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ABSTRACT

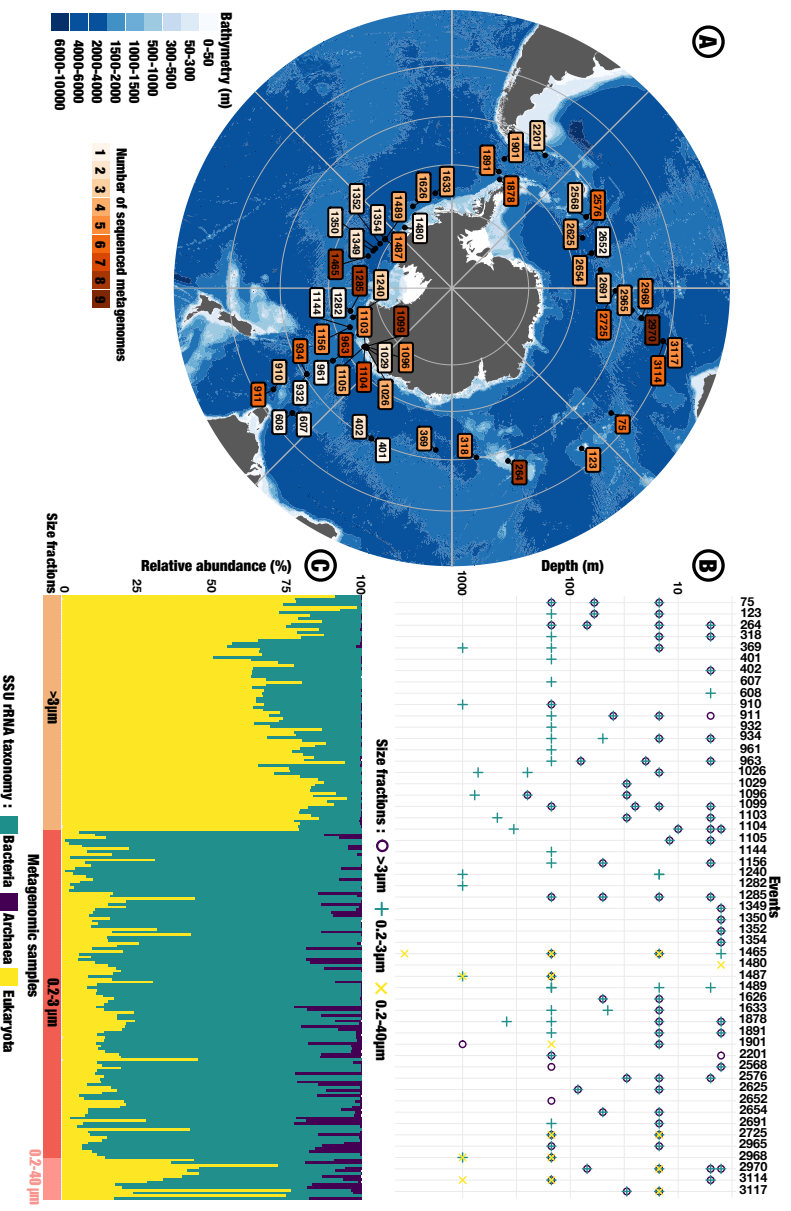
The Southern Ocean (SO) plays a key role in regulating global biogeochemical cycles and climate, yet microbial genes sustaining its biological activity remain poorly characterized. We introduce a comprehensive SO microbial genes collection from 218 metagenomes sampled during the Antarctic Circumnavigation Expedition, the majority of which are missing from functional databases. 38% even lack homologs in current reference marine gene catalogs, defining a singular genetic seascape. We show that SO gene assemblages exhibit a common polar signature with the Arctic Ocean while being structured by water masses at the SO-scale. We analyze genomic markers of diverse SO biomes, focusing on adaptations to organic matter consumption in the blooming Mertz polynya and temperature-dependent trace metal utilization by the ubiquitous Bacteria *Pelagibacter*. Our work takes a step towards a more comprehensive understanding of SO's plankton ecology and evolution, capturing the current state of the unique microbial diversity in this rapidly changing Ocean.

52 **INTRODUCTION**

53 The Southern Ocean (SO) dominates other oceans in heat and carbon uptakes while being
54 particularly exposed to climate change impacts¹. It is mainly composed of high nutrient low
55 chlorophyll waters (HNLC) where phytoplanktonic growth is limited by trace elements such as
56 iron or manganese^{2,3}. In presence of these elements, phytoplankton blooms can reach
57 concentrations of 10^8 cells per liter⁴, playing a key role in carbon sequestration through the
58 biological pump⁵. Beyond phytoplankton, the extent of carbon export is impacted by the
59 consumption and remineralization of organic matter by communities of bacteria and
60 archaea^{6,7}. Yet, *in situ* abundance and diversity of microbial communities in the SO remain
61 poorly described.

62 Recent large-scale environmental metagenomics projects highlighted the rich functional and
63 taxonomic diversity of marine plankton and the driving effect of environmental conditions on
64 planktonic communities⁸⁻¹¹. However, only two sampling locations in the SO were included in
65 recent efforts to compute global genes and genomes catalogs^{12,13}, underscoring the
66 substantial undersampling of this critical ocean. A study focusing on polar oceans and
67 including 21 metagenomics samples from the SO allowed the construction of a first polar gene
68 catalog in 2020, showing the high prevalence of polar specific genes in the SO¹⁴. Yet, we still
69 lack a realistic census of SO's microbial diversity and of the environmental factors structuring
70 its planktonic communities. We address this important knowledge gap, identifying drivers of
71 planktonic functional and taxonomic diversity in this area subject to major environmental
72 changes¹.

73 The Antarctic Circumnavigation Expedition (ACE) circumnavigated the Southern Ocean
74 during the 2016-2017 austral summer, producing an unprecedented amount of physical,
75 chemical and biological observations¹⁵. Analyzing 218 metagenomes, we increase by an order
76 of magnitude the number of SO samples ever considered in a meta-omics study to present
77 the first SO-specific gene catalog (Fig. 1). Building on the seminal work of previous global
78 metagenomics efforts¹⁶⁻¹⁸, we first demonstrate the broadscale uniqueness of the SO
79 compared to other oceans, before diving into its regional variability. To exemplify the
80 uniqueness of biomes in the SO, we focus on the genomic signature of specialist species
81 occurring in the Mertz polynya, before using SAR11 as a case study of genomic adaptations
82 to polar conditions in a ubiquitous taxon.



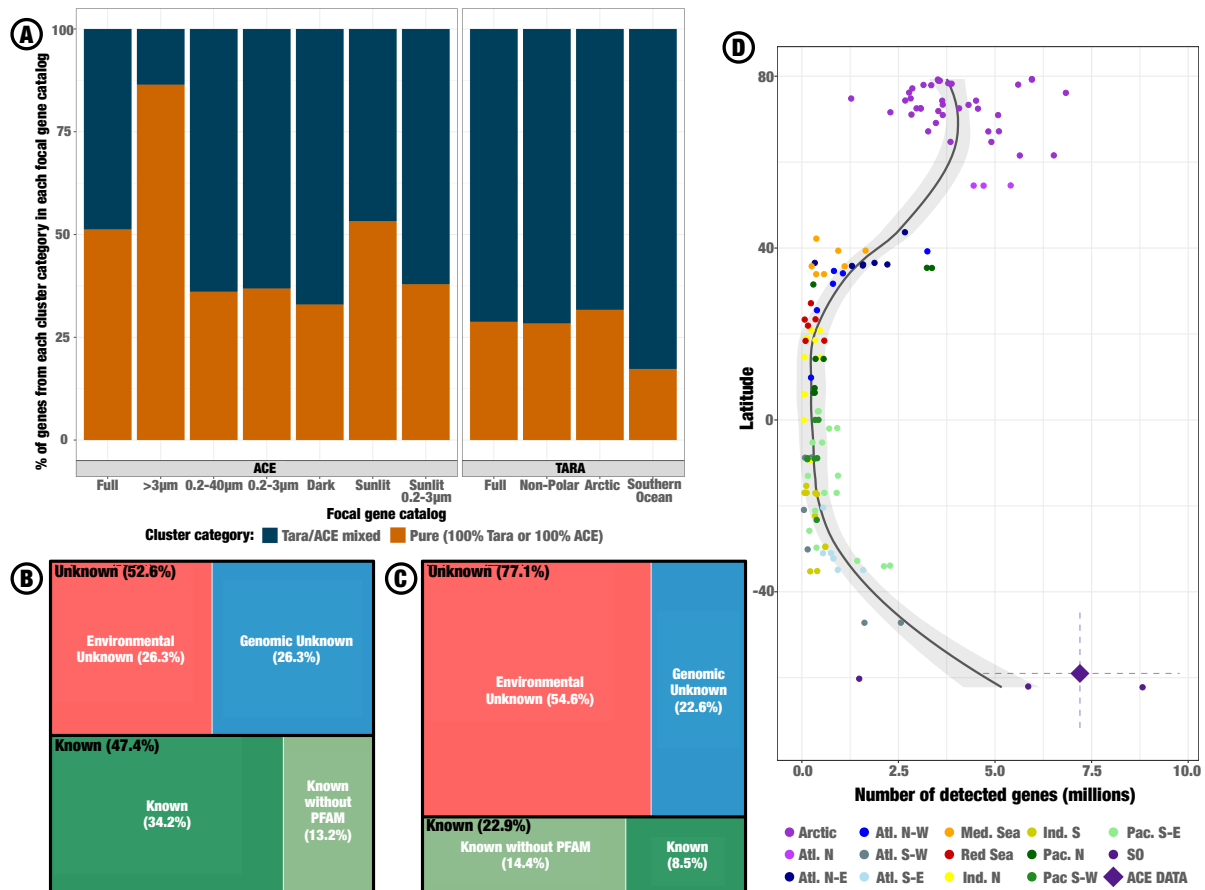
83 **Figure 1:** Overview of the ACE metagenomics dataset. (A) Map of CTD downcast events on which
84 metagenomics samples were taken, colored according to the number of samples taken on the cast. (B)
85 Depth and size fraction chart of all ACE metagenomes. Each vertical line corresponds to an event as
86 pictured on the map in A, and each metagenome is represented as a dot at its corresponding depth,
87 with the shape indicating the size fraction. (C) Relative abundance of each domain of life in every
88 sampled metagenome, as estimated through SSU rRNA reconstruction from metagenomics short
89 reads. Samples were separated according to their size fraction on the x axis, as indicated on the bottom
90 layer.

91 RESULTS

92 Broadscale novelty of Southern Ocean’s microbial genes

93 An unsuspected genomic diversity at SO scale

94 Individual assemblies of the 218 metagenomes (Fig.1, Fig.S1) produced 68,074,004 contigs
95 in which we identified 175,336,776 Open-Reading Frames (ORFs). We dereplicated these
96 ORFs using 95% similarity and 90% coverage thresholds, producing 89,739,060 dereplicated-
97 genes hereafter called unigenes. 51.3% of ACE unigenes did not cluster with any unigene
98 from the most recent *Tara Ocean and Polar Circle* gene catalog¹⁶ (OM-RGC-v2) at thresholds
99 of 30% similarity and 80% coverage in amino acid sequence (Fig. 2A). This number remained
100 at 37.9% accounting only for ACE unigenes assembled from the 0.2-3µm size fraction in the
101 sunlit layer (Fig. 2A). Conversely, 28.9% of OM-RGC v2 unigenes did not cluster with any ACE
102 unigene using the same thresholds. This strong mutual exclusion between the two catalogs
103 highlights the originality of the SO as compared to other oceans, especially considering that
104 the OM-RGC-v2 includes unigenes assembled from Arctic metagenomes.
105



106

107 Figure 2: Novelty of Southern Ocean microbial genes. (A) Distribution of genes from either the ACE
 108 unigene catalog (left box) or the OM-RGC v2 (right box) into pure or mixed gene clusters. Genes from
 109 both catalogs were clustered at 30% similarity and 80% coverage thresholds in amino acid sequences,
 110 then classified as either belonging to a pure cluster, i.e. a cluster only containing genes from one
 111 catalog, or a mixed one, i.e. a cluster mixing genes from both catalogs. Results are either presented on
 112 the full catalogs, or restrained to specific gene subsets involving size fractions, depth, and geography,
 113 as described on the x axis. (B) Chart of AGNOSTOS annotations at gene level, i.e. accounting for the
 114 number of genes in each cluster annotation category: Environmental Unknowns (EU) lack functional
 115 annotations and are absent from any genome recorded in the AGNOSTOS database, Genomic
 116 Unknowns (GU) also lack functional annotations yet are recorded in a genomic context in the
 117 AGNOSTOS database, Knowns are functionally annotated, either with (K) or without PFAM (KWP)
 118 annotations. (C) Chart of AGNOSTOS annotations at AGNOSTOS gene cluster level. (D) Latitudinal
 119 gradient of ACE genes' detection in Tara Oceans and Polar Circle samples. Genes were considered as
 120 detected if at least 60% of their sequence was covered with a depth of 1X or more. The mean number
 121 of detected genes in ACE samples is indicated by the diamond shaped point, with the horizontal dashed
 122 line spanning from first to third quartile of detected gene number and the vertical one from minimum to
 123 maximum latitudes. A loess curve was fitted to the number of detected genes in Tara samples, not
 124 taking into account ACE samples.

125 We further explored distant gene homology using AGNOSTOS¹⁹. We clustered the
 126 175,336,776 ORFs into 30,123,228 AGNOSTOS gene clusters (AGC), of which 64.8% were
 127 singletons, 32.6% were good-quality clusters of multiple ORFs as per AGNOSTOS standards,
 128 and 2.5% were discarded as low-quality clusters. 52.6% of the ORFs were tagged as
 129 unknowns (i.e. without functional annotation) and contributed to 77.1% of all AGC, illustrating
 130 the high prevalence of singletons among unknown ORFs compared to known ones, which

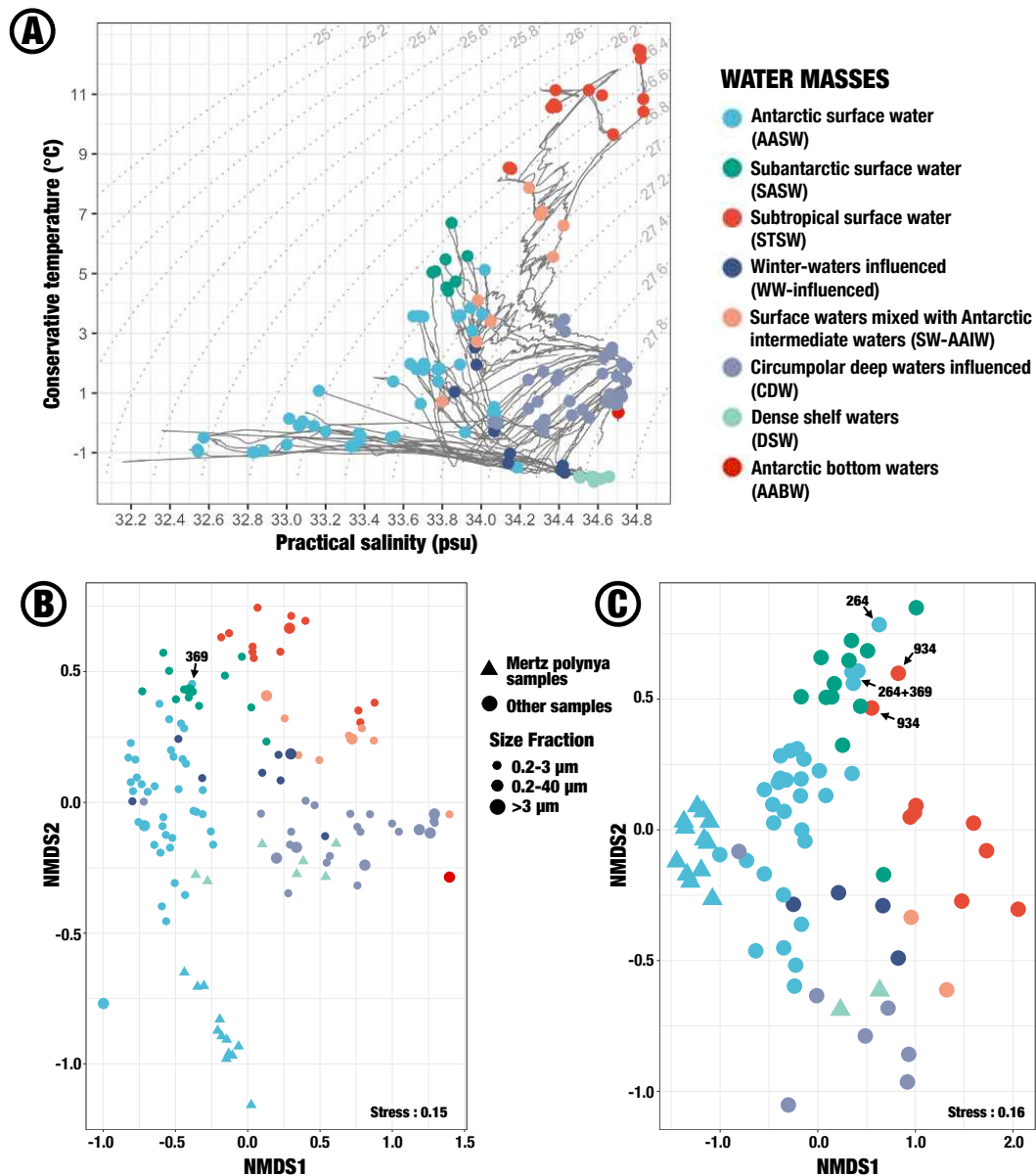
131 clustered better together (Fig. 2B,2C). The asymptotic nature of collector curves drawn at AGC
132 level suggests that the ACE AGC catalog covers most of SO genomic diversity (Figure S2).

133 *Bipolar distribution of Southern Ocean microbial genes*

134 Adaptation to polar conditions is thought to be responsible for a high genomic similarity
135 between Arctic and Antarctic microbiomes despite dispersal isolation¹⁴. To quantify this bipolar
136 pattern among ACE genes, we mapped 134 *Tara Oceans* (TO) and *Tara Polar Circle* (TPC)
137 metagenomes covering most subtropical and arctic oceanic regions onto ACE contigs. The
138 resulting detection matrix shows a bipolar distribution of SO-genes at global scale (Fig. 2D).
139 The mean number of detected ORF per ACE sample was of 7,198,029, while it was of
140 3,956,716 in TPC samples, illustrating the high level of endemism of the SO despite
141 similarities in gene content between poles. This mean dropped to 334,896 ORFs for non-polar
142 TO samples. Of the 34,344,531 ACE ORFs detected in at least one sample from the Arctic
143 Ocean, 26,353,298 were absent from all non-polar oceans sampled during TO and therefore
144 identified as polar-specific. Polar specific ORFs were distributed in 14,426,012 unigenes and
145 4,105,973 AGC clusters, of which 61.8% were unknown (39% environmental unknown, 22.8%
146 genomic unknown). We identified 4,314 EggNOG functions significantly enriched in polar
147 specific unigenes compared to the rest of ACE unigenes (over a total of 54,772 functions,
148 unilateral Fisher tests, adjusted p-value < 0.01). The six functions with the highest odds ratio,
149 ranging between 4.0 and 4.3 in favor of polar-specific unigenes, were *Formate dehydrogenase*
150 *(NAD⁺) activity*, *Excinuclease ABC* (UV-specific endonuclease), *Septum formation initiator*,
151 *cold-shock protein*, *oxidoreductase activity acting on the aldehyde or oxo group of donors*,
152 *iron-sulfur protein as acceptor* and *Iron-binding zinc finger CDGSH type* (See Table S1 to
153 access complete list of enriched functions).

154 **The SO hosts a diversity of unique microbial biomes shaped by oceanographic fronts** 155 **and phytoplankton blooms**

156 We analyzed AGC's biogeography following three steps, (1) an unconstrained analysis of
157 AGC's distribution across samples, (2) a univariate exploration of each AGC to detect those
158 linked to the environment (env-AGC) and (3) a grouping of env-AGCs into co-abundant groups
159 to allow a multivariate exploration of their response to environmental gradients. We worked
160 independently on the free-living (0.2-40 + 0.2-3 μm) and >3 μm size fractions considering their
161 different taxonomic profiles (Figure 1C). We focused exclusively on AGCs with non-repeated
162 coverage values in at least 20% of samples (1,906,624 and 2,437,988 clusters in the free-
163 living and >3 μm size fractions, resp.), avoiding rare AGCs as well as AGCs with uniform
164 distribution across samples.



165 Figure 3: Microbial genes assemblages of the Southern Ocean are water mass specific. (A)
 166 Temperature – salinity diagram based on AGE downcast CTD data²⁰. Each grey line corresponds to a
 167 CTD cast. Dots correspond to depths at which seawater was sampled for metagenomic libraries
 168 construction, colored according to their attributed water masses. Dotted lines in the background
 169 correspond to isopycnals. (B,C) NMDS computed on AGC abundance matrices of free-living (B) and
 170 >3µm (C) size fractions, colored according to their water masses using the same color legend as in (A).
 171 Positions of samples in B and C are only determined by their composition in AGC. The event numbers
 172 of samples taken above 150m are indicated by black arrows when their positions do not match their
 173 water mass classification, as discussed in the results. Events 369 and 264 were taken right on the Polar
 174 Front, while event 934 was taken on the Sub-Antarctic Front.

175

176 *Microbial gene assemblages are distinct across water masses at SO scale*

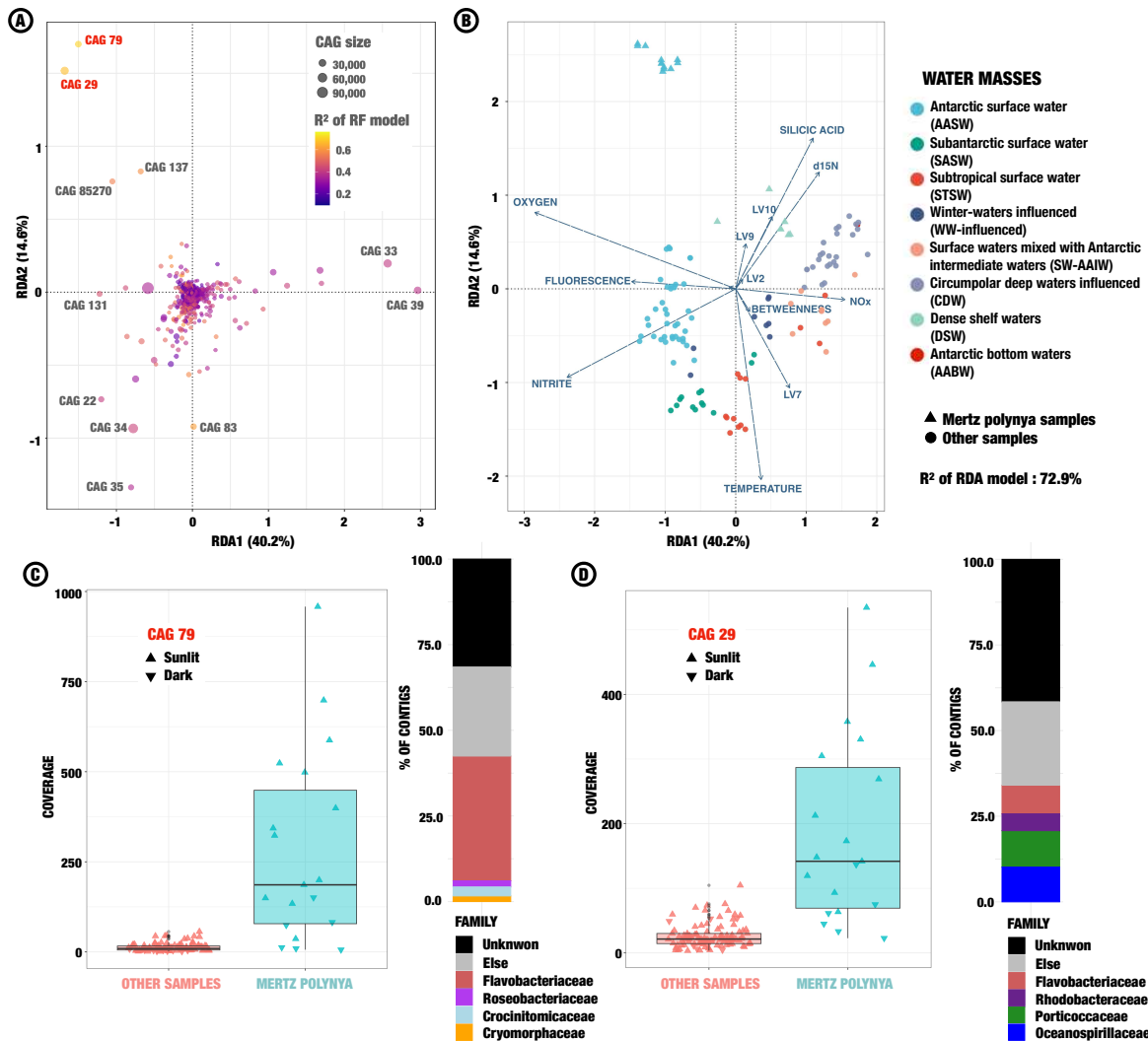
177 The classification of our samples in water masses based on temperature-salinity-oxygen
 178 diagrams was the best grouping variable for predicting AGC abundance (Figure 3, S3). AGC
 179 assemblages were significantly distinct across water masses in the both size fractions (Figure
 180 3B, PERMANOVA with 999 permutations, p-value<0.001). Sub-Antarctic surface waters
 181 (SASW) samples were separated from both Antarctic surface waters (AASW) samples and

182 sub-tropical surface waters (STSW) samples, suggesting biogeographical barriers at both the
183 Sub-Antarctic Front and the Polar Front. This was already observed for Flavobacteria²¹ but
184 lacked confirmation on broader taxonomic range²². All surface samples showing potential
185 mismatches between their AGC assemblage and their attributed water masses came from
186 events located on oceanographic fronts (Figure 3B,3C), suggesting a potential mixing of
187 microbial assemblages at these fronts.

188 Samples from Circumpolar Deep Waters (CDW) were well separated from surface water
189 masses in both size fractions, while surface waters influenced by colder (Winter Water-
190 influenced and Antarctic Intermediate-influenced) layers appeared between CDW and surface
191 waters. Samples from Dense Shelf Waters (DSW) were mostly similar to CDW samples,
192 except the two shallowest DSW samples which appeared closer to AASW in genomic
193 composition for the free-living size fraction (Figure 3B). These results suggest a diminution of
194 AGC diversity in deep water masses (see Supplementary Results). Still, the only Antarctic
195 Bottom Water sample (AABW, 3460m depth) had the most extreme coordinate on the NMDS
196 first axis (Figure 3B), suggesting a unique genomic composition. In light of this uniqueness
197 and considering the projected decrease in AABW formation due to increasing influence of
198 meltwater²³ from Antarctica, a better characterization of the functional roles from AABW
199 microbial populations is urgently needed.

200 *Identifying genomic markers following environmental gradients at SO-scale*

201 We built random forest regression models for each AGC, predicting coverage using 50
202 environmental predictors from ACE metadata. We defined R^2 thresholds based on permuted
203 repetitions of the analysis to only consider AGCs linked to the environment (env-AGC: $R^2 >$
204 10% in the free-living, 15% in the attached size fraction, Figure S4). 89.0% (resp. 82.1%) of
205 the considered AGC were env-AGC in the free-living (resp. $>3\mu\text{m}$) size fraction. Over both
206 size fractions, 894,292 models (20.6%) showed R^2 values above 50%, indicating predictability
207 of AGC abundance based only on the environmental context and opening the way for
208 genomic-based correlative models at SO scale^{9,10}. To analyze env-AGC in a multivariate
209 context, we grouped them into 156,671 and 28,756 co-abundant groups (CAGs)²⁴ in the free-
210 living and $>3\mu\text{m}$ size fractions, respectively. We then identified CAGs of interest associated
211 with various biomes through a redundancy analysis (Figure 4). We first present CAGs specific
212 to the Mertz polynya, before focusing on 3 CAGs illustrating a gradient of polar adaptation
213 across latitudes. In the supplementary materials, we describe two CAGs linked with specialist
214 species thriving in polar conditions (CAGs 131 and 34), two CAGs associated with deep water
215 masses (CAGs 33 and 39), as well as outliers at the SO scale, including CAGs specific to sub-
216 Antarctic islands (CAGs 136, 73614 and 177401).



217

218 Figure 4: The response of co-abundant groups of env-AGCs (CAGs) to environmental gradients at SO
 219 scale highlights Mertz polynya's originality. Redundancy analysis of CAGs abundance in response to
 220 environmental variables, in the free-living size fraction (A,B). RDA triplot was separated in two parts for
 221 better readability, (A) showing the distribution of CAGs in the RDA space, colored according to their
 222 mean random forest R-squared (reflecting the predictability of their abundance using environmental
 223 data). The size of each dot corresponds to the size of the CAG, in number of env-AGC. The different
 224 CAGs of interest mentioned in this study are indicated with grey labels, while the two CAGs plotted in
 225 (C) and (D) are highlighted in red. (B) shows samples and environmental variables distribution in the
 226 same RDA space. Samples are colored according to their water mass. The first axis of the RDA
 227 opposed surface samples with high fluorescence and oxygen ($RDA1 < 0$) from deep samples showing
 228 high NOx concentrations ($RDA1 > 0$). The second axis was driven by temperature, opposing warm
 229 STSW samples ($RDA2 < 0$) from colder samples, and isolating all AASW samples from Mertz as an
 230 outlier group ($RDA2 > 0$). LV stands here for latent variables, corresponding to the ones described in
 231 Landwehr et al.¹⁵. LV2 is linked with cloud condensation, LV7 with seasonal signal, LV9 is linked to
 232 marginal sea ice zone and snowfall and LV10 to the dial cycle. A similar RDA triplot for the $>3\mu\text{m}$ size
 233 fraction is presented in Figure S5. Mertz polynya's originality is further illustrated in (C) and (D), showing
 234 the abundance and taxonomy of the two CAGs most linked to it. Boxplots corresponding to each CAG's
 235 coverage are plotted at Mertz versus in other samples, with each individual sample plotted as points
 236 shaped according to categorical depth: sunlit (150m and above) and dark (below 150m). Family-level
 237 taxonomic profiles are represented next to each boxplot, as estimated through contigs taxonomic

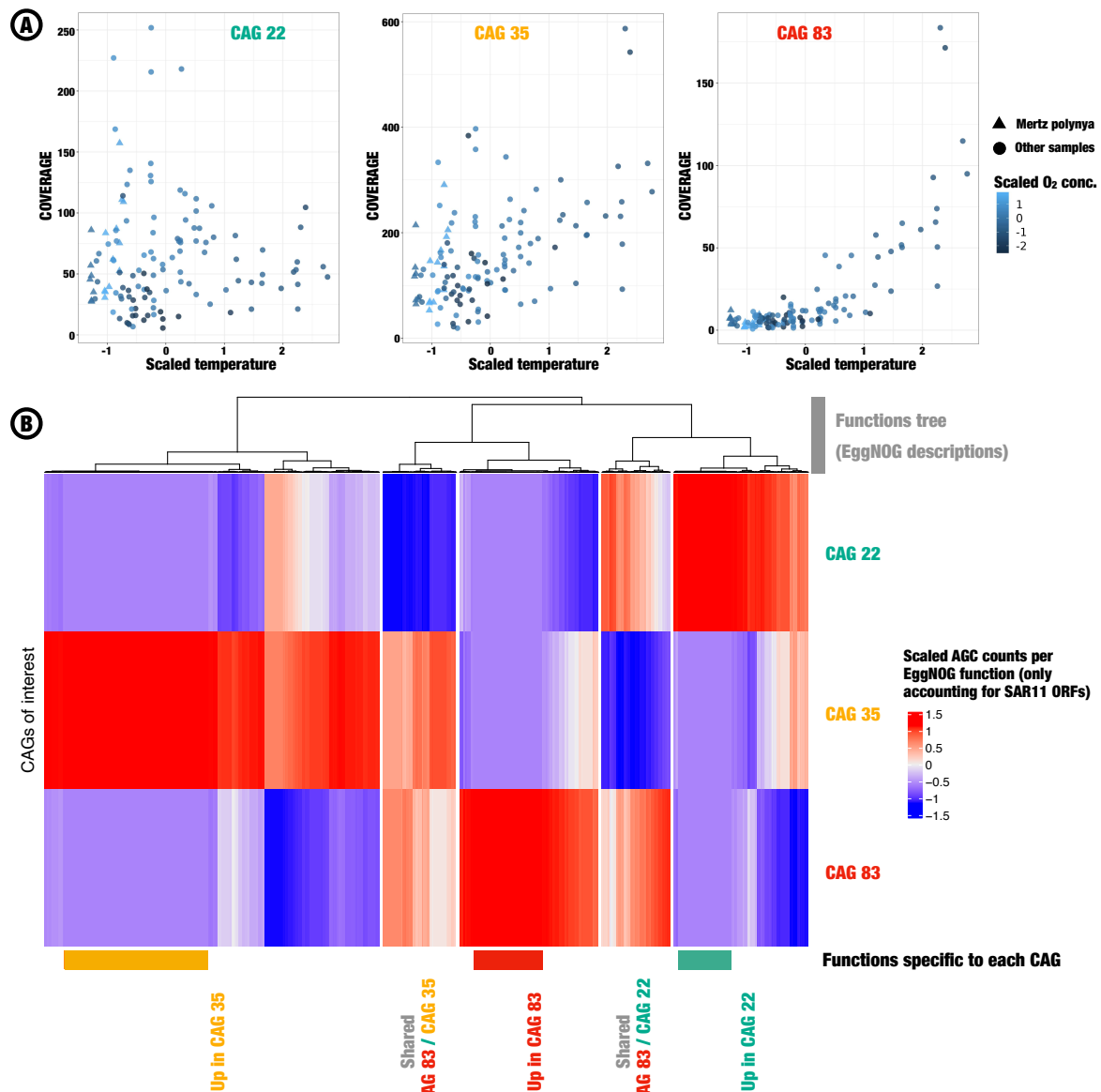
238 annotations using UniRef90 as reference. For each CAG, only the four most abundant families are
239 colored, the rest being aggregated as “Else”.

240 *The genomic signature of an active diatom bloom in the Mertz polynya*

241 Four CAGs from the free-living size fraction were enriched in Mertz samples (RDA2 > 0.5,
242 Figure 4A): CAG 79, CAG 29, CAG 137 and CAG 85270 (Figure 4C,4D). They contained
243 below 16% of *environmental unknowns* (EU) and more than 50% of *known* (K) and *known*
244 *without PFAM* (KWP), when 54.6% of all AGCs were annotated as EU. All four CAGs were
245 significantly enriched (Fisher test, adj. p-value < 0.01) in *TonB* receptors and *TonB*-linked
246 outer membrane proteins specialized in the import of degradation products from proteins or
247 carbohydrates as nutrients (*SusC/RagA*, *SusD/RagB*). They were also enriched in proteins
248 involved in the carbohydrate metabolism, e.g. glycosyl transferase, polysaccharide
249 biosynthesis protein or glutamine synthetase, in ABC transporters and in proteins involved in
250 cell motility (e.g. gliding motility, morphogenesis and elongation of the flagellar filament). A
251 variety of metallo-protein were enriched in all four CAGs as well, including heme-binding
252 proteins, M6 family metalloprotease or metal-dependent hydrolase. Finally, the four CAGs
253 were enriched in phage integrase, and three out of four were enriched in phage plasmid
254 primase P4, suggesting a strong phage presence in the Mertz polynya. We provide a complete
255 list of enriched functions in each CAG of interest (Table S1).

256 Taxonomic profiles of all CAGs of interest were estimated based on contig-level taxonomic
257 annotation using the UniRef90 database²⁵ as reference (Figure 4C,4D). To increase
258 taxonomic precision, we also investigated annotations obtained on MAGs binned from the
259 same metagenomic assemblies of ACE data (Pommellec et al., *in prep.*). ORFs from CAGs
260 79 and 85270 were mainly affiliated with the *Polaribacter* genus in both MAG-based and
261 contigs-based annotations. In CAG 137, Rhodobacteraceae dominated both annotation types
262 but Roseobacteraceae were absent from MAGs while abundant in contig-based annotations.
263 In CAG 29, HTCC2207 SAR 92 was the most represented genus using MAGs-based
264 annotation, matching the Porticoccaceae dominance in contigs-based annotations (Figure
265 4D). SAR 92 is a widely distributed oligotrophic clade known for its ability to consume
266 polysaccharides in the epipelagic zone, notably through TonB-dependent receptors²⁶. It has
267 been associated with late stages of diatom-induced bacterioplankton blooms in the North Sea,
268 uptaking and degrading specific polysaccharides including chrysolaminarin²⁷. *Polaribacter* and
269 SAR 92 have both been associated with Phaeocystis-produced chrysolaminarin degradation
270 in the SO²⁸. The second most represented genus in CAG 29 was ASP10-02a, which was
271 identified as the main cobalamin (Vitamin B12) producer in a coastal area of the SO, playing
272 a key role in primary production co-limitation by micronutrients²⁹.

273 Two CAGs from the >3µm size fraction were enriched in the Mertz polynya (Figure S5,
274 discussed in Supplementary results). Both were linked to *Fragilariopsis cylindrus*, an indicator
275 species of cold water evolutionarily adapted to the polar environment³⁰. All CAGs enriched in
276 Mertz polynya samples were thus linked with organisms specifically adapted to polar blooms
277 conditions.



278
 279 *Figure 5: Functional changes across latitudes in SAR11-related CAGs. (A) Abundance of three CAGs*
 280 *dominated by Candidatus Pelagibacter as a function of scaled temperature and oxygen. (B) Heatmap*
 281 *of scaled counts of AGCs per unique EggNOG functional annotations, taking only into account ORFs*
 282 *coming from contigs annotated as Candidatus Pelagibacter. The tree on top of the heatmap clusters*
 283 *each unique function according to its AGC count profile across CAGs, using euclidean distance and*
 284 *ward's D2 clustering method. The tree was manually cut to form 5 groups, differentiating functions*
 285 *shared by multiple CAGs from functions being more abundant in one CAG, as described by text labels*
 286 *below the heatmap. Functions found only in one of the three CAGs are highlighted with colored bars on*
 287 *the bottom layer of the heatmap.*

288 *Global latitudinal shifts across gene groups illustrate adaptation to polar conditions in the*
 289 *ubiquitous SAR11*

290 We identified three CAGs of interest with a distinct response to environmental data despite
 291 having similar taxonomic profiles (Figure 4A, 5). CAG 83 was specific to warm waters, with
 292 low abundance in all AASW samples supposing a latitudinal boundary at the polar front (Figure
 293 5A). CAG 35 was positively correlated to temperature yet ubiquitous, even in the coldest
 294 waters. Finally, CAG 22 was present in all water masses, with higher abundances in cold
 295 AASW waters. CAGs 22, 35 and 83 were all dominated by Pelagibacteraceae, and

296 respectively 88.75%, 83.5% and 59.48% of their AGCs contained at least one ORF from a
297 contig annotated as *Candidatus Pelagibacter* (SAR11 and relatives). To compare the
298 functional annotations of SAR11 genes across the three CAGs, we extracted ORFs coming
299 from SAR11 contigs and compared EggNOG annotations between them at AGC-level (Figure
300 5). CAGs 22 and 83 showed opposite functional profiles, *i.e.* functions highly present in one
301 were rare or absent in the other, while CAG 35 shared functions with the two other CAGs,
302 matching its ubiquitous distribution (Figure 5B). A total of 430 unique functions showed an
303 increased presence in CAG22, among which 170 were only found in CAG22 (Figure 5B). The
304 most observed was the transmembrane NikM subunit, transporting nickel, which was carried
305 by SAR11 ORFs in 10 AGCs of CAG22 and none of CAG83 and CAG35. Among the other
306 functions with increased detection in CAG22, many were related to trace metals (zinc, iron),
307 sulfur (e.g. nucleotide-disulfide oxidoreductase, Sulfotransferase) and phosphorus cycles (e.g.
308 polyphosphate pyrophosphohydrolases, metal-dependent phosphohydrolase). See Table S1
309 to access the complete list.

310

311 DISCUSSION

312 Analyzing 218 metagenomes from a circumpolar expedition, we were able to characterize the
313 originality and biogeography of SO's microbial genomic diversity. We identified a set of genes
314 distributed at both poles while being absent from most other latitudes on the planet; some of
315 them are involved in adaptations to polar-specific constraints like UV-exposure and cold
316 temperatures. We illustrated how microbial gene assemblages from the SO are largely
317 endemic and unknown, both at taxonomic and functional level. The high number of singletons
318 in our assemblies suggests the presence of a significant proportion of rare genes in the SO,
319 showing no deep homologies with each other. This could partly be due to ORFs from the >3µm
320 size fraction, which should be treated with caution due to the difficulty of detecting good quality
321 ORFs from eukaryotic contigs³¹ (*cf* Methods). Yet, 53.3% of all singletons came from
322 prokaryote-dominated samples of the free-living size fractions, and singletons from both size
323 fractions were largely dominated by unknowns (83.8% in free-living, 93.2% in >3µm),
324 suggesting a limited impact of eukaryotic contigs on our conclusions. Collector curves'
325 asymptotic profiles were stronger when decreasing detection thresholds (Figure S2),
326 suggesting that singletons do recruit reads in multiple samples independently of their size
327 fraction of origin. Otherwise, they would remain undetected in all or most samples whatever
328 the threshold, preventing the asymptotic form of the curve. It suggests they do share distant
329 homologies, *e.g.* at domain level, with unassembled genes across multiple samples. The
330 decrease in taxonomic diversity in the SO compared to subtropical latitudes³² could then be
331 balanced by an abundance of diverse yet individually rare genomic elements distributed at SO
332 scale. This hypothesis will have to be confirmed by further explorations of ACE singletons, of
333 which the majority was excluded from our biogeographical analysis to focus on widely
334 distributed genes. This could be done through network-based methods allowing the
335 characterization of distant and rare homologs³³.

336 Gene assemblages were structured by water mass at SO scale, supporting the observation
337 that Processes leading to water mass formation and transport exert the strongest control on
338 microbial community composition²². Our statistical approach allowed us to identify genes
339 particularly abundant in the Mertz polynya, corroborating previous findings identifying polynya
340 bacterial communities to be mostly heterotrophs exploiting residues from eukaryotic
341 phytoplankton blooms²², including taxa playing key roles in primary production limitation by
342 iron and other micronutrients like cobalamin^{29,34}. The Mertz polynya was iron-limited at the

343 time of ACE sampling¹⁵, and an investigation of metallo-proteins diversity in Mertz samples
344 through specific annotation tools³⁵ could help to better identify the roles of prokaryotes in trace
345 metal cycling in the context of diatom blooms. A previous study investigating a transect from
346 Tasmania to Mertz identified a significant difference in genomic composition between samples
347 taken at Mertz and samples taken above the Polar Front³⁶. They highlighted the polar front as
348 the main biogeographical boundary, acknowledging that the continental shelf could also
349 explain the partitioning considering their lack of samples between the front and Mertz. Our
350 results suggest a greater difference between populations of the polynya *versus* other AASW
351 populations than between populations on both sides of the polar front, highlighting the
352 uniqueness of SO's coastal biomes. Mertz being the only sampling location above the
353 Antarctic shelf in our dataset, it is impossible to state if our observations could be considered
354 representative of shelf conditions at SO scale. We find them more likely to be polynya-specific,
355 as they seem to be driven by the high activity of a *Fragilariopsis cylindrus*-dominated bloom.

356 Phytoplankton dynamics in polynyas usually show dominance of either diatoms or
357 Prymnesiophyceae, mainly *Phaeocystis antarctica*^{37,38}. Global warming could be causing a
358 shift from *P. antarctica* to diatom blooms in coastal polynyas^{37,39}, and the increased sinking
359 rate of diatoms compared to *Phaeocystis* could impact carbon export²⁸. *P. antarctica* did not
360 appear as a significant contributor to any of the 6 CAGs identified as differentially abundant in
361 the Mertz polynya, while it was the main contributor to CAG 34, abundant in waters with low
362 silicic acid and moderately high temperature, far from the Antarctic coastline. Models predict
363 the diatom-*Phaeocystis* competition to mainly depend on iron availability and light sensitivity⁴⁰.
364 A eukaryote-focused re-analysis of the key samples identified through our approach, *i.e.* using
365 eukaryote specific gene-callers in combination with genomes from diatoms and *Phaeocystis*
366 isolates, could lead to the detection of functional markers helping to decipher the mechanisms
367 of the diatom-*phaeocystis* competition at genomic level. Interestingly, our Mertz-associated
368 CAGs were similar to genomic markers of a polynya in the Amundsen Sea dominated by *P.*
369 *antarctica*²⁸, suggesting bacterial functional redundancy in polynyas independently from the
370 dominant phytoplankton lineage. An analysis of the bacterial transcriptional activity in multiple
371 polynyas combined with measures of estimated carbon export⁴¹ would lead to a better
372 understanding of the impact of planktonic compositional switches on remineralization and
373 sinking rates in polynyas, allowing for better predictions of their potential effect on the SO
374 biological carbon pump in a context of global change.

375 In addition to gene clusters highlighting the functional and taxonomic uniqueness of SO's
376 biomes, we identified gene clusters showing different latitudinal niches and functional profiles
377 despite all being associated with the ubiquitous SAR11. SAR11 subclades adapted to SO's
378 extreme conditions have been observed through amplicon sequencing off the Kerguelen
379 Islands⁴², while SAR11 genomes assembled from Arctic metagenomes contained polar-
380 specific genes content, the vast majority of which coded for poorly characterized proteins⁴³.
381 Our results suggest a genomic adaptation of SAR11 across oceanographic fronts transitioning
382 from subtropical surface waters to Antarctic surface waters, even including the specific
383 conditions of a polynya bloom: strong competition for nutrients, organic matter and trace
384 metals. SAR11 could thus play a role in trace metal cycling in SO polar conditions. A strain-
385 resolved analysis of SAR11 genomes based on ACE metagenomes should provide
386 unprecedented insights into SAR11 Southern Ocean adapted ecotypes.

387 The ACE campaign ran from spring to late summer and some of the variability observed could
388 be temporal, as illustrated by the strong seasonal dynamics of viral communities of Marguerite
389 Bay⁴⁴. The genomic content of microbial populations in the dark winter of the SO remains to

390 be described by future campaigns. Our results will soon be complemented by viral size fraction
391 metagenomics and >3µm size fraction metatranscriptomics samples from the same campaign,
392 which combined with our metagenomic assemblies should allow a better description of viral
393 and eukaryotic functional adaptations in the SO, offering a holistic view of its unique genomic
394 seascape.

395 By compiling catalogs of contigs, unigenes, AGC and CAGs from across the SO, we provide
396 a robust basis for any future polar and/or global-scale meta-omics investigation (Table S1).
397 Doing so, we address a critical gap in the metagenomes currently available in public
398 databases¹². We notably provide the Southern Ocean Reference Gene Catalog (SO-RGC),
399 focused on the 0.2-3 µm size fraction and complementary to the OM-RGC^{16,17}, and a catalog
400 of polar-specific ACE ORFs, *i.e.* detected in at least one Arctic sample while being absent
401 from non-polar TOPC samples. Using these catalogs, we quantified the novelty of SO
402 microbial genes, demonstrating their high endemism. By linking gene-level abundance and
403 environmental metadata, we were able to describe the biogeography of prokaryotes at SO-
404 scale, identifying distinct gene assemblages in different water-masses and defining genomic
405 markers of diverse biomes, from the blooming Mertz polynya to the Southernmost Sub-
406 Tropical waters. Overall, our results advocate for the development of regional-scale
407 descriptions and models of planktonic diversity in the Southern Ocean, distinguishing coastal
408 and offshore systems, and implementing the specific response of prokaryotes to localized
409 eukaryotic blooms. Our statistical results suggest that our gene catalog, combined with
410 extensive environmental and biogeochemical monitoring, could lead to correlative models of
411 gene abundance at SO scale, offering new tools to predict the future of this rapidly changing
412 ecosystem. Existing Antarctic time-series (*e.g.*, the Palmer LTER⁴⁵ or the Rothera time-
413 series⁴⁶) should thus be complemented by genomic time series to provide valuable insights
414 into seasonal cycles and enhance our ability to monitor and predict the impact of climate
415 change on Southern Ocean microbial communities.

416

417 **MATERIAL AND METHODS**

418 A list of all publicly available resources is available in Table S1.

419 *Sampling and sequencing protocols*

420 218 samples for metagenomics analyses were collected at 34 stations during the ACE
421 campaign in the Austral summer 2016-2017. 197 of the 218 samples, thereafter called CTD
422 samples, were collected from Niskin bottles during rosette upcast and separated into three
423 size fractions (0.2-3 µm, 3-200 µm, and 0.2-40 µm). The remaining 21 samples, thereafter
424 called UDW samples, correspond to water pumped directly from the surface and separated
425 into the same three size fractions. Samples were sent for DNA extraction and shotgun
426 sequencing to Genoscope, the French National Platform for DNA Sequencing, following
427 protocols used by *Tara* expeditions⁴⁷. Briefly, after filter cryogrinding, DNA was extracted using
428 total RNA/DNA Purification and Nucleospin RNA/DNA Buffer Set (MACHEREY-NAGEL).
429 Metagenomic libraries were prepared using the Illumina kit according to manufacturer
430 instructions. DNA libraries were sequenced on a Novaseq 4000 instrument, with a target of
431 100M paired-end reads per library (2x150bp; 500bp insert size).

432

433 *Environmental metadata compilation*

434 The ACE campaign hosted 22 scientific projects encompassing biology, oceanography,
435 climatology, glaciology, and biochemistry. For each CTD sample, all available metadata from

436 the corresponding cast and depth were retrieved from [SPI-ACE repository](#). Similarly, metadata
437 from each pumping event were retrieved for UDW samples, but considering the limited number
438 of sequenced UDW samples and the lack of homogeneity in measured variables across CTD
439 and UDW samples, these metadata and their corresponding samples could not be used in
440 statistical investigations based on environmental variables (*i.e.*, random forest models, RDA).
441 For 10 of the 21 UDW samples, surface CTD metadata from the same sampling event were
442 available, enabling us to incorporate these samples in statistical investigations along with CTD
443 samples, while the remaining 11 UDW samples could not be considered. Up to 56 variables
444 were retrieved per CTD samples, including basic physico-chemical variables (*e.g.*,
445 temperature, salinity, nutrients, depth), trace metals concentrations (*e.g.*, dissolved Fe, Cu,
446 Ni, Zn), isotopes (*e.g.* ^{13}C , ^{15}N) and pigment-based measures (*e.g.* concentrations of
447 cyanobacteria, diatoms or haptophytes). Variables measured in less than half of the samples
448 were dropped for further statistical explorations, leading to the selection of 33 variables. In
449 addition to these data retrieved *in situ*, physical variables were calculated at each sampling
450 using a Lagrangian approach and an integration time of 10 days. These included current
451 velocity, Okubo-Weiss (a proxy of eddy presence) and Lagrangian betweenness (a proxy of
452 bottleneck presence which has been related to biodiversity⁴⁸. 14 latent variables computed
453 through a sparse PCA for each ACE station to summarize the global biogeochemical context¹⁵
454 were added to the metadata set. Please refer to the original Landwehr et al.¹⁵ paper for a full
455 description of each latent variable. Finally, each sample was associated to a Longhurst
456 biogeographical province based on its coordinates, and to a water mass based on
457 temperature-salinity-oxygen diagrams computed from CTD downcast profiles (Figure 3A).
458 When needed, missing values in the CTD metadata set were imputed using the k-nearest-
459 neighbors approach encoded in the caret R package⁴⁹, with the default value of k=5. For a full
460 list of available metadata variables, a precise description of their compilation and of their pre-
461 processing, please refer to this GitHub repository: [ACE gene centric scripts](#).

462

463 *Assembly of metagenomic short reads and the profiling of resulting contigs*

464 Short-reads were quality-filtered using the Minoche⁵⁰ approach implemented in illumina-utils⁵¹
465 with default parameters, and sample-by-sample assemblies were obtained from MEGAHIT
466 v1.2.9⁵². The 68,074,004 contigs from the 218 single assemblies were concatenated into a
467 FASTA file from which a single *anvi'o* contigs database, hereafter called the ACE Contigs-DB,
468 was generated using the program *anvi-gen-contigs-database* as implemented in *anvi'o* v8⁵³.
469 During the generation of the ACE Contigs-DB open-reading frames were detected in all
470 contigs using Prodigal v2.6.3⁵⁴ which resulted in 175,336,776 non-dereplicated ORFs that
471 represented the raw ACE gene catalog for downstream analyses. To estimate the fraction of
472 eukaryotic organisms sampled, especially in the size fraction >3 μm , Phyloflash v3.4⁵⁵ was
473 used on quality-filtered reads. Considering that some samples were dominated by eukaryotes,
474 it is likely that some contigs in the ACE contigs database are from eukaryotic organisms. To
475 assess this likelihood, Eukrep v0.6.7⁵⁶ (West et al., 2018) and Whokaryote⁵⁷ were used to try
476 and detect eukaryotic contigs. However, only 2,343,800 contigs (3.4%) were classified as
477 eukaryotic by both tools, clearly underestimating the eukaryotic fraction of contigs. The
478 annotation of these contigs using the UniRef90 database and MMSeqs v14.7e284⁵⁸
479 demonstrated the presence of 229,179 (9.8%) potential false positives annotated as bacteria.
480 We thus decided to keep all contigs in the database for the rest of the pipeline, while tagging
481 the ones identified as eukaryotic by EukRep as potentially eukaryotic.

482

483 *Generation and annotation of Southern Ocean's microbial reference gene catalog*

484 Open-reading frames were detected in all contigs using Prodigal v2.6.3⁵⁴. The 175,336,776
485 non-dereplicated ORFs constitute the raw ACE gene catalog. Nucleotide sequences were
486 then clustered at 95% similarity and 90% coverage using CD-Hit V4.8.1⁵⁹, to produce unigenes
487 comparable to those of the OM-RGC computed from Tara Oceans and Tara Polar Circle
488 expeditions. The 89,739,060 unigenes produced constitute the full ACE reference gene
489 catalog (ACE-RGC). The ACE-RGC was annotated with EggNOG-mapper v2.1.8⁶⁰ and
490 KOFamSCAN v1.3.0⁶¹. To allow easier usage in conjunction with the OM-RGC, in which only
491 the 0.2-3 μm size fraction is included, the SO-RGC was defined as the unigenes from the
492 ACE-RGC that contained at least one ORF detected in a contig assembled in the 0.2-3 μm
493 size fraction. Finally, to produce coarser yet functionally homogeneous clusters, the
494 AGNOSTOS clustering pipeline¹⁹ was used on the raw ACE gene catalog to produce
495 30,123,228 AGNOSTOS gene clusters (AGC), of which 765,003 were discarded as low
496 quality. The 29,358,225 good quality AGC were classified in 4 categories based on their PFAM
497 annotation and their similarity with the members of the AGNOSTOS-DB: Known (K), Known
498 without PFAM (KWP), Genomic unknown (GU; genes of unknown function yet found in a
499 genomic context - MAG, SAG, isolate genome...) or Environmental unknown (EU; genes of
500 unknown function never integrated in a genomic context). For a detailed description of these
501 categories and of the methodology for clustering and annotating within the AGNOSTOS
502 pipeline, please refer to Vanni et al.¹⁹. Please note that the AGC we use in this study are
503 issued from an AGNOSTOS-based clustering and annotation of ACE ORFs, and not to an
504 integration of ACE ORFs within the public AGNOSTOS gene database. AGC-level EggNOG
505 and KEGG annotations were defined as the modal value from the annotations of all cluster's
506 members.

507

508 *Computation of gene- and cluster-level coverage and detection*

509 Quality-filtered short reads were mapped on the ACE contigs DB to produce contigs-level
510 coverage and detection (% of the contigs covered at least at 1X) profiles, using Bowtie2
511 v2.4.5⁶², in competitive mode with equivalent mapping scores across different references
512 distributed at random. Gene-level metrics were obtained for all the raw ACE gene catalog
513 through the program anvi-profile-bltz (<https://anvio.org/m/anvi-profile-bltz>) implemented in
514 anvi'o^{53,63} for this project. By deriving gene-level metrics from the larger genomic context
515 afforded by contigs, rather than using read recruitment to individual gene sequences, we were
516 able to (1) avoid bell-shaped coverage signal that would dwindle around ORF extremities, (2)
517 avoid mapping errors due to assembled sequences being removed from the reference during
518 pre-mapping de-replication, and (3) use exhaustive contigs-level metrics to build direct links
519 between gene-level results obtained in this study and MAGs-level results obtained in parallel
520 work (Pommellec et al, in preparation). The coverage values reported from anvi-profile-bltz
521 were expressed per base-pair, *i.e.* normalized by gene length. Outputs from all samples were
522 then concatenated into a coverage matrix and a detection matrix of each 218 columns and
523 175,336,776 lines where each line represented an individual ORF.

524 The coverage of each unigene was defined as the sum of the per-base pair coverages from
525 all members of its dereplication cluster. Similarly, per-base pair coverages of all members of
526 each AGNOSTOS cluster were summed to obtain AGC-level coverages. To avoid false-
527 positive coverage values due to mapping mistakes and read dilution across conserved
528 domains, a threshold of detection was applied at cluster-level. Detection at cluster level was
529 defined as $\text{Detection}_{\text{Cluster}} = \max(\text{Detection}_{\text{Cluster members}})$.

530 Increasing the threshold of detection at cluster level caused both the mean slope of the
531 collector curve and the amount of undetected AGNOSTOS clusters to increase (Figure S2). A
532 flat collector curve is likely to be the result of false positives considering the many singletons
533 that are likely to be rare, but a high number of undetected clusters is likely to be due to false
534 negatives since their sequences should be present at least in the samples in which they were
535 assembled. We then decided to use a threshold of 60% detection, as it was the highest
536 threshold allowing to detect more than 95% of AGNOSTOS clusters in at least one sample.
537 To apply this threshold, all AGC-level coverage values corresponding to AGC-level detection
538 scores below 60% were turned to 0.

539

540 *Pre-processing and normalization of cluster-level abundances*

541 After applying the 60% detection threshold, all remaining coverage values were rounded to
542 the nearest integer. The whole AGNOSTOS cluster-level coverage matrix was then
543 normalized using the *rlog* method from the DESeq2 R package⁶⁴. Relative log expression
544 normalization method was identified as one of the most adapted to metagenomics-based
545 microbiome studies⁶⁵.

546

547 *Highlighting novelty in Southern Ocean's microbial genes*

548 Protein sequences from the ACE-RGC were clustered with those of the OM-RGC at 30%,
549 50% and 80% similarity thresholds, with a fixed coverage threshold of 80%. Clusters were
550 separated in three categories: pure ACE when only composed of sequences assembled in
551 ACE samples, pure TARA when only composed of sequences from the OM-RGC, and mixed
552 for the rest. Clusters were further characterized based on their members' origin of assembly,
553 mainly distinguishing sunlit (<150m) and dark (>150m) samples as well as the different size
554 fractions.

555 To better estimate the global presence of genes from the ACE-RGC, short reads from 134
556 samples from Tara Oceans and Tara Polar Circle expeditions corresponding to the 0.2-3 μm
557 size fraction were quality-filtered and mapped on the ACE contigs DB using the protocol
558 described in *Computation of gene- and cluster-level coverage and detection*. Description of
559 the Tara samples is available in Salazar et al.¹⁶.

560

561 *Identifying environmental drivers of gene-clusters distribution at Southern Ocean's scale*

562 For further biogeographical explorations, the global matrix was split into two parts, the free-
563 living part corresponding to 0.2-3 and 0.2-40 μm size fractions, and the >3 μm part
564 corresponding to the 3-200 μm size fraction. As stated in the *Environmental metadata*
565 *compilation* section, UDW samples were removed from the biogeographical investigations due
566 to differences in environmental metadata availability. Finally, clusters showing near-zero
567 variance abundance profiles were removed from each matrix using the *preProcess* function
568 from the *Caret* R Package⁴⁹. The near zero variance definition was set at a minimal threshold
569 of 20% unique abundance values and a maximal ratio of 95 to 5 between the most abundant
570 and second most abundant values.

571

572 *Identification of AGNOSTOS clusters highly linked with the environment*

573 A random forest regression model was fitted for each cluster of the free-living and >3 μm
574 matrices that passed the near zero variance threshold. Normalized coverage values were

575 used as interest variables, and the 50 environmental variables from the CTD metadata as
576 predictors. For each model, the number of predictors tried at each split was optimized between
577 5 and 8 (default being the rounded down square root of the total number of predictors), the
578 number of trees was set at 501 and other parameters were left at default in the ranger function
579 from the ranger R package⁶⁶. Each model went through 3 repetitions of 4-fold cross-validation
580 using the train function from the Caret package. Variable importance, based on permutations,
581 and adjusted cross-validation R-squared values from each selected model were retrieved.
582 Density of R-squared values were drawn for free-living and >3 μ m results, separately. To
583 estimate a threshold of R-squared at which it is unlikely that the link between coverage and
584 metadata could be observed by chance, the same runs of random-forest models were
585 computed on four matrices with randomly permuted rows, two of the free-living matrices and
586 two of the >3 μ m ones. Based on the 95th centile value for each set of permutations, R-squared
587 thresholds were set at 10% for the free-living matrix and 15% for the >3 μ m one. AGNOSTOS
588 clusters meeting these thresholds were defined as highly linked with the environment (env-
589 AGC).

590

591 *Grouping of co-abundant AGNOSTOS clusters*

592 To further reduce the dimensionality of the two matrices of interest without removing any env-
593 AGC, the approach described by Minot and Willis²⁴ was used to group them into groups of Co-
594 Abundant env-AGC (CAG). This approach, based around the Approximate Nearest Neighbor
595 heuristic, allows to cluster millions of genes/gene clusters into co-abundant groups with limited
596 computer power and in reasonable time. The clustering python scripts available at
597 <https://github.com/FredHutch/find-cags> were used with default parameters independently on
598 the free-living and >3 μ m env-AGC matrices. CAG-level coverage matrices were created by
599 summing coverage across all members of a CAG.

600

601 *Constrained ordination and further investigation of CAGs*

602 Redundancy analysis was fitted on the Hellinger-transformed free-living and >3 μ m CAG-level
603 matrices. Again, coverage values were used as interest variables, and environmental
604 variables as explanatory variables. Both analyses were significant (ANOVA, p-value=0.001 for
605 both free-living and >3 μ m), allowing us to go further by selecting environmental variables
606 through a two-directional stepwise selection based on the Akaike Information Criterion (AIC).
607 The selected models were again significant (ANOVA, p-value=0.001 for both free-living and
608 >3 μ m). For each model, CAGs appearing on the extremities of axis 1 to 5 were individually
609 selected to be analyzed in depth. Taxonomic annotations of genes within each CAG were
610 retrieved through the annotation of their contigs of origin through MMSeqs2 taxonomic
611 annotation tool with the UniRef90 database as reference⁵⁸. Since it took 15 to 20 hours to
612 annotate splits of 25,000 contigs using 24 CPUs and 80 Gb of memory, only a selection of
613 CAGs of interest were annotated this way. In addition, genes in CAGs were annotated based
614 on the presence of their contig of origin in MAGs from the ACE MAGs database (Pommellec
615 et al., in prep.), allowing precise taxonomic annotations for all genes found in MAGs. To
616 estimate a potential functional enrichment in a CAG, AGNOSTOS cluster-level EggNOG and
617 KEGG annotations were retrieved for all members of the CAG, and compared to the rest of
618 AGNOSTOS cluster-level annotations through a one-sided Fisher test. Obtained p-values
619 were corrected for multiple testing using the Benjamini-Hochberg method, and p-value
620 threshold was set to 0.01 for enrichment.

621

622 *Code and data availability*

623 The 218 metagenomic samples were deposited on the European Nucleotide Archive (ENA),
624 under the submission code ERA30995399. A correspondence table of all samples linking their
625 ACE unique ID, BioSample code, ENA run and experiment codes is available on [Zenodo](#).
626 Links to all raw and processed data are available in Table S1. The scripts used to produce the
627 results of this study are available at https://github.com/EmileFaure/ACE_gene_centric_scripts.
628 The different steps to go from quality-filtered reads to gene-level per-base coverage and
629 detection matrices were integrated into a Nextflow workflow available at
630 <https://gitlab.ifremer.fr/bioinfo/workflows/noemie>.

631

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645

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