t) of different diameter and an oblique longitudinal section. F. Part of 836 the Golgi apparatus. Scale bars: 2.5 µm (A), 5 µm (B), 1 µm (C-F). 837

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Fig. 6. *Prorocentrum venetum* (strain AYR19-3C4), transmission electron microscopy of
chloroplast (c) details. A, B. Irregular interlamellar pyrenoid (py). C-F. Different morphologies
of the bulge-type pyrenoid (py) without starch sheath. Note the thylakoids, single or bundled in
pairs, which cross the pyrenoid matrix in an unordered manner. Scale bars: 1 μm.

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Fig. 7. Phylogenetic tree of *Prorocentrum* genus based on 18S-D3 rDNA sequences showing the position of *P. venetum*; two taxa belonging to the order Peridiniales were used as outgroups. In the box, an unrooted tree with the same topology focusing on the two sister clades (containing taxa related to *P. micans* and *P. venetum*, respectively) is provided to better show the genetic distances between and within species clades. The *P. venetum* strain sequenced in this study is in bold. The branch length is proportional to the number of substitutions per site (the scale bar represents the number of nucleotide substitutions per site). The most significant branch supportvalues are provided at the main nodes.

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Fig. 8. Phylogenetic tree based on 18S rDNA sequences, of two sister clades encompassing taxa

related to P. micans and P. venetum. The branch length is proportional to the number of

substitutions per site (the scale bar represents the number of nucleotide substitutions per site).

856 The most significant branch support values are provided at the main nodes.

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Fig. 9. Phylogenetic tree based on D1-D2 region of 28S rDNA sequences, of two sister clades encompassing taxa related to *P. micans* and *P. venetum*. The branch length is proportional to the number of substitutions per site (the scale bar represents the number of nucleotide substitutions per site). The most significant branch support values are provided at the main nodes.

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Fig. 10. Phylogenetic tree based on the ITS region sequences (including ITS1, 5.8S rDNA and ITS2), of two sister clades encompassing taxa related to *P. micans* and *P. venetum*. The branch length is proportional to the number of substitutions per site (the scale bar represents the number of nucleotide substitutions per site). The most significant branch support values are provided at the main nodes.

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