## **1** Tidal contributions to shelf break dynamics in the South-western Tropical Atlantic

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#### 14 Abstract

Tidal currents and western boundary currents play an important role in the shelf-break/slope (SBS) 15 transition, where processes occurring at a variety of temporal and spatial scales can interact enhancing 16 biological productivity. In the southwestern tropical Atlantic (SWTA), the North Brazil Undercurrent 17 (NBUC), was previously reported to induce uplift along the slope. In this work we investigated the high 18 19 frequency temporal and spatial-scales of the shallow (15-59 m) along- and cross-shelf velocities in a SBS transition in the SWTA. The data was obtained from the Multiple Rectangles Transect (MRT) 20 experiment, where continuous (every 2 min) measurements of currents were made crossing the shelf-21 break repeatedly during a timeframe of 26 hours. The variability was analyzed through adaptative signal 22 23 analysis methods, and we investigated if the observed patterns contributed to uplift variability on a short timeframe from hydrographic profiles. Results showed that tidal forcing was the main responsible for 24 25 the variability of the along- and cross-shelf currents in different scales. Cross-shelf patterns of divergence/convergence during flood/ebb, expected from the tidal forcing, were amplified or reduced 26 by the effect of stronger or weaker along-shelf current on the slope; and flood timing for cross-shelf flow 27 was coherent with uplifted waters reaching the shelf-edge stations. Local variability of uplift intensity 28 was related to tidal forcing added to the currents of the NBUC's upper limit. While there was no stronger 29 uplift during the high tide (in relation to climatologic patterns), the decrease of the along-shelf velocity 30 during low tide, resulted in decrease of uplift. Therefore, tidal forcing appears as an important process 31 to be considered in uplift mechanisms for the western boundary system in question. Still, more 32 investigation is needed, since our dataset was limited and there are open questions about SBS dynamics 33 enhancing productivity in the SWTA. 34

Keywords: Uplift. Cross-shelf flows. Tides. Adaptive Signal Analysis. Western Boundary System.
 Brazil, Northeast, Pernambuco.

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#### 38 **1 Introduction**

In the continental margin, the shelf-break is the "the line along which there is a marked increase 39 in slope at the seaward margin of a shelf" (IHO, 2019), and the continental slope is "the sloping region 40 that deepens from a shelf to the point where there is a general decrease in gradient" (IHO, 2019). An 41 example of the shelf break and slope features is shown in Figure 1. Together, the shelf-break/slope 42 (hereafter, SBS) mark the transition between the shallow and the deep ocean, where processes occurring 43 at a variety of temporal (hours to months) and spatial scales (meters to tens of kilometers) interact, 44 enhancing biological productivity (Huthnance, 1995; Acha et al., 2004; Genin, 2004). One of the main 45 oceanic flows interacting with SBS features are the Western Boundary Currents (WBCs). They play an 46 important role in climate (Wu et al., 2012; Hu et al., 2015; Shears and Bowen, 2017), ocean mixing (Jing 47 and Wu, 2014; Nagai et al., 2017) and complex nonlinear dynamic terms in low latitudes regions 48 (Holland, 1972; Huthnance, 1984; Nagai et al., 2017). Interaction between WBCs and topography can 49 alter water-mass characteristics towards the shelf and/or to the ocean through the generation of eddies, 50 meanders, fronts and horizontal shear (Gawarkiewicz, 1991; Huthnance, 1995; Gula at al., 2015), or by 51 52 driving orographic upwelling (Oke and Middleton, 2000; Roughan and Middleton 2002; Castelao, 2011). 53

Tidal currents also play an important role in SBS dynamics by interacting with the topography, generating internal tides that can propagate along the platform or be reflected at the SBS towards the ocean (Cacchione, 2002; Lamb, 2013). The processes of tidal energy dissipation when it reaches the continental slope are still under investigation (Nash et al., 2007; Martini et al., 2011; Legg, 2014) but internal-wave collapse on the shelf-break is known to dissipate energy and can cause the rising of the thermocline or even break the stratification (Navrotsky et al., 2004; Grados et al., 2016; Nazarian and Legg, 2017). Additionally, interactions between tides and internal waves can affect the background current dynamics (e.g., WBCs; Davis et al., 2008; Nagai et al., 2017; Prestes et al., 2018) increasing the complexity of SBS processes.

Western boundary current dynamics over the SBS can induce cross-shelf flows through Ekman 63 bottom transport, current separation from the shelf break (turning offshore), bottom-hugging shelf edge 64 eddies and shelf-break fronts (Brink, 2016). Even when the velocity of the cross-shelf flow is of one 65 order of magnitude smaller than the along-shelf flow (e.g., in WBCs regime), it can represent substantial 66 transport across isobaths, influencing water stratification, exchanges between open sea and shelf waters, 67 and the mixing and/or water-masses entrainment (Schaeffer et al., 2013; Brink, 2016). The cross-shelf 68 flow can carry saltier and colder waters towards the shelf, uplifting nutrients into the euphotic zone, 69 enhancing primary production (Fournier et al., 1977; Marra et al., 1990; Mizobata et al., 2008), and 70 favoring mass and energy transfer to the upper trophic levels (Schneider, 1982; Munk et al, 1995). This 71 potential cross-shelf transport can also contribute to ocean-atmosphere mass and heat fluxes, which are 72 important for weather and climate variability (Huthnance, 1995; Silva et al., 2009a; Gawarkiewicz et al., 73 2018). 74

The complexity of the multiscale processes interacting on the SBS hampers the collection of data comprehensively representing the hydrodynamics and traditional sampling design are usually not be adapted enough to capture these dynamics (Brink, 2016). While ocean models and remote sensing methods have been key in understanding larger scale processes (Joseph, 2014; Fox-Kemper et al., 2019) there is still a need for observations at small scales (e.g., Bertrand et al., 2014; Grados et al., 2016; Lévy et al., 2018) to improve the knowledge of meso- and submesoscale dynamics (Fox-Kemper et al., 2019).

Two important processes that can happen on the SBS are the uplift and upwelling, related to 81 slope currents dynamics along the slope feature (Matano e Palma, 2008; Aguiar et al., 2014; 2018). The 82 term uplift refers to the raising of cold water towards a certain depth, however, not reaching the surface, 83 while upwelling happens when the uplifted water outcrops, reaching the surface (Rochford, 1991). More 84 recently, a work by Silva et al. (2021) revealed uplift (instead of upwelling) along the continental slope 85 in Northeast Brazil, that they related to the North Brazil Undercurrent (NBUC) flow interaction with the 86 slope topography. The NBUC is a low latitude WBC that arises from the bifurcation of the southern 87 branch of the South Equatorial Current (sSEC) when it reaches the Brazilian coast (Figure 1a) (Schott 88 et al., 2002, 2005; Silva et al., 2009a; 2009b). Together with the North Brazil Current (NBC), the NBUC 89 plays an important role in the inter-hemispheric heat transport as a part of the upper south equatorial 90 limb of the Atlantic Meridional Overturning Circulation (AMOC) (Schott et al., 2002; Veleda et al., 91 2011). Still, there is a lack of information about the high frequency variability of the NBUC and how 92 tidal forcing acts on the shelf break region in the Northeastern Brazilian waters and how the tides might 93 94 influence the uplift on the SBS.

Considering the importance of the SBS in this region, the objective of the present work is to 95 96 describe high frequency temporal and spatial patterns of the shallow along-shelf and cross-shelf currents in a shelf-break/slope transition. We investigated the dominant high frequency (period larger than 2 min 97 and lower than 26h) flow variability on the upper water column (15-59 m) and if/how the observed 98 patterns contribute to uplift variability on observed from *in situ* measurements in short timeframe. For 99 100 this we used data obtained from a sampling strategy first presented in Bertrand et al. (2008a), here, 101 onwards denominated Multiple Rectangles Transect (MRT). The experiment was executed in Pernambuco continental margin, in Northeast Brazil. In this region, the shelf-break is found between 102

around 60 m deep (Camargo et al., 2007), the slope extends from this depth until the Pernambuco Plateau
upper level (~700 m) (Zembruscki et al., 1972; Coutinho, 1996) and NBUC is the main along shelf
current ruling the dynamics over the slope (Hummels et al., 2015; Araujo et al., 2019; Dossa et al., 2021).
In addition, regional oceanic reanalysis product provided a framework for the hydrodynamics settings
in the study region, since or dataset is time and space restricted.





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(3). The slope is in turn interrupted by the PP (4), located between the 700 and 3000m isobaths and, bellow this depth the continental rise (5) precedes the deep ocean. The highlight (black box) in the map in (b) is the study region in (c) were the MRT experiment (blue solid line) take place. The black, red and purple dots indicate the position of the CTDO stations, XBT profiles, and selected GLORYS grid points, respectively. Bottom depth for this Figure was extracted from the 30" arc GEBCO data (Weatherall et al., 2015).

#### 122 2 Materials and Methods

#### 123 **2.1** In situ data and processing

In this work, we used data from the multiple rectangles transect (MRT) experiment to study the 124 along-scale hydrodynamic variability in play in the shelf-break/slope (SBS) transition of a WBC in the 125 Southwestern Tropical Atlantic. The study area (Figure 1a) is inserted in the southwest tropical Atlantic, 126 where the NBUC is one of the main WBC interacting with the SBS features (Stramma et al., 1995; 127 Schott et al., 2002, 2005; Dossa et al., 2021). The multiple rectangles transect (MRT) experiment took 128 place in a shelf-break/slope transition, where the slope inclination is interrupted by the Pernambuco 129 Plateau (Figure 1b) (Buarque et al., 2016). The dataset was collected in April 2017 over the northeast 130 131 Brazilian continental shelf-break and adjacent slope during the ABRAÇOS 2 survey (Bertrand, 2017).

The MRT experiment consisted in 2 by 11 km side rectangular transects (**Figure 1c**) performed repeatedly for about 26 hours (from April 11th 19:17 to April 12th 20:45, UTC). Each repetition took about 1h45 resulting in 15 rectangles. With this approach, we obtained a time-space varying information within a daily cycle and around two semidiurnal spring-tide cycles. To demonstrate how the multiple rectangles transect data acquisition works, we present a time lapse of the location and track of the ship during the transect execution while the information of bottom depth and current velocity (along- and cross-shelf) is acquired in Supp. Video 1.

Data continuously recorded during the MRT transects consisted of, sea surface temperature 139 (SST) and salinity (SSS), wind speed and direction, current velocity (zonal and meridional components), 140 and high-resolution bathymetry. Only the last two were raw and required processing for the purposes of 141 this work. We manually corrected the bathymetry was manually corrected using the Matecho software 142 143 (Perrot et al., 2018). The 2 min ensemble raw current velocity data was processed using the CASCADE tools following Herbert et al. (2015). However, the current was not de-tided since we intended to analyze 144 its intrinsic natural variability and the adaptative method capacity to separate the tidal signal from the 145 original data. Instead, we used the predicted tidal current from the TPXO9-atlas (Egbert and Erofeeva, 146 2002; Erofeeva, 2022) tidal model only to compare with observational current data after the 147 decomposition. The barotropic tidal currents and tidal amplitude were estimated from the semidiurnal 148 dominant components (M<sub>2</sub>, S<sub>2</sub>, K<sub>2</sub> and N<sub>2</sub>) for each point in space and time of the MRT data. 149

After the data processing, we corrected the zonal and meridional components, for each depth 150 level, in relation to the adjacent slope orientation from the high-resolution bathymetric data. This was 151 done to obtain the real along-shelf and cross-shelf components from the observed currents. First, the 152 tangent between the two zonal transects of the MRT was calculated to obtain the angle ( $\theta$ ) in relation to 153 the meridional direction. Then the correction for the meridional and zonal currents was applied following 154 the system of equations bellow (Brink, 2016), where U and V are, respectively the zonal and meridional 155 component, and CS and AS are the resulting local cross-shelf and along-shelf components. The same 156 correction procedure was applied to obtain the cross-shelf (CS-TIDE) and along-shelf (AS-TIDE) 157 components from the tidal model prediction for comparison with the observational data. 158

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$$\begin{cases} CS = U \cos \theta + V \sin \theta \\ AS = -U \sin \theta + V \cos \theta \end{cases}$$

In this work we will present only the CS and AS components averaged for the first 15-59 m and every 2 minutes observation, thereafter the  $CS_{avg}$  and  $AS_{avg}$ , respectively. Limiting this depth above the shelf-break depth (~60m) we obtain a continuous time-series to be decomposed by the adaptative method presented in the next section.

In addition to the MRT continuous data, five quality-controlled Conductivity Temperature Depth 165 (CTD) profiles (St. 1, 2, 6, 8 and 10; Figure 1c) were chosen to characterize water masses and the 166 thermohaline structure near the MRT experiment location. Additional temperature profiles were 167 acquired from expendable bathythermographs (XBT) during (X1 to X4; see Figure 1c) or just after (X5) 168 the MRT experiment. Furthermore, as the dataset of the MRT experiment, is restricted to a small time-169 space scale, we complemented our dataset with monthly averaged reanalysis product in a larger spatial 170 domain to characterize the local hydrodynamics in a regional context. Since the conditions observed in 171 austral fall 2017 during ABRACOS 2 survey are representative of canonical fall conditions (Assunção 172 et al., 2020; Dossa et al., 2021), we considered the regional context from climatological conditions. 173 April's climatology for hydrodynamics was used as the reference for the MRT experiment. Climatology 174 for temperature, salinity, and currents were obtained from the monthly averaged reanalysis product 175 GLORYS (Copernicus Marine Service) from 1993 to 2019. In addition, to compare CTD and XBT 176 vertical termohaline structure and water masses, we selected four grid points (M1 to M4 in Figure 1c) 177 close to the MRT. For more information about the data used in this work see Data availability section. 178

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#### 180 **2.2 MRT Timeseries analysis**

The Ensemble Empirical Mode decomposition (EEMD) (Wu and Huang, 2009) was applied to 181 separate the main timescales of the current velocity components (AS<sub>avg</sub> and CS<sub>avg</sub>) and tidal model 182 current velocity components (AS-TIDE and CS-TIDE). The timescales resulting from the decomposition 183 python were obtained through the EEMD module of the **PyEMD** package 184 (https://github.com/laszukdawid/PyEMD). The main IMFs from AS<sub>avg</sub> and CS<sub>avg</sub> were compared with 185 the IMFs from tidal forcing (AS-TIDE and CS-TIDE) because the tidal forcing was was relevant for the 186 observational data variability. Additionally, since the data is changing in time and space it is expected 187 to have spatial scales associated with the timescales observed. Therefore, the main IMFs were also used 188 to characterize AS<sub>avg</sub> and CS<sub>avg</sub> variability in relation to the spatial configuration (on the shelf, shelf-189 break, or slope). This was achieved through the correlation obtained from Time-dependent Intrinsic 190 Correlation (TDIC) (Chen et al., 2010; Huang and Schmitt, 2014) method with the main variability mode 191 of bottom depth (BOT) resulting from EEMD decomposition. Applying the EEMD to the bottom depth 192 acquired at the same time as the MRT currents allows the extraction of the larger inclination feature 193 (shelf/shelf-break/slope) and remove the "noise" from small scale features. Additionally, the 194 195 decomposition is mandatory to perform the cross-correlation of bottom depth with the current IMFs, since the TDIC works under the assumption that both signals to be correlated are IMFs. Lastly, we 196 applied the Hilbert Transform to obtain the Hilbert Spectrum for AS<sub>avg</sub> and CS<sub>avg</sub>. The EEMD and the 197 TDIC methods are reviewed in the Appendix 1. The MATLAB TDIC code we used in this work is freely 198 available from the repository in https://doi.org/10.5281/zenodo.9748. 199

#### 200 3 Results and discussion

The results and discussion are presented considering (3.1) the hydrodynamic features depicted from the CTD, XBT stations and compared with the expected climatological patterns, (3.2) the circulation patterns during the MRT experiment and current variability observed from the timeseries analyses and (3.3) the summary of the observed tidal contribution observed in our results.

#### 205 **3.1 Hydrodynamic setting**

The MRT was performed in April (fall) 2017 during a full-moon high tide regime (local 206 maximum amplitude of 1 m). For the CTD stations, Temperature-Salinity (Figure 2a), and Salinity-O<sub>2</sub> 207 (Figure 2b) diagrams, were used to assist water mass identification. In our study region at the 208 Southwestern Tropical Atlantic, the NBUC extends from the near surface down to about 1100 m (Schott 209 et al. 2005). However, as we are interested in the shallow dynamics, we restrict our observations to 100 210 m. The characteristic water masses down until this depth are the Tropical Atlantic Water (TW) follow 211 by the Subtropical Underwater (STUW) and the South Atlantic Central Water (SACW). The TW is 212 characterized by temperature higher than 25°C and  $\sigma$ =23-24.5 kg.m<sup>-3</sup> (Urbano et al., 2008). The STUW 213 also called the Salinity Maximum Water is characterized by maximum salinity values (>36.5),  $\sigma_{\theta}$ 214 slightly below 25 kg.m<sup>-3</sup> and by the and high oxygen content (Stramma and England, 1999; Urbano et 215 al., 2008). The SACW presents temperatures between 10-23°C, salinity >35 and potential density 216 between 24.5-27 kg.m<sup>-3</sup> (Stramma and England, 1999). 217

The water masses from the CTD stations presented characteristic consistent with the mixture of the warm TW with the oxygen- and salinity-rich STUW in the first 100m (**Figure 2a,b**) agreeing with the climatology. **Figure 2c** shows that close to the MRT (Lat: 8.75°S and Lon: 35.8-34.5°W) from the surface to 100 m the salinity was higher than 36.5. This means that the STUW outcrops in this region, transported by the NBUC that shallows as it flows to low latitudes, as shown by the salinity and current velocity in **Figure 2d,e**. Below this water mass down to 500 m, we identified the South Atlantic Central Water (SACW) (not shown).

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Figure 2. (a) T-S and (b) S-O<sub>2</sub> diagrams for CTD stations (0-100m); (c) T-S diagram for selected grid points of the climatology (M1 to M4 in Figure 1c). Diagrams show the presence of the mixing between Atlantic Tropical water (TW) and Subtropical underwater (STUW). Climatology of surface (d) salinity (e) current velocity; grey arrows represent current direction.

The thermohaline structure from CTD (**Figure 3a-d**) profiles for the first 100m (see Supp. Figure S1 for complete profiles) shows that, due to the weak vertical salinity gradient (**Figure 3c**), temperature controlled the density stratification of the upper layers (**Figure 3d**). In this manner, the isothermal layer was equivalent to the mixed layer (ML) and the temperature profiles depicted three distinct thermal patterns (**Figure 3e**):

- 236 (i) Well mixed (shallow St. 1)
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- Isotherm uplift (shelf stations St. 8 and St. 10 performed just before and after the MRT,
- (ii) Isotherm upli respectively)
  - (iii) Profiles with stepwise vertical structure (shelf station St. 10; deeper stations St. 2 and 6).

The stations closer to the shelf break (St. 2 and St. 10) presented more pronounced thermocline staircase profiles and shallower ML. As in the work by Silva et al. (2021), we also observed uplift of colder waters on the slope and close to the shelf-break. In addition, the observed uplift influenced the primary productivity, as indicated by the chlorophyll-a profiles (**Figure 3d**). The peak of chlorophyll-a was close to 100 m in deeper stations (St. 2 and St.6), with slighter higher values (~0.9 mg.m<sup>-3</sup>) for St. 2, the station closer to the shelf-break (**Figure 3g**). In shallow stations, we did not observe the peak of chlorophyll-a, that remained below  $0.25 \text{ mg.m}^{-3}$ , except in St. 8, one of the stations with isotherm uplift

247 where it reached  $0.45 \text{ mg.m}^{-3}$ .





April climatology for this region shows the uplift of the isotherms adjacent to the shelf-break (Figure 3i), with no indication of this denser and colder water reaching the surface i.e., no upwelling. However, averaged temperature for the period of May to August (MJJA; Figure 3j) shows that the uplift observed in the climatology is not restricted to April. Indeed, for this period, the evidence is the uplift becomes upwelling, reaching the surface. As this uplift was previous related to the NBUC, we show current velocity down to 100m for the same period (**Figure 3k**). The current presented values  $\leq 0.4 \text{ m.s}^{-1}$ <sup>1</sup> close to the slope in the surface, and >0.4 m.s<sup>-1</sup> at the shelf break depth (~60m) increasing with depth reaching values >0.8 m.s<sup>-1</sup> at its core (150-275 m, not shown). For the period of MJJA the upwelling might be related to the swallowing of the NBUC's upper limit (**Figure 3l**).

In Figure 3e-g, we also presented the thermohaline structure for selected grid points of the 260 climatology (for the locations, see **Figure 1c**). M1 is the only point on the shelf close to the shelf-break 261 and the distance from the shelf increases oceanward from M2 to M4. The salinity from our CTD stations 262 (Figure 3a) was higher than the climatology (Figure 3e). And, although we should expect higher density 263 due to the higher salinity observed in the CTD stations, the potential density anomaly observed (Figure 264 3b), agreed with the climatology (Figure 3f). This was due to the fact that, except for St. 2, the 265 temperature from CTD stations (Figure 3c) bellow 30 m was 0.5°C higher than the expected from the 266 climatology (Figure 3g). 267

Additional temperature profiles from XBT data are presented in **Figure 3h.** Particularly, one of the profiles (X5), launched just after the MRT survey under high tide influence, presented a minus 2°C difference in temperature when compared with the first profile (X1), performed during low tide for 60 m, in less than 5 km distance. Temperature values for X5 were also below all values found in the other CTD profiles (**Figure 3c**) for the same depths from 30 to 100 m and agreed with the climatological values (**Figure 3g**). The vertical temperature gradient was weak and the 27°C isotherm was elevated, reaching almost 50 m deep.

The variability of temperature profiles in a small space- and timescale, mostly close to the slope, 275 was higher than expected, leading us to conclude that some shelf-break/slope process was in play. 276 Additionally, presence of uplifted water in St. 2 and St. 8, located close to the shelf-break, indicates that 277 a negative cross-shelf velocity (coast-ward) exists. In a previous work by Domingues et al. (2017) a 278 persistent cross-shelf flow towards the coast was previously reported at the region under the influence 279 of the Pernambuco Plateau orography (Figure 1b). However, to our knowledge, no mechanism was 280 proposed for this flow until now. In the next section we investigate the dynamic variability observed 281 during the MRT experiment to find some clues about the processes in play at the SBS transition. We 282 should add that, since we lack long term observations for this region, our inferences of why the 283 temperature was 0.5°C higher than the climatology are limited. So, we look only to the variability 284 between stations within our survey. However, we cannot discard that the interannual variability and/or 285 changes due to climatic changes might be responsible for this change. 286

### 287 **3.2 Patterns and variability during MRT experiment**

The MRT observational dataset used in the present work, comprises the scale of 36 hours and few (<11km) kilometers. The wind speed was weak for the whole MRT experiment (avg. 2.05  $\pm$ 0.80 m.s<sup>-1</sup>, **Figure 4a**) with prevailing SE-S direction (62% - 29%). Additionally, during the MRT experiment, mean SSS was 37.41 $\pm$ 0.07 with an amplitude of 0.25 (**Figure 4b**) while mean SST was 28.90 $\pm$ 0.18°C with an amplitude of 0.73°C (**Figure 4c**), with no evidence of upwelling. This means, that a subsurface forcing for the uplift is more likely to be in play.



Figure 4. Multiple rectangles transect time series of (a) wind velocity (black solid line) and direction (blue solid line); (b) sea surface salinity; (c) sea surface temperature, (d) averaged (15-59 m) along-shelf velocity ( $AS_{avg}$ , black solid line) and cross-shelf velocity ( $CS_{avg}$ , blue solid line); (e) cross-shelf tidal velocity (CS-TIDE, blue solid line), along-shelf tidal velocity (CS-TIDE, black solid line) and tidal amplitude (red dashed line) from the tide model TPXO9 and; (f) bottom-depth.

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The MRT experiment revealed a time-space dependent cross-shelf velocity in subsurface (Figure 300 4d) that can explain how the uplifted waters are being transported to the shelf. During the experiment, 301 the cross-shelf average velocity (CS<sub>avg</sub>, Figure 4d) fluctuated between ocean-ward, coast-ward, or null 302 current (average: 0.11±0.07 m.s<sup>-1</sup>, min/max -0.13/0.28 m.s<sup>-1</sup>). The along-shelf velocity in the first 60 m, 303 presented high frequency oscillations but was always positive (AS<sub>avg</sub> average:  $0.35\pm0.08$  m.s<sup>-1</sup>, min/max: 304 0.15/0.54 m.s<sup>-1</sup>) (Figure 4d). CS<sub>avg</sub> and AS<sub>avg</sub> velocities have important variability related to both the 305 tidal forcing and spatial patterns (in relation to shelf-break/slope position), that will be discussed along 306 this section. 307

CS-TIDE, AS-TIDE and tidal amplitude time series predicted from de tidal model TPXO9 for 308 the same time and location of the MRT experiment are presented in Figure 4e. AS-TIDE and CS-TIDE 309 presented higher absolute velocities ( $\pm 0.092$  m.s<sup>-1</sup> and  $\pm 0.05$  m.s<sup>-1</sup>, respectively) associated with 310 flood/ebb periods with opposite patterns (Figure 4e). Peak positive values of AS-TIDE (negative CS-311 TIDE) on the shelf and weak negative AS-TIDE (positive CS-TIDE) on the slope were observed during 312 the flood while the opposite patterns were observed during the ebb. The main direction for the tidal 313 forcing axis was the along-shelf direction, indicated by the stronger tidal current for the AS-TIDE 314 component (Figure 4d). High frequency variability observed as sharp peaks in both components are the 315 result of the change in the location (shelf/slope) as this time series was obtained from the same track 316 (i.e., same time and coordinates) as the MRT. This variability will be discussed further ahead. 317

EEMD results depicted eight variability components for bottom depth (BOT) and CS<sub>avg</sub> (C1 to 318 C8) and seven variability components for AS<sub>avg</sub>, CS-TIDE and AS-TIDE (C1 to C7). The original 319 timeseries for CS-TIDE, AS-TIDE, CS<sub>avg</sub> and AS<sub>avg</sub> and their main variability modes, in terms of 320 variance, are presented in Figure 5a-d. Their respective variance (%), averaged local period and 321 maximum amplitude are presented in Table 1. Variability modes with variance below 5% did not 322 significantly contribute to the total variability of the time-series and are therefore omitted on Table 1 323 and Figure 5 (see Supp. Table S1 and Figure S2 for all components obtained from EEMD). We also 324 omitted BOT components since it presented only one main mode of variability corresponding to 93.3% 325 of the variance (C5 with averaged period of ~1h43 min; Supp. Figure S2e). The space- and timescale for 326 this modulation trace back the cyclic change in depth due to the MRT transect repetition shown in Figure 327 4f, while the remaining components are representative of small-scale bottom features. The average 328 period represented of C5 from BOT will be called here onwards as shelf-slope scale, that represents the 329 variability related to shelf-ocean gradient. 330

Table 1. Variance (Var), averaged period (Period) and maximum amplitude (MA) for variability components with variance higher than 5% obtained from Ensemble Empirical Mode Decomposition (EEMD) of bottom depth (BOT), cross-shelf (CS-TIDE) and along-shelf (AS-TIDE) current from tidal model TPXO9, averaged cross-shelf (CS<sub>avg</sub>) and along-shelf (AS<sub>avg</sub>) velocities observed velocities during MRT survey.

Bottom depth (BOT)										
IMF	Var	· (%)	Period			MA (m)				
C5	9	3.3	1h43 min			457				
	(	CS-TIDE		AS-TIDE						
IMF	Var (%)	Period	MA (m.s <sup>-1</sup> )	IMF	Var (%)	Period	MA (m.s <sup>-1</sup> )			
C4	12.5	55min	0.014	C4	7.9	50min	0.041			
C5	39.2	1h33min	0.02	C5	20.4	1h26min	0.033			
<b>C7</b>	44.6	12h27min	0.013	<b>C7</b>	68.3	12h40min	0.038			
CS <sub>avg</sub> velocity				AS <sub>avg</sub> velocity						
IMF	Var (%)	Period	MA (m.s <sup>-1</sup> )	IMF	Var (%)	Period	MA (m.s <sup>-1</sup> )			
C4	10.2	43min	0.05	C4	21.5	50min	0.09			
C5	67.7	1h25min	0.13	C5	26.6	1h37min	0.08			
<b>C8</b>	5.1	12h24min	0.02	<b>C7</b>	44.4	12h39min	0.07			

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Figure 5. Main variability (highest variance) modes of (a) cross-shelf (CS-TIDE) and (b) along-shelf (AS-TIDE), (c) averaged cross-shelf ( $CS_{avg}$ ) and (d) along-shelf ( $AS_{avg}$ ) obtained through the EEMD method. The components C4, C5 and C7 were the most important for CS-TIDE, AS-TIDE and  $AS_{avg}$ , while the components C4, C5 and C8 were the main components for  $CS_{avg}$ . The original timeseries is presented for a comparison with the components as the red line on the top of (a) to (d). For the complete results of the EEMD method, see Figure S3 and S4.

The decomposition off the tidal current extracted from the tidal model TPXO9, CS-TIDE and 344 345 AS-TIDE, highlighted three main variability modes C4, C5 and C7 (Table 1). For CS-TIDE and AS-TIDE, the most important variability scale was represented by C7 (avg. period of 12h27min and 346 12h40min), followed by C5 (avg. period of 1h33min and 1h26min) and C4 (avg. period of 55min and 347 50min). The period for C7 matches the expected period for semi-diurnal tidal forcing while the period 348 for C5 is close to the period for the shelf-ocean scale. C4 matches the half of the period to achieve the 349 one rectangle in the MRT experiment, however, it will not be discussed since it presented smaller 350 variance and did not correlate with none of the observational data. The resulting patterns of both C5 and 351 C7 will be used for a comparison with the CS<sub>avg</sub> and AS<sub>avg</sub> EEMD results further ahead. 352

For  $CS_{avg}$  and  $AS_{avg}$ , within our spatiotemporal framework, we depicted three main characteristic scales: (i) tidally forced variability (C7 and C8); (ii) shelf-ocean variability (C5); and (iii) shelf-break variability related to gradients that peak in the transition between the shelf and the slope. These scales are interrelated in the SBS across a variety of interacting processes, mostly due to the along-shelf dynamics and tidal forcing.

### 358 (i) Semi-diurnal variability (C7, C8)

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Semi-diurnal variability was depicted in mode C8 for  $CS_{avg}$  and C7 for  $AS_{avg}$  (averaged period of ~12h32min; **Table 1**) with contributions of 5.1% and 44.4%, respectively, of the total current variability observed during the MRT survey. Tidal forcing was inferred by the strong correlations ( $CI_{global} > 0.8$ ) between the modeled and observed semidiurnal cross-shelf (**Figure 6a,b**) and along-shelf components (**Figure 6c,d**). However, the observed cross-shelf and along-shelf amplitudes for the components were larger than the estimated by the tidal current model (0.02 vs. 0.013 m.s<sup>-1</sup>/0.07 vs. 0.038 m.s<sup>-1</sup>, respectively; **Table 1**).



Figure 6. Intrinsic Mode Function C7 of CS-TIDE (black line) and C8 of the  $CS_{avg}$  m.s<sup>-1</sup> (red line) (**a**) and the resulting Time Dependent Intrinsic Correlation (**b**). Intrinsic Mode Function C7 of AS-TIDE (black line) and C7 of the  $AS_{avg}$  m.s<sup>-1</sup> (red line) (**c**) and the resulting correlation (**d**). Tidal ellipses for the semidiurnal components (**e**) M2 and (**f**) S2 from TPXO9. Blue rectangle represents the location of the MRT.

In summary, the semi-diurnal components of the IMFs, from the observed shallow currents, shows that the tidal forcing is the responsible for the main variability observed in the along-shelf current, while it only represents a small fraction of the variability observed for the cross-shelf current.  $M_2$  Tidal ellipses extracted from the tidal model TPXO9 (**Figure 6e,f**) shows that the main direction for tidal current in the MRT surroundings, is indeed the along-shelf direction. Additionally, those components have a period longer than the MRT scale and are therefore, independent of the measurement location (shelf or slope), which cannot be said about the higher frequency modes that will be visited in the next sections.

#### 379 *(ii) Shelf-slope variability (C5)*

Current variability components with averaged period close to the shelf-slope scale (C5 of CS<sub>avg</sub> and AS<sub>avg</sub>, with period ~ 1h31min) are expected to be related to cross-shore features along the shelfocean gradient. Both observed cross-shelf and along-shelf presented timescales close to this scale, however with more contribution for the total current variability for the cross- than for the along-shelf, explaining 67.7% and 26.6% of the total variability, respectively. To investigate the shelf-slope patterns, we present superimposed of C5 from CS<sub>avg</sub> and AS<sub>avg</sub> and C5 from bottom-depth (**Figure 7a,c**) and the TDIC correlation between them (**Figure 7b,d**).



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Figure 7. Intrinsic Mode Function C5 of BOT in  $m*10^{-5}$  (black line) and C5 of AS<sub>avg</sub> (a), CS<sub>avg</sub> (c), and CS-TIDE (e) in  $m.s^{-1}$  (red lines) and the respective correlations (b), (d) and (f). E2 in (b) highlight the change in correlation of the AS<sub>avg</sub> with BOT. Tidal amplitude is presented at the top of the correlation results in (b), (d), (f) as reference for tidal moment (i.e., ebb/flood, low/high tide).

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In the case of the along-shelf component, C5 mode was highly and mostly positively correlated with bottom-depth for almost all the survey timeframe (CI global: 0.77, max/min=1/-0.24; **Figure 7a,b**). Positive correlations between the BOT-C5 and along-shelf C5 indicate that stronger along-shelf currents were observed on the slope and weaker along-shelf currents are observed on the shelf, creating therefore, a stronger zonal gradient of the along-shelf current. This cross-shore gradient of the along-shelf current is expected due to the NBUC acting against the slope in this region (Hummels et al., 2015; Araujo et al., 2019; Dossa et al., 2021). However, the gradient seems to be increased during high tide, when compared to low tide (**Figure 7a**), which implicates that tidal forcing is increasing (decreasing) the along-shelf current on the slope (on the shelf) during the high tide, and the opposite happens during low tide. Indeed, during the second low tide, the shelf-slope gradient in AS<sub>avg</sub> reversed (see Event E2 in **Figure 7b**).

The correlation between the mode C5 of  $CS_{avg}$  and bottom-depth was high but oscillated between 403 in phase (maximum CI = 0.99) and out of phase (minimum CI = -0.97) (Figure 7c,d). Positive 404 correlations between the BOT-C5 and cross-shelf C5 indicate that positive cross-shelf currents are 405 observed on the slope and negative cross-shelf currents are observed on the shelf, creating a divergent 406 pattern. The opposite is true for negative correlations, where the positive cross-shelf current on the shelf 407 and negative on the slope simulates a convergent pattern. Correlation between CS-TIDE C5 with bottom 408 depth (Figure 7e,f reproduce the same patterns as CS<sub>avg</sub>, which lead us to conclude that tidal forcing is 409 driving these cross-shore spatial patterns. 410

To illustrate, we present the predicted tidal current in our study region at two moments (Figure 411 8c,d) - flood and ebb, respectively - during the execution of the MRT. The results show negative values 412 of the zonal current (positive meridional current) on the shelf and positive zonal current (weakly negative 413 meridional current) on the slope during the flood and the opposite during the ebb. The co-tidal lines are 414 also presented for M2 and S2 tidal constituents for the region (Figure 8e-f) and they explain why the 415 tidal current have this shelf/off-shelf modulation. A large phase difference in a short distance on the 416 MRT location can be observed from the cotidal lines that are almost parallel to the shelf break and slope 417 isobaths (Figure 8e,f). In addition, the divergent/convergent patterns of the tidal current can be explained 418 419 by the major semi-axis (i.e., the maximum tidal current velocity), that is almost northwestward on the shelf and northeastward on the ocean side (Figure 6e,f). 420

Nevertheless, the flood in the observed cross-shelf component is longer than in the tidal model 421 prediction (local positive strong correlation in Figure 7d-f). This asymmetry between the ebb and flood 422 is commonly observed at of narrow systems with strong tides and subjacent flows, manly in coastal 423 systems such as tidal channels and estuaries. It can be related to non-linear interactions, inertia, tidal 424 current interaction with bottom morphology, and/or the subjacent flows (e.g., Nidzieko and Ralston, 425 2012; Yoon and Woo, 2013; Guo et al., 2014; Li et al. 2016). To our knowledge tidal asymmetry was 426 not reported before for the shelf-slope transition. Although we are discussing only one mode of 427 variability, this mode represents more than 67% of the total current variability. This further highlights 428 the importance of these findings since this asymmetry can have implications for the cross-shelf transport 429 of sediments and nutrients. 430

In addition, the cross-shelf velocity observed during the MRT experiment is one magnitude order 431 higher than the model estimates (maximum amplitude: observation/model =0.13/0.02 m.s<sup>-1</sup>). This 432 difference between model and observation can lead to two main inferences: (i) tidal model is highly 433 underestimating the cross-shore current or (ii) another process is acting to increase cross-shelf 434 exchanges. One evidence that points to the second option is the current horizontal gradient (i.e., 435 horizontal shear) created by the faster along-shelf current in the oceanic side of the MRT - with 436 additional tidal contribution during the flood – and slower current at the adjacent shelf. In some 437 instances, the increased horizontal shear can lead to the generation of residual cross-shelf flow (Brink, 438 2016) that might account for the difference in model/observed cross-shelf current. We will revisit this 439 possibility in section 3.3. 440



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Figure 8. Tidal current velocity predicted from tidal model TPXO9 (Egbert and Erofeeva, 2002) at two moments
- (a) flood and (b) ebb – during the execution of the multiple rectangles transect (MRT) experiment. Co-tidal
(Phase) for the semidiurnal components (c) M2 and (d) S2 from TPXO9. Blue rectangle represents the location
of the MRT.

#### 446 *(iii) Shelf-break variability (C4)*

447 The scale of ~47 min was representative of shelf/shelf-break/slope variability, i.e., the features peak at the transition between the shallow and deeper waters. This scale was represented by the C4 448 component and contributed for 10.2% and 21.5% of the cross- and along shelf current total variability. 449 The high variability for the along-shelf its related to the stronger along-shelf current near the shelf-break 450 limit. In our case, this scale evidence a stronger current in the region closest to the slope wall than on de 451 adjacent shelf and ocean (Figure 9a). Interestingly, like shelf-slope scale (AS<sub>avg</sub> C5), the C4 scale, was 452 also influenced by the tide, as illustrated by the intensification of the shelf/shelf-break/slope patterns 453 during the high tide (Figure 9b). 454



Figure 9. Intrinsic Mode Function C4 of  $AS_{avg}$  in m.s<sup>-1</sup> (red line) superimposed to C5 of BOT in m\*10<sup>-5</sup> (a) and the tidal amplitude in m\*10<sup>-2</sup> (b) (black lines). Intrinsic Mode Function C5 (black line) and C4 (red lind) of  $CS_{avg}$ (c) and the resulting correlation (d).

The cross-shelf component C4 did not present discernible shelf-break patterns. Nonetheless, TDIC results of this mode with the lower frequency mode C5 showed moments of high local correlation, mostly around 12:50 and 15:47 GMT (**Figure 9c,d**), when both cross-shelf C5 and C4 amplitudes were higher. The timing for this correlation matched the event E1 for the along-shelf component C5 when the shelf/ocean gradient reversed. Although we cannot be sure due to the short timeframe for the MRT transects, that might indicate non-linear interaction of the along-shelf and with cross-shelf currents through horizontal shear.

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#### 3.3 Tidal contributions to shelf break dynamics

As pointed by Brink (2016), little is known about the general cross-shelf flow components and 469 mechanisms, due to their complexity and variability in time and space. During the MRT experiment, at 470 the shelf-break/slope transition, we observed cross-shelf current alternation between shelf-ward and 471 ocean-ward current. Figure 10a presents snapshots of the cross-shelf current during the flood (left panel) 472 and ebb (right panel) registered during the MRT experiment. In the flood, the current was shelf-ward 473 over the shelf but ocean-ward over the slope, creating a divergent pattern. Oppositely, during the ebb, 474 the flow was shelf-ward over the slope and ocean-ward over the shelf and shelf-break, creating a 475 convergent pattern. The current variability observed in those patterns was captured as the main 476 variability component C5, of the cross-shelf current (Figure 7c). 477

Although wind is a known driver of shelf-break dynamics (Allen, 1980; Lentz, 2001; Lentz and Chapman, 2004), when the wind is weak, as in our case, other processes be responsible for the crossshelf patterns (Brink, 2016; Dever, 1997; Schaeffer et al., 2013). Shelf-ward transport can also be induced by IWs propagation (Pineda, 1994, Cacchione, 2002; Lamb, 2013). When analyzing the crossshelf flow to search for IW propagation across the shelf-break in shallow layers, our results pointed to internal tidal waves (CS<sub>avg</sub> mode C7). However, this component did not present significant variability

(<5% of variance, **Table 1**). Therefore, IW dynamics was likely not the major contributor to the cross-484 shelf transport of the cold and salty waters that reached the shelf. Baroclinic instabilities can also create 485 cross-shelf exchanges, due to horizontal density gradient compensation in shelf-break frontal dynamics 486 (Barth et al., 1998; Cottier et al., 2005). Nevertheless, although the surface cross-shelf velocity 487 variability resemble a shelf-break front at times, sea surface temperature and salinity gradients were too 488 small (amplitude of 0.73°C for SST and 0.25 for SSS, Figure 4b,c) to be considered as fronts. The same 489 can be said about undersurface temperature and salinity from CTD and XBT that do not present 490 horizontal gradients as marked as those expected in frontal systems (Yanagi, 1987; Barth et al., 1998; 491 Acha et al., 2004). 492

As we commented in Section 3.2(ii), the tidal forcing is likely the main driver of these cross-493 shelf patterns, since the same patterns were observed from the cross-shelf velocity from the tidal model 494 (CS-TIDE C5 variability, Figure 7e). In the tidal model, the cross-shore variability seems to arise from 495 the phase difference between the slope and the shelf-break (Figure 8c,d). This phase difference is known 496 to result from bottom friction over changing bathymetry (e.g., Huthnance, 1973; Stern and Shen 1976; 497 Loder, 1980; Loder and Wright 1985) or, in the absence of bottom friction, from if nonlinear effects 498 (Robinson, 1981). The tides also play a role in changing along-shelf dynamics, which can be observed 499 by the tidal influence beyond the semidiurnal component (C7), on the higher-frequency variability 500 modes (C4 and C5) registered during the MRT experiment. To illustrate the tidal influence in both, 501 along- and cross-shelf currents we present the Hilbert spectrum (HS) for the IMFs obtained by post-502 processing the results from the EEMD for AS<sub>avg</sub> and CS<sub>avg</sub> (Figure 10b). The spectrum represents the 503 energy as the square of the amplitude in the time-frequency domain. Energy for the along-shelf velocity 504 505 HS peaks at high tide at different frequencies in (left panel) compared with the tidal amplitude extracted from TPXO9 (left-bottom). Energy for the cross-shelf velocity (right) is higher centered around 90min 506 (i.e. at the cross-shore scale) and only decreases when the C7 component of  $AS_{avg}$  is at his minimum 507 (right-bottom). As we explained in section 3.2(i), the C7 component of the AS<sub>avg</sub> is related to the semi-508 diurnal tidal forcing. 509

The general conclusion here is that the tidal forcing increased the energy of the along-shelf 510 current across the timescales. In turn, this energy is transferred from the along-shelf current to the cross-511 shelf component, increasing the gradients of the observed cross-shelf ebb/flood patterns (Figure 10a) in 512 relation to the predicted by the tidal model. Indeed, the tendency of stronger along-shelf velocity at the 513 shelf-break transition than over the surrounding shelf and oceanic waters can create zonal compensating 514 fluxes (i.e., divergence in the zonal direction) (Matano and Palma, 2008). Additionally, this might 515 explain why the cross-shelf current variability, observed during the MRT experiment was one order 516 magnitude higher than the tidal model prediction. Snapshots of the along-shelf current recorded during 517 the MRT experiment (Figure 10c) shows that the horizontal gradient of the current on the shelf and the 518 slope was stronger during the high tide (left panel) and weaker during low tide (right panel). 519

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Figure 10. (a) Hilbert Spectrum for the IMFs of  $AS_{avg}$  (from reprocessed EEMD) and Tidal amplitude extracted from TPXO9-atlas for the same period and location (left) and; Hilbert Spectrum for the IMFs of  $CS_{avg}$  (from reprocessed EEMD) and C7 from  $AS_{avg}$  (semidiurnal component) for the same period and location (right). Snapshots of the MRT current (arrows) at highlighted moments of (b) the cross-shelf current during flood/ebb (divergence/convergence), on the left and right, respectively; and (c) along-shelf current during high/low tide (high/low horizontal shear).

Considering that the tides affect the dynamics in the shelf break transition in our study region, we should expect it to also affect uplift variability. The uplift in the Southwestern Tropical Atlantic region was firstly reported by Silva et al. (2021) and, although they did not propose a mechanism for such observation, it was mentioned that the NBUC was mostly likely the main forcing. The uplift can be observed by the tilting of the isotherms to shallower depths closer to the slope, as we observed from the climatology (**Figure 3i**) and the St. 2 in relation to St. 6 (**Figure 3c**). In addition, NBUC seasonality includes the shallowing of the upper limit of the NBUC (**Figure 3k,l**), which should change the 535 interaction of this current with the shelf dynamics and therefore, the intensity of the uplift. From the 536 regional context, climatology indicates stronger uplift, and tendency to upwelling in austral winter 537 (**Figure 3i**) when the NBUC is shallower and stronger (**Figure 3l**).

During the fall of 2017, the context of our MRT experiment, the NBUC core was shallow (Dossa 538 et al., 2021), which also allows for the interaction with coastal currents dynamics that generate uplift. 539 As we presented in this work, the tides play a role in changing the NBUC shallow dynamics, therefore, 540 we should expect this to have some implications for uplift variability in a small timescale (within a tidal 541 cycle). The uplift should increase at high tide, led by the intensification of the along-shelf velocity in 542 subsurface and decrease during low tide, with de decrease of the along-shelf velocity. If indeed that is 543 the case, it should explain why, at the local scale, not all stations presented marked uplift (Figure 3c.h). 544 As expected, the uplift was stronger at high than low tide (see X5 at high tide vs. X1 at low tide in Figure 545 **3h**), however, the isotherm configuration during high tide agrees with the climatological patterns 546 (Figure 3g,h). This indicates that the tidal forcing during high tide is not increasing uplift in relation to 547 climatological patterns, but tidal forcing during low tide is in fact decreasing uplift. 548

549 Nevertheless, another important implication of the tides to uplift variability, arises from the crossshelf current patterns during the flood. During the flood, the shelf-ward flow can transport uplifted water 550 towards the shelf-break, as seems to be the case for St. 8 (Figure 3c), that was performed during the end 551 of the ebb period in the high tide. The uplift, followed by the periodic supply of richer waters to the 552 shelf-break region can have important implications for primary production within tidal cycles, as 553 evidenced by the increase in chlorophyll-a in St. 8 (Figure 3d), even with a modest associated decrease 554 in temperature. The contribution of sub superficial slope water can have implications for primary 555 productivity, as the inferior limit of the euphotic layer in the northeast Brazil can reach down to 60-90 556 m, outside the influence of major rivers flows (Macedo et al., 2009). In addition, it can have cascade 557 effects for top-predators (and fisheries) through bottom-up structuring (Bertrand, 2008b; 2014), favoring 558 the shelf-break/slope region. Indeed, despite being previously classified as oligotrophic (Ekau and 559 Knoppers, 1999; Araujo et al., 2019), Eduardo et al. (2018) showed that fish diversity and abundance in 560 Northeast Brazilian waters were higher than expected. They observed areas of high fish densities and 561 diversity near the shelf-break, between 30 and 60 m of depth. The cross-shelf flow contribution to the 562 transport of enriched waters towards the shelf could explain why the areas of high fish densities and 563 diversity are located close to the shelf-break. In addition to that, the turbulence generated by the 564 horizontal gradient of the along-shelf current can generate shear, that is known to mix and reorganize 565 the tracers (e.g. nutrients) and planktonic organisms in the water column (Denman and Gargett, 1983; 566 Haury et al., 1990; Bertrand et al., 2008b). 567

Finally, the interaction of the western boundary current NBUC with the tides – represented by 568 the increase of amplitude in all three main modes of the along-shelf current during high tide – and the 569 resulting cross-shelf velocity, points out to important tidal contributions to shelf-edge exchanges in this 570 region. Since the NBUC is part of the upper AMOC, these exchanges are of topical interest for global 571 fluxes, budgets and their response to climate change and human activities (Huthnance, 1995). 572 Additionally, anomalous variability in the cross-shelf exchanges, can indicate changes in dynamics of 573 the adjacent WBC (Gawarkiewicz et al., 2018; Todd et al., 2019), which justify the need for high-574 resolution long-term observation here and in other WBC systems. 575

#### 576 **4. Summary and final considerations**

In this work, we investigated the high-frequency variability of shelf-break/slope dynamics and the influence on uplift variability in a western boundary system in the Southwestern Tropical Atlantic. The western boundary current in the system was the North Brazil Undercurrent (NBUC) and the highfrequency variability was depicted through adaptative signal analysis of the continuous data obtained during the Multiple Rectangles Transect experiment. Additionally, in situ profiles and regional climatological data provided the needed framework to give a comprehensive characterization of the termohaline structure setting and investigate uplift variability.

Tidal forcing was the main responsible for the observed variability of the along- and cross-shelf 584 currents. Local variability of uplift intensity was also related to tidal forcing. The tidal currents interact 585 with the subjacent flow of the NBUC, decreasing uplift during low tide. Additionally, tidal forcing 586 creates alternating divergent/convergent moments during flood/ebb; and flood timing for cross-shelf 587 flow can enhance tracer transport toward the shelf. This can have important implications for transport 588 and mixing of nutrients, and therefore primary production, within a tidal cycle. In summary, the tidal 589 forcing observed during the MRT survey seems to contribute for shelf-break/slope dynamics and uplift 590 variability in different scales. In this manner, tidal forcing appears as an important process to be 591 considered in uplift mechanisms for the western boundary system in question. The NBUC core also 592 shows seasonal vertical displacements that might affect the uplift intensity of colder waters. Therefore, 593 seasonal core depth and tidal forcing needs thus to be considered when disentangling upwelling/uplift 594 mechanisms in Northeast Brazilian waters in future research. 595

We highlight the potential of the multiple rectangles transect observing strategy together with the adaptive analysis that provided novel information about the shelf-break/slope dynamics. The importance of the adaptive analysis lies in the explicit consideration that relationships in natural data change with time at different scales. This was exemplified in our results by the low global correlation index, compared with the high correlation and anti-correlation, in smaller windows sizes between bottom-depth and cross-shelf velocity. Any other stationary method would not be able to present such clear correlation results.

In what concerns the Multiple Rectangles Transect experiment, although it is a feasible, low cost 603 - when compared with the high cost of mooring - method to obtain timeseries from oceanographic 604 variables; there are many limitations. Two of them are the short timeframe of observations and the need 605 for simultaneous water termohaline structure, that limits the inferences in this work. From our 606 experience, we concluded that the MRT experiments should have at least 48h of duration if the aim is to 607 further investigate tidal effect on current dynamics. Additionally, it should benefit greatly from 608 underway measurements of the vertical stratification, such as those obtained through underway CTD 609 profilers. Continuous cross-shelf sections with underway CTD measurements should give a better insight 610 of the thermohaline and dynamical structure during the experiments. 611

Lastly, as the region is important for the Atlantic Meridional Overturning Circulation, investigations are still being conducted to understand and quantify the influence of the tides in the WBS in the SWTA and the uplift mechanisms using high resolution modelling. And, although regions of elevated bathymetric gradients are recognized as hot spots for productivity, there still need to investigate how the processes observed here really contribute to enhance biological productivity on the Northeast Brazilian waters, e.g., if nutrient residence time in the slope is reduce due to the high transport of the along shelf current.

#### 619 5. Data Availability

The quality controlled CTD datasets are freely available at SEANOE repository (<u>https://doi.org/10.17882/76352</u>). The quality-controlled sea surface temperature and salinity, raw current velocity, wind, XBT and echosounder bathymetric data obtained during the MRT experiment is available through request to the LMI-TAPIOCA/IRD principal investigator (<u>arnaud.bertrand@ird.fr</u>). CMEMS reanalysis product is freely available at the Copernicus Marine Service database (<u>https://doi.org/10.48670/moi-00021</u>).

# Appendix 1 - Ensemble Empirical Mode Decomposition and Time Dependent Intrinsic Correlation

To introduce the Ensemble Empirical Mode Decomposition method, one must first understand 628 the Hilbert Huang Transform (HHT) and the Empirical Mode Decomposition (EMD), as both methods 629 are linked to the development of the EEMD. Time-series of natural data usually present non-linear and 630 non-stationary characteristics, which are not well represented by most traditional time-series analysis 631 (e.g. Fourier Transform and wavelet) that are based on linear and/or stationary assumptions (Franzke, 632 2009; Huang and Schmitt, 2014; Kbaier et al., 2016). HHT – due to the *a posteriori* adaptive prerogative 633 634 - allows both non-stationarity and non-linearity of the data. The HHT can give a full energy-frequencytime distribution of the data, the Hilbert spectrum, which is ideal for nonlinear and non-stationary data 635 analysis (Huang et al., 1998). 636

The development of the HHT was driven by the need to describe nonlinear waves in detail and 637 its natural variations, common to nonstationary processes (Huang et al., 1996; Huang et al., 1998; Huang 638 and Shen, 2014). One of the typical characteristics of nonlinear processes is their intra-wave frequency 639 modulation, where the instantaneous frequency changes within one oscillation cycle (Huang et al., 1998; 640 Huang and Shen, 2014). Any deformation from the simple sinusoidal form wave-profile implies the 641 intra-wave frequency modulation (Huang et al., 1998). When using Fourier analysis, this intra-wave 642 frequency can only be depicted by resorting to harmonics, and they appear as "harmonic distortions". 643 Those harmonic distortions lack physical meaning, as they are a mathematical artefact from imposing a 644 linear structure on a nonlinear system (Huang et al., 1998; 1999). To reveal the physical meaning and 645 the intra-wave frequency modulations the analysis method requires the system description in terms of 646 the instantaneous frequency (IF). Physically, there is also a real need for IF in a faithful representation 647 of underlying mechanisms for data from nonstationary and nonlinear processes. 648

649 For non-stationary signals there are two main approaches to compute the instantaneous frequency, the quadrature method, and the analytic signal method (Cohen, 1995; Huang et al., 2009). 650 The first consists in directly computing the quadrature, a simple 90° shift of phase angle, and the latter 651 can be obtained through the Hilbert spectral analysis (Huang et al., 2009). The Hilbert spectral analysis 652 consists in applying the Hilbert transform (HT) to compute the instantaneous frequency of signals. After 653 performing the HT, the data can be expressed in the time-frequency-energy domain. There are many 654 applications of the Hilbert spectral analysis in the literature, such as theoretical mechanics, geophysics, 655 and signal processing (Huang et al., 1996). The Hilbert Huang transform, as denominated by the NASA, 656 is a combination of the Hilbert Spectral Analysis and the Empirical Mode Decomposition (Huang et al., 657 1996, 1998, 1999). In this method the instantaneous frequency is computed by applying the Hilbert 658 transform to the Intrinsic Mode Functions (IMFs) resulting from the Empirical Mode Decomposition. 659

EMD is an adaptive *a posteriori* empirical analysis that accommodates the characteristics of nonlinear and non-stationary data to separate the coexisting variability scales in any signal or time series. As well as in empirical orthogonal function (EOF) analysis, it is a decomposition of a signal into functions, in this case the IMFs, which are determined from the data itself. The method is analogous to performing principal components analysis on the data, except that the EMD returns temporal projections of the functions. Each variability component from the EMD is defined as an Intrinsic Mode Function that satisfies the following conditions: (1) across the entire data set, the number of extremes and the number of points crossing zero must be equal or at most, different by one and (2) at any point in the IMF, the average value of the envelope defined using local maxima and the envelope defined using local minima is zero (Huang *et al.*, 1998).

We present in **Figure A1a** a generic time-series of sea surface temperature x(t). The IMFs are obtained through an iterative process that begins with the identification of the local extremes (maximum and minimum) of the signal. The local maxima (minima) are connected by a spline to form the upper (lower) envelope of the function (red lines in **Figure A1b**). Then, we obtain the average function of this envelope  $(m_1)$  (blue line in **Figure A1b**). The difference between the original signal x(t) and the average function of this envelope  $(m_1)$  is called the first proto mode  $h_1$  (**Eq. A1**).

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$$h_1 = x(t) - m_1$$
 (*Eq.* A1)

The process is repeated (sifting process) until the remaining signal meets conditions of an IMF presented above. I.e., the proto mode  $h_1$  is treated as the data in the following iteration (**Eq. A2**).

- 679  $h_1 m_{11} = h_{11}$  (Eq. A2)
- 680 After k iterations (**Eq. A3**),  $h_{1k}$  becomes the first IMF,  $c_1$  (**Eq. A4**).

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$$h_{1(k-1)} - m_{1k} = h_{1k} (Eq. A3)$$

$$c_1 = h_{1k} (Eq. A4)$$

The sifting separates the finest local mode from the data based only on the characteristic time scale. However, this can have two effects: (a) the elimination of riding waves; and (b) the smoothing of uneven amplitudes. The first is necessary for the instantaneous frequency to be meaningful, and the second is also necessary in case the neighboring wave amplitudes have too large a disparity. Nonetheless, performing sifting the process to an extreme could make the resulting IMF a pure frequency modulated signal of constant amplitude, eliminating the physically meaningful amplitude fluctuations (Huang *et al.*, 1998).



Figure A1. Illustration of the sifting processes: (A) the original data, x(t); (B) the original data (black line), with the upper and lower envelopes (red lines) and the mean,  $m_1$  (blue line); (C)  $h_1$ , the difference between x(t) and  $m_1$ .  $h_1$  is still not an IMF, for there are still negative local maxima and positive local minima suggesting riding waves.

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To guarantee that the IMF components retain enough physical sense of both amplitude and frequency modulations, Huang *et al.* (1998) determined a criterion for the sifting process to stop. This criterion can be achieved by limiting the size of the standard deviation, SD, computed from the two consecutive sifting results, the same as the Cauchy's convergence criterion (**Eq. A5**). A typical value for SD can be set between 0.2 and 0.3.

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SD = 
$$\sum_{t=0}^{T} \left[ \frac{\left| h_{1(k-1)}(t) - h_{1k}(t) \right|^2}{h_{1(k-1)}^2(t)} \right]$$
 (Eq.A5)

After the convergence criterion is reached,  $c_1$  should contain the finest scale or the shortest period component of the signal. We can then separate  $c_1$  from the rest of the data by **Eq. A6**.

$$x(t) - c_1 = r_1 \tag{Eq.A6}$$

Now  $r_1$  is the residue that still contains information of longer period components. It is treated as the new data and subjected to the same sifting process described above. This procedure can be repeated on all the subsequent  $r_i$ , and the result is:

$$r_1 - c_2 = r_2, \dots, r_{n-1} - c_n = r_n$$
 (Eq. A7)

The iteration can be stopped by any of the following predetermined criteria: either (a) when the component,  $c_n$ , or the residue,  $r_n$ , becomes so small that it is less than the predetermined value of substantial consequence, or (b) when the residue,  $r_n$ , becomes a monotonic function from which no more IMFs can be extracted. Even for data with zero mean, the final residue can still be different from zero; for data with a trend, then the final residue should be that trend. By summing up **Eq. A6** and **Eq. A7**, we finally obtain **Eq. A8**. Thus, we achieved a decomposition of the data into n-empirical modes, and a residue,  $r_n$ , which can be either the mean trend or a constant.

$$x(t) = \sum_{i=1}^{n} c_i - r_n \qquad (Eq.A8)$$

The Figure A2a shows the sea surface salinity recorded during the MRT experiment (top panel) 717 followed by the results of the EMD decomposition in terms of the IMFs (C1 to C5) and the residue 718 (bottom panel). The IMFs represent the different intrinsic timescales at which the original signal is 719 modulated from the highest to the lowest frequency. The results should present a general separation of 720 the data into locally non-overlapping time scale components. However, in this example we observe one 721 of the major drawbacks of the EMD, that is the mode mixing, which is defined as a single IMF either 722 consisting of signals of widely disparate scales, or a signal of a similar scale observed in different IMF 723 components (Wu and Huang, 2009). From the MRT experiment we know that the main variability of 724 the salinity is due to the change in the position of the measurements (shelf/slope). This is clear until 725 02:17, however, after this time, there are other scale processes overlapping the shelf-slope scale. That is 726 the case for mode C3 and C4 (Figure A2a), there are similar scales in both modes. To reduce the mode 727 mixing, we applied the Ensemble EMD (the EEMD) for the same time-series of sea surface salinity. The 728 results (Figure A2b) show the improvement of variability modes separation, and now the shelf-slope 729 scale was well represented in C5. 730

## The Ensemble EMD (EEMD) was formulated by Wu and Huang (2009) to decrease the mode mixing drawback. It is based on the EMD with the additional application of the *Noise Assisted Data Analysis* (NADA). In this method we add a white Gaussian noise $w_n(t)$ to the original data x(t) as in **Eq. A9** and then decompose the noise data $x_n(t)$ with the standard EMD. We perform several (N) trials with varying noise ensembles $w_n(t)$ .

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$$x_n(t) = x(t) + w_n(t)$$
 (Eq. A9)

At the end of the trials, the final IMFs are the ensemble mean of the trials resulting IMFs **Eq.** A10. In this manner, with enough number of trials, statistically, the added noise cancels out in the ensemble result.

**N** 7

$$imf(t) = \frac{1}{N} \sum_{n=1}^{N} imf_n(t)$$
 (Eq. A10)

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Figure A2. Results from the EMD for the wind speed data series presented in Figure A1. At the top is the original timeseries (red line), followed by the IMFs (C1 to C5) and the residual (blue line) or in this case, the trend at the bottom.

In EEMD, the number of ensemble and the noise amplitude are the two parameters that need to be prescribed. Wu and Huang (2009) recommend an ensemble number of a few hundred and a noise of an amplitude that is about 0.2 standard deviation of that of the data. However, when the data is dominated by high-frequency signals, the noise amplitude may be smaller, and when the data is dominated by lowfrequency signals, the noise amplitude may be increased.

After the verification that the components extracted from the EEMD are IMFs (Wu and Huang, 2009), the physical meaning of the decomposition can be obtained through the Hilbert spectrum. That can be achieved by applying the Hilbert transform to the IMFs. For any function x(t) of  $L^p$  class, its Hilbert transform y(t) is given by the **Eq. A11** where *P* is the Cauchy principal value of the singular integral.

$$y(t) = \frac{1}{\pi} P \int_{-\infty}^{\infty} \frac{x(\tau)}{t - \tau} d\tau \qquad (Eq. A11)$$

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With the Hilbert transform y(t) of the function x(t), we obtain the analytic function,

$$z(t) = x(t) + iy(t) = a(t)e^{i\theta(t)}$$
, (Eq. A12)

where  $i = \sqrt{-1}$ ,

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$$a(t) = (x^2 + y^2)^{1/2}, \qquad \theta(t) = tan^{-1}\frac{y}{r}.$$
 (Eq. A13)

Here *a* is the instantaneous amplitude, and  $\theta$  is the instantaneous phase function. The instantaneous frequency is given by the **Eq. A14**.

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$$\omega = \frac{d\theta}{dt}$$
 (Eq. A14)

With both amplitude and frequency being a function of time, we can express the amplitude (or energy, the square of amplitude) in terms of a function of time and frequency,  $H(\omega, t)$ . The marginal spectrum can then be defined by the **Eq. A15** where [0, T] is the temporal domain within which the data is defined.

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$$h(\omega) = \int_0^T H(\omega, t) dt, \qquad (Eq. A15)$$

The marginal spectrum represents the accumulated amplitude (energy) over the entire data span in a probabilistic sense and offers a measure of the total amplitude (or energy) contribution from each frequency value, serving as an alternative spectrum expression of the data to the traditional Fourier spectrum. The Hilbert Spectrum for the IMFs extracted from the sea surface salinity timeseries is shown in **Figure A3** with the original timeseries at the bottom. From this result we verify that indeed, the higher energy (amplitude) for the timeseries is found in the lower frequency modes, mainly the shelf-slope scale around 90min.

Once the signal variability scales have been obtained, the next step consists of determining the 775 forces responsible for this oscillation and/or obtaining the correlation between different variables. The 776 problem with this is to obtain a correlation that is compatible with the type of assumption we make in 777 EEMD. That is, a method that assumes the possibility of (i) the existence of mixtures of scales in the 778 same signal; (ii) that the behavior of a scale can depends on time and (iii) that there are relationships that 779 can vary with time within the same scale. Traditional correlation methods usually do not support these 780 assumptions; therefore, Time-Dependent Intrinsic Correlation (TDIC) (Chen et al., 2010; Huang and 781 Schmitt, 2014) emerges as a complementary tool to investigate correlations over time between two 782 783 scales.

TDIC is based on the IMFs resulting from EMD/EEMD and has the same assumptions of nonlinearity and non-stationarity of the signal. TDIC correlate over time two IMFs of different variables, with similar frequencies, on various time scales (i.e., time windows). The correlation over time is given by **Eq. A16**:

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$$R_{i}(t_{k}^{n}) = Corr(c_{1i}(t_{w}^{n}), c_{2i}(t_{w}^{n}))$$
 (Eq. A16)

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**Figure A3.** Hilbert Spectrum of the IMFs resulting from the Empirical Mode Decomposition of sea surface salinity timeseries. The colors represent the energy in terms of the square of the amplitude. The higher energy (higher amplitude) in this case is concentrated in low frequency modes (longer period); with periods oscillating around 90 min, corresponding to the shelf-slope scale.

Where  $c_{1i}$  and  $c_{2i}$  are the two IMFs investigated,  $t_w^n$  is the time window for the correlation, given by **Eq. A17** and *n* is any real positive number. The minimum window for calculating local correlation is given by **Eq. A18**, where  $T_{1i} \in T_{2i}$  are the instantaneous periods of the two IMFs obtained through the zero-crossing method.

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$$t_w^n = [t_k - nt_d/2: t_k + nt_d/2]$$
 (Eq. A17)

$$t_d = \max(T_{1i}(t_k), T_{2i}(t_k))$$
 (Eq. A18)

801 Student's t-test is also performed to investigate whether the difference between the correlation 802 coefficient and zero is statistically significant. If the correlation does not pass the Student's t-test (p>0.5), 803 a blank mask is placed in the correlation matrix. The result is a correlation matrix with statistical 804 significance over time (x-axis) for each time window used to calculate the correlation index (y-axis) 805 (Chen *et al.*, 2010). **Figure A4** presents a simplified scheme of the joint EEMD/TDIC methodology.

See example of two time series decomposed by the EEMD (Figure A4a) and the resulting IMFs 806 (Figure A4b). The resulting IMFs are evaluated in terms of the variance, i.e., how much of the original 807 data variability (in percentage) is explained by each IMF (e.g., in Figure A4c, C5 explains 60.5% of the 808 time series 1 and 18.5% of time-series 2). The result of the TDIC can be represented in two dimensions 809 (e.g., Figure A4d right panel), where the x-axis is the time of the time-series, and the y-axis is the time-810 window used for the calculation of the correlation index, i.e., the timescales that range from the local 811 period (local correlations at the bottom of the triangle in Figure A4d) to the whole time series (global 812 correlation at the top of the triangle in Figure A4d). The correlation index calculated in the maximum 813

time window is equivalent to the global correlation for the entire time series in traditional correlation

815 methods.



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Figure A4. Example of the methodology applied to investigate the Multiple Rectangles Transect timeseries. (a) 817 Two timeseries are decomposed through means of the EEMD method to obtain the respective (b) variability 818 modes (i.e., the Intrinsic Mode Functions - IMFs). (c) The C5 mode of the Timeseries 1 was chosen to be compared 819 with the C5 from timeseries 2 as they have close frequency modulation. The correlation of the signals in (d) are 820 presented in the TDIC results (right panel). The colors represent the correlation index, that can be positive 821 (correlation), null (no correlation) or negative (anticorrelation). The x-axis is the time of the timeseries, and the 822 y-axis is the window size used for the correlation calculation, from the minimum local wave period (base of the 823 triangle) to the maximum period corresponding to the whole timeseries (i.e., global correlation at the top of the 824 825 triangle).

Comparing the modes (**Figure A4d**), we observe the higher amplitude of both at the beginning of the timeseries with the same phase and decrease of amplitude and change in frequency (mostly in timeseries 2) after 3:17 GMT, that if just after the sunrise. The signals become in phase again, still with small amplitude, at the end of the time series. For this example, we observe a strong local correlation that decreases along time and increase again at the end of the time series. This decrease in correlation in the middle of the time series, results in the global correlation 0.76, even though the local correlation at

the beginning and the end of the time series reach the maximum value of 1, revealing the importance of

the local correlation for this example.

We should point that, for correlations that are consistent (positive or negative) along the whole timeseries, the relationship could be represented by a simple correlation method. However, as observed from the results in section 3.2 (ii), the change in correlation of two IMFs along time can lead to low global correlations, which represent the correlation obtained from traditional methods, and sometimes to misleading interpretation, while TDIC can give a much more precise information about the changing relationship along time.

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Journal of Marine Systems

Supplementary Material for

## Tidal contributions to shelf break dynamics in the South-western Tropical Atlantic

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Captions for Video 1 Table S1 Figures S1 and S2 **Video 1.** Time lapse of the location (red dot) and track (blue line) of the ship (top panel) during the multiple rectangles transect execution and simultaneous acquisition of bottom depth (middle panel) and current velocity (along- and cross-shelf on the bottom panel) data.

**Table S1.** Complete table of IMFs obtained from Ensemble Empirical Mode Decomposition (EEMD) analysis. Values of variance (Var), averaged period (Period) and maximum amplitude (MA) are presented for IMFs of averaged cross-shelf (CSavg) and along-shelf (ASavg) velocities, cross-shelf (CS-TIDE) and along-shelf (CS-TIDE) current from tidal model TPXO 9 and Bottom depth (BOT). For the graphical results, see Supp. Figure S2, S3 and S4.

INTE	Bottom depth (BOT)							
INF	Var (%)		Period		MA (m)			
C1	<1		5 min		45			
C2	<1		8 min		45			
C3	<1		17 min		62			
<b>C4</b>	3.4		36 min		143			
C5	93.3		1h43 min		457			
C6	2.2		1h50 min		87			
<b>C7</b>	<1		4h12 min		22			
<b>C8</b>	<1		12h05 min		11			
	CS-TIDE			AS-TIDE				
IMF	Var (%)	Period	MA (m.s <sup>-1</sup> )	Var (%)	Period	MA (m.s <sup>-1</sup> )		
C1	<1	5min	< 0.01	<1	5min	< 0.01		
C2	<1	10min	< 0.01	<1	9min	< 0.01		
C3	1.5	32min	< 0.01	1.2	30min	0.014		
<b>C4</b>	12.5	55min	0.014	7.9	50min	0.041		
C5	39.2	1h33min	0.020	20.4	1h26min	0.033		
C6	1.8	2h06min	< 0.01	1.7	2h9min	0.012		
<b>C7</b>	44.6	12h27min	0.013	68.3	12h40min	0.038		
	CS <sub>avg</sub> velocity			AS <sub>avg</sub> velocity				
IMF	Var (%)	Period	MA (m.s <sup>-1</sup> )	Var (%)	Period	MA (m.s <sup>-1</sup> )		
C1	<1	5min	0.05	<1	6min	0.04		
C2	2.9	9min	0.04	2.3	10min	0.06		
C3	4.2	15min	0.05	3.3	19min	0.04		
C4	10.2	43min	0.05	21.5	50min	0.09		
C5	67.7	1h25min	0.12	26.6	1h37min	0.08		
C6	4.8	2h33min	0.03	1.6	3h21min	0.02		
<b>C7</b>	4.3	6h27min	0.03	44.4	12h39min	0.07		
<b>C8</b>	5.1	12h24min	0.02	-	-	-		



**Figure S1.** Location (a) of XBT (red dots) and CTD (black dots) stations in relation to the multiple rectangles transect (MRT). Vertical profiles of temperature (b) for XBTs X1 (red), X2 (magenta), X3 (black), X4 (green) and X5 (blue). Vertical profiles of salinity (c), temperature (d), dissolved oxygen (e) and chlorophyll-a concentration (f) for CTD stations ST1 (black), ST2 (red), ST6 (blue), ST8 (magenta) and ST10 (green); for XBTs X1 (red), X2 (magenta), X3 (black), X4 (green) and X5 (blue). CTDO stations were achieved on 09-04-2017 16:35, 09-04-2017 20:44, 11-04-2017 09:57, 11-04-2017 18:45 and 13-04-2017 01:16 GMT, respectively. XBTs were launched on 11-04-2017 23:16, 12-04-2017 00:09, 12-04-2017 00:27, 12-04-2017 01:41, 12-04-2017 21:10 GMT, respectively.



**Figure S2.** Ensemble Empirical Mode Decomposition results for the (**a**) cross-shelf tidal velocity (CS-TIDE) and (**b**) along-shelf tidal velocity (AS-TIDE) from the model TPXO9 for the same coordinates and time of the multiple rectangles transect (MRT); (**c**) cross-shelf velocity ( $CS_{avg}$ ) and (**d**) along-shelf velocity ( $AS_{avg}$ ) and; (**e**) bottom depth during the rectangle transect (MRT) experiment.C1 to C9 represent the components of the different variability scales. The residual of the composition represents the trend for the time-series.