1 Ribosomal protein phylogeography offers quantitative

² insights into the efficacy of genome-resolved surveys of

3 microbial communities

4 Matthew S. Schechter^{1,2,*}, Florian Trigodet^{3,4}, Iva A. Veseli^{3,4}, Samuel E. Miller⁵, Matthew

5 L. Klein², Metehan Sever^{3,4}, Loïs Maignien⁶, Tom O. Delmont^{7,8}, Samuel H. Light^{2,9,*,†},

6 A. Murat Eren^{3,4,5,10,11,*,†}

7 ¹Committee on Microbiology, University of Chicago, Chicago, IL 60637, USA; ²Duchossois Family Institute, University 8 of Chicago, Chicago, IL 60637, USA: ³Helmholtz Institute for Functional Marine Biodiversity, 26129 Oldenburg, 9 Germany; ⁴Alfred Wegener Institute, Helmholtz Centre for Polar and Marine Research, 27570 Bremerhaven, Germany; 10 ⁵Josephine Bay Paul Center for Comparative Molecular Biology and Evolution, Marine Biological Laboratory, Woods 11 Hole, MA 02543, USA; ⁶University of Brest, CNRS, IFREMER, EMR 6002 BIOMEX, Unité Biologie et Écologie des 12 Écosystèmes Marins Profonds BEEP, F-29280 Plouzané, France; ⁷Génomique Métabolique du Genoscope, Institut 13 François Jacob, CEA, CNRS, University of Évry Val d'Essonne, Université Paris-Saclay, Evry, France; 8Research 14 Federation for the Study of Global Ocean Systems Ecology and Evolution, FR2022/Tara GOSEE, Paris, France; 15 ⁹Department of Microbiology, University of Chicago, Chicago, IL 60637, USA; ¹⁰Institute for Chemistry and Biology of 16 the Marine Environment, University of Oldenburg, 26129 Oldenburg, Germany; ¹¹Max Planck Institute for Marine 17 Microbiology, 28359 Bremen, Germany.

- 18 * Correspondence: meren@hifmb.de, samlight@uchicago.edu, and mschechter@uchicago.edu
- 19 † Co-senior authors: samlight@uchicago.edu and meren@hifmb.de

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26 Abstract

27 The increasing availability of microbial genomes is essential to gain insights into microbial ecology 28 and evolution that can propel biotechnological and biomedical advances. Recent advances in 29 genome recovery have significantly expanded the catalogue of microbial genomes from diverse 30 habitats. However, the ability to explain how well a set of genomes account for the diversity in a 31 given environment remains challenging for individual studies or biome-specific databases. Here 32 we present EcoPhylo, a computational workflow to characterize the phylogeography of any gene 33 family through integrated analyses of genomes and metagenomes, and our application of this 34 approach to ribosomal proteins to quantify phylogeny-aware genome recovery rates across three 35 biomes. Our findings show that genome recovery rates vary widely across taxa and biomes, and 36 that single amplified genomes, metagenome-assembled genomes, and isolate genomes have 37 non-uniform yet quantifiable representation of environmental microbes. EcoPhylo reveals highly 38 resolved, reference-free, multi-domain phylogenies in conjunction with distribution patterns of 39 individual clades across environments, providing a means to assess genome recovery in 40 individual studies and benchmark biome-level genome collections.

41 Introduction

42 Establishing comprehensive genome catalogues is a fundamental objective in microbiology as 43 genomes are essential to develop insights into microbial life and to advance biotechnology and 44 biomedicine (Eren and Banfield 2024). Indeed, the rapidly increasing number of microbial 45 denomes (1) provides an evolutionary framework to resolve the branches of the Tree of Life (C. 46 T. Brown et al. 2015; Spang et al. 2015), (2) enables hypothesis generation and testing through 47 comparative genomics (Paoli et al. 2022; Al-Shaveb et al. 2022; Durrant et al. 2023; J. Chen et 48 al. 2024a), (3) offers resources to search for novel biosynthetic capabilities and natural products 49 (Paoli et al. 2022; J. Chen et al. 2024b), (4) contributes to the body of nucleotide data used to 50 train biological language models (Cornman et al. 2024; Nguyen et al. 2024; Hwang et al. 2024) 51 and more, while well-structured databases aim to consolidate and give access to the outcomes 52 of genome recovery efforts (Parks et al. 2022; Schmidt et al. 2024).

53 Increasing availability of microbial genomes is a result of multiple complementary breakthroughs 54 that include (1) advances in high-throughput or targeted cultivation that enable the recovery of 55 isolate genomes (Jiang et al. 2016; Watterson et al. 2020; Cross et al. 2019), (2) the use of 56 environmental shotgun sequencing that enables the recovery of metagenome-assembled 57 genomes (MAGs) (L.-X. Chen et al. 2020), and (3) the use of microfluidics and cell sorting that 58 enables the recovery of single amplified genomes (SAGs) (Woyke, Doud, and Schulz 2017). 59 These strategies have not only been used in large-scale characterization of many of the Earth's 60 biomes (Pasolli et al. 2019; Parks et al. 2017; Pachiadaki et al. 2019; Ma et al. 2023), but also 61 have been applied to many specific questions or niche systems that span a wide range of research 62 priorities, collectively resulting in over 500,000 non-redundant bacterial and archaeal genomes 63 (Parks et al. 2022). The recovery of microbial genomes is now a relatively well-established 64 practice, yet it is not straightforward to assess (1) how taxonomic or biome-specific biases impact on genome recovery efforts, and (2) the ecological or evolutionary importance of unrecovered populations. As a result, individual studies that recover genomes, or efforts that curate biomespecific or global genomic collections, rarely offer quantitative insights into one of the key questions they aim to address: "how well do these genomes represent this environment?".

69 Attempts to benchmark genome recovery often rely upon metagenomic read recruitment statistics 70 to quantify the fraction of reads that map to genomes with the assumption that the proportion of 71 reads recruited by a genomic collection is a proxy for the degree to which a genome collection 72 represents the genomic fragments found in a given environment. In individual studies that 73 reconstruct genomes directly from environmental metagenomes, the proportion of metagenomic 74 reads that are recruited by resulting MAGs can vary from as low as 7% in the surface ocean 75 (Delmont et al. 2018a) to as high as 80% in the human gut (Carter et al. 2023). While read 76 recruitment statistics are easy to generate and communicate, they fail to contextualize what is 77 present in the unmapped fraction and thus leave considerable ambiguity about the microbial 78 community. For instance, a large fraction of metagenomic reads not mapping to the genome 79 catalogue could belong to a single organism or multiple taxonomically diverse microbes with 80 critical ecological roles in the system. Furthermore, genome collections often systematically 81 underrepresent certain portions of the tree of life, as the rate of genome recovery differs across 82 taxa as a function of genome recovery methodology: while cultivation efforts often struggle to 83 capture slow-growing organisms (Imachi et al. 2020) or those that depend on others for survival 84 (He et al. 2015), genome-resolved metagenomics often struggle to reconstruct genomes from 85 taxa that form highly complex populations (Giovannoni 2017; Pachiadaki et al. 2019). Altogether, 86 biological and non-biological factors confound accurate interpretations of read recruitment results. 87 and the ability to measure genome recovery rates requires alternative strategies that can 88 contextualize the ecological and evolutionary relationships of organisms recovered in genome 89 collections with environmental populations accessible through metagenomics.

90 One approach to gaining insight into microbial life underrepresented in genome catalogues 91 involves the use of marker genes. De novo assembly, in which individual sequencing reads are 92 stitched together to recover much longer contiguous segments of DNA (contigs), is common to 93 the vast majority of genome recovery efforts. While in most cases contigs only represent 94 fragments of genomes, they still explain a much greater genomic context than unassembled reads 95 and give access to entire open reading frames, including phylogenetically informative marker 96 genes. Employing such phylogenetically informative genes assembled from metagenomes in 97 conjunction with metagenomic read recruitment enables fine-grained analyses of phylogeny and 98 biogeography of individual taxa, as demonstrated by previous studies that used the rpoC1 gene 99 to characterize the phylogeography of marine bacteria (Kent et al. 2019; Ustick, Larkin, and 100 Martiny 2023) or RNA and DNA polymerases to identify and guide the genomic recovery of major 101 viral clades (Weinheimer and Aylward 2020; Gaïa et al. 2023).

102 Among all phylogenetically informative genes, ribosomal proteins represent a special class as 103 they (1) occur as a single-copy gene in genomes across the tree of life, (2) are consistently 104 assembled even for complex or relatively rare populations in metagenomes due to their relatively 105 short length, and (3) contain enough phylogenetic information to delineate distinct branches of life 106 at relatively high levels of resolution (Olm et al. 2020). Recognizing their utility, many studies have 107 leveraged individual ribosomal proteins to analyze community composition (Wu and Eisen 2008: 108 Crits-Christoph et al. 2022), integrating ribosomal protein phylogenies with metagenomic read 109 recruitment to track individual clades of microbes (Hug et al. 2013; Emerson et al. 2016; Hamilton 110 et al. 2016; Diamond et al. 2019; Matheus Carnevali et al. 2021). Ribosomal proteins are thus 111 ideally suited gene markers for tracking microbial populations underrepresented within genome 112 collections.

Here we present EcoPhylo, a workflow to simultaneously visualize the phylogenetic relationshipsand biogeographical distribution patterns of sequences that match any given gene family from

genomes and metagenomes, and demonstrate its application to the phylogeography of ribosomal proteins for quantification of genome recovery rates across biomes. Our results show that bringing together multi-domain ribosomal protein phylogenies with distribution patterns of individual clades across environments in a single interface offers a valuable data analysis and visualization strategy to benchmark genome recovery efforts scaling from individual projects to global surveys of large genome collections and metagenomes.

121 Results

122 EcoPhylo enables integrated surveys of gene family123 phylogeography

EcoPhylo implements a computational workflow to integrate the phylogeny and biogeography of any given gene family and enables its users to track the distribution patterns and evolutionary relationships between homologous genes across environments and/or experimental conditions (Figure 1, also see Materials and Methods).

128 When applied to phylogenetically tractable single-copy core genes, such as ribosomal proteins, 129 in tandem with metagenomes and a genome collection, EcoPhylo identifies populations 130 assembled in metagenomes but absent in the genomic collections (and vice versa), highlighting 131 the ecological and evolutionary relevance of organisms detected through metagenomic 132 assemblies but lacking genomic representation (Figure 1). This allows for the quantification of 133 genome recovery rates of different methods (e.g., isolate genomes, MAGs, SAGs) across taxa 134 and provides a means to investigate phylogenetic and ecological features of organisms without 135 genomic representation. Importantly, the unbroken link between genes and contigs enables 136 downstream targeted binning efforts when necessary.



137

- 138 Figure 1: Schematic of the EcoPhylo workflow applied to a single gene family. The proposed workflow
- 139 integrates biogeography from metagenomic read recruitment and protein phylogenetics to display the
- 140 phylogeographical distribution of closely related lineages. When including genome sources, the workflow highlights
- 141 which genome recovery strategies are more effective for sampling specific taxa. Although this manuscript focuses on 142 ribosomal proteins, the proposed workflow is generalizable to any gene family.
- 143 Using ribosomal proteins to *de novo* characterize the phylogenetic makeup of microbiomes and
- benchmark genome recovery rates has numerous advantages. However, these advantages also
- 145 pose noteworthy challenges. Ribosomal proteins are short protein sequences (~300 amino acids),

146 which substantially limits their ability to resolve deep phylogenetic branching patterns. 147 Furthermore, their evolution is subject to strong purifying selection, as a result, the average 148 nucleotide identity (ANI) threshold often used to define 'species' boundaries between whole 149 genomes is 95% (Jain et al. 2018) increases to 99% for ribosomal protein sequences (Olm et al. 150 2020). Therefore, ribosomal proteins are more vulnerable than other genes to non-specific read-151 recruitment from closely related proteins within metagenomes. To identify criteria for reliably 152 resolving taxa, we started our investigation by developing a series of benchmarks to optimize the 153 use of ribosomal proteins in EcoPhylo with appropriate parameters to maximize the ecological 154 and evolutionary signal they can offer while minimizing non-specific read recruitment. These 155 benchmarks, which are detailed in the Supplementary information (1) inspected hidden Markov 156 model (HMM) alignment coverage thresholds to accurately detect ribosomal proteins in genomes 157 and metagenomes; (2) examined the copy number distribution of ribosomal protein HMMs across 158 archaeal and bacterial genomes to only consider single-copy candidates; and (3) explored 159 nucleotide similarity thresholds to cluster ribosomal gene sequences to maximize the taxonomic 160 resolution of representative sequences while maintaining sufficient nucleotide distance between 161 distinct representative sequences to reduce non-specific read recruitment from metagenomes 162 (Supplementary information).

163 Based on these considerations, we implemented routines and adjusted default EcoPhylo 164 parameters to (1) use a minimum of 80% model coverage for ribosomal protein HMMs for a match; 165 (2) filter for complete open reading frame sequences to remove assembly artifacts; and (3) cluster 166 HMM hits with target coverage to ensure grouping of extended open reading frames and leverage 167 97% nucleotide similarity as the most appropriate clustering threshold to minimize non-specific 168 read recruitment (Supplementary information). We also compared broad ecological insights 169 recovered from EcoPhylo to state-of-the-art taxonomic profiling tools, confirming that this 170 framework offered qualitatively comparable results (Supplementary information). Altogether,

these evaluation and optimization steps yielded EcoPhylo default parameters to obtain
representative ribosomal protein sequences that are suitable for investigations of the phylogeny,
biogeography, and genome recovery of populations they describe.

174 Ribosomal proteins quantify and contextualize genome recovery

175 rates from metagenomes

Thanks to its diverse physiological properties that promote a variety of chemical gradients and 176 177 surfaces (Bowen et al. 2018), the human oral cavity is home to diverse communities of microbes 178 (Dewhirst et al. 2010). The human oral microbiome is a relatively well-characterized environment 179 with a wealth of isolate genomes accessible through the Human Oral Microbiome Database 180 (HOMD) (Escapa et al. 2018; T. Chen et al. 2010), and numerous genome-resolved 181 metagenomics surveys that have captured representative genomes of microbial clades that have 182 largely eluded cultivation efforts. Using EcoPhylo we first focused on a genome-resolved 183 metagenomics survey which reconstructed multiple high-quality MAGs from tongue and plaque 184 samples from the human oral cavity (Shaiber et al. 2020). While Shaiber et al. (2020) reported 185 numerous genomes for elusive taxa, such as Saccharimonadia (TM7), Absconditabacteria (SR1), 186 and Gracilibacteria (GN02), the genome-resolved metagenomic workflow failed to reconstruct 187 MAGs that resolved to some of the best-represented organisms in culture collections from the 188 oral cavity, such as members of the genus Streptococcus, (Escapa et al. 2018), which was 189 represented by only two MAGs in Shaiber et al. (2020). This discrepancy compelled us to combine 190 isolate genomes from the HOMD together with metagenomes and MAGs from Shaiber et al. 191 (2020), to investigate whether EcoPhylo could reveal the differential recovery of genomes through 192 distinct recovery approaches.

193 We started our analysis by combining 790 non-redundant MAGs and 14 metagenomic co-194 assemblies of tongue and plaque metagenomes reported by Shaiber et al (2020) with 8,615 195 isolate genomes we obtained from the HOMD (Supplementary Table 1). To characterize these 196 data, we elected to use EcoPhylo with rpL19 HMM, since it was the most frequent ribosomal 197 protein with an average length of 393 nucleotides across all genomes in our collection, occurring 198 in 98.59% of the HOMD genomes and 81.81% of the Shaiber et al. MAGs (Supplementary 199 information). To assess the generalizability of observations made from rpL19, we also ran 200 EcoPhylo on the same dataset with rpS15 and rpS2, with the average length of 275 and 781 201 nucleotides, respectively (Supplementary Table 2, Supplementary information).

202 The EcoPhylo analysis of the *rpL19* genes found in the genomes and metagenomic assemblies 203 resulted in a phylogenetic tree with 277 non-redundant bacterial representative sequences 204 (Figure 2A, Supplementary Table 3). Hierarchical clustering of metagenomes based on the 205 detection patterns of these rpL19 sequences organized metagenomes into tongue and plague 206 sampling sites de novo (Figure 2A, Supplementary Figure 1), demonstrating that a single 207 ribosomal gene family is able to capture the known ecological differences between these habitats. 208 Many closely related rpL19 genes that resolved to prevalent oral taxa, such as Prevotella and 209 Steptococcus, showed within-genus differences in site specificity, a previously observed 210 phenomenon (Eren et al. 2014) that is attributed to divergent accessory genomes (Mark Welch, 211 Dewhirst, and Borisy 2019; Utter et al. 2020). Multiple ribosomal protein representative sequences 212 recruited reads from tongue as well as plague metagenomes, also matching prior observations of 213 cosmopolitan taxa (Figure 2A, Supplementary information). Overall, the ecological insights 214 revealed by rpL19 recapitulated known ecology of oral microbes (Mark Welch, Dewhirst, and 215 Borisy 2019) and provided a framework to assess genome recovery rates.



216

217 Figure 2: Ribosomal protein phylogeny and detection patterns across metagenomes from the human oral 218 cavity and gut microbiomes. In the heatmaps in both panels, each column represents a ribosomal protein 219 representative sequence, each row represents a metagenome, and each data point indicates whether a given ribosomal 220 protein was detected in a given metagenome. The columns of heatmaps are ordered by a tree which represents a 221 phylogenetic analysis of all ribosomal protein representative sequences, and the rows are ordered by a hierarchical 222 clustering dendrogram that is calculated based on the ribosomal protein detection patterns across metagenomes. The 223 panel (A) represents the EcoPhylo analysis of rpL19 sequences across Shaiber et al. (2020) metagenome-assembled 224 genomes (MAGs), Shaiber et al. (2020) oral metagenomes, and HOMD genomes, and includes three additional rows

that indicate the origin of a given ribosomal protein, whether it is a metagenome-assembled genome (MAG, blue), HOMD isolate genome (red), or only recovered from metagenomic assemblies with no representation in genomes (green). Smaller red boxes in the phylogenetic tree mark microbial clades that were absent in the collection of MAGs and assemblies reported by Shaiber et al. (2020), but detected in Shaiber et al. (2020) metagenomes solely due to the inclusion of HOMD isolate genomes. The panel **(B)** represents the EcoPhylo analysis of *rpS15* sequences across the Carter et al. (2023) metagenome-assembled genomes (MAGs) and Carter et al. (2023) gut metagenomes from a Hadza tribe, and includes an additional row that indicates whether a MAG was reported for a given ribosomal protein (blue).

232 EcoPhylo tracks the origins of each sequence in each sequence cluster. Some *rpL19* clusters, 233 representatives of which are shown in the phylogenetic tree in Figure 2A, were composed of 234 sequences found only in metagenomic assemblies and not in MAGs or isolate genomes, 235 highlighting clades present in the environment but not in genome collections. Other rpL19 clusters 236 only contained sequences represented in HOMD isolate genomes; despite their consistent 237 detection in oral samples through metagenomic read recruitment, they were absent in 238 metagenomic assemblies or MAGs, highlighting clades that are less accessible to short-read 239 metagenomic assembly approaches (Figure 2). To calculate genome recovery rates for any given 240 taxon, we divided the number of sequence clusters that contained a sequence from a given 241 genome recovery method by the total number of representative sequences EcoPhylo reported for 242 that taxon (Materials and Methods). This analysis revealed that 60.3% of the bacterial populations 243 defined by rpL19 gene clusters that were detected in metagenomic reads also appeared in MAGs. 244 In other words, the overall bacterial MAG recovery rate in the study by Shaiber et al. (2020) was 245 60.3% (rpS15: 62.8%, rpS2: 53.2%) (Figure 2A, Supplementary Table 3, Supplementary Table 246 4). However, this rate of recovery was not uniform across individual taxa. EcoPhylo revealed 247 higher MAG recovery rates for taxa such as Saccharimonas at 69.2% (rpS15: N/A, rpS2: 63.6%), 248 and Prevotella at 76.9% (rpS15: 82.6%, rpS2: 84%). In contrast, the MAG recovery was lower for 249 populations in other clades, including Gammaproteobacteria and Fusobacteriia, with MAG 250 recovery rates of 47.1% (*rpS15*: 58.1%, *rpS2*: 44.8%) and 41.7% (*rpS15*: 46.2%, *rpS2*: 31.2%),

251 respectively (Figure 2A, Supplementary Table 3, Supplementary Table 4). The MAG recovery 252 rate was particularly low for Streptococcus at 30% (rpS15: 15.4%, rpS2: 10%), consistent with 253 the presence of only two MAGs in Shaiber et al. (2020). However, the MAG recovery rate for 254 Actinomyces was also very low at 23.1% (rpS15: 36.4%, rpS2: 13.3%) despite the 255 characterization of nine Actinomyces MAGs by Shaiber et al. (2020) reveals a large number of 256 distinct Actinomyces populations missed by MAGs even though they were present in the 257 assemblies (Figure 2A, Supplementary Table 3, Supplementary Table 4). Overall, this analysis 258 not only confirmed that MAG recovery rates are not uniform across microbial clades, but also 259 showed that quantification of these rates is possible and may yield unexpected insights into the 260 extent of diversity that is not represented in the final set of MAGs for some clades.

261 The inclusion of genomes from the HOMD increased the number of rpL19 sequence clusters that 262 contained genomes in this dataset, i.e., the total genome recovery rate, from 60.3% to 73.3% 263 (rpS15: 74.8%, rpS2: 81.3%), and led to the representation of 35 additional microbial clades for 264 which the metagenomic sequencing and analysis workflow implemented in Shaiber et al. (2020) 265 did not assemble. As with MAGs, the improved detection of taxa among HOMD genomes was 266 not uniform across clades (Figure 2A). For example, HOMD genomes offered genomic context 267 for five additional Streptococcus populations, increasing the genome recovery rate from 30% with 268 MAGs only, up to 80% when including the HOMD collection. When taking into account both MAGs 269 and isolate genomes, the overall genome recovery rate of Shaiber et al. (2020) from a human 270 oral microbiome dataset determined by EcoPhylo was 73.3%, showing that ribosomal protein 271 phylogeography is an effective means to quantify genome recovery statistics for individual 272 studies. Conversely, EcoPhylo results showed that 26.7% of the individual clades that could be 273 detected through the presence of rpL19 sequences in assemblies of Shaiber et al. (2020) 274 metagenomes lacked genomic representation in both Shaiber et al. (2020) MAGs and HOMD 275 isolates (Figure 2A). Clades that were solely detected through their assembled yet not binned ribosomal proteins increased the detection of populations of *Lachnospiraceae*, *Actinomyces*, *Gammaproteobacteria*, and *Patescibacteria* (Figure 2A). As EcoPhylo clusters ribosomal proteins
at 97% nucleotide similarity, a conservative threshold that underestimates biodiversity by often
grouping genomes with gANI below 95% (Olm et al. 2020).

280 Next, we applied EcoPhylo to another genome-resolved metagenomics study that recently 281 characterized the gut microbiome of a Hadza hunter-gatherer tribe with a deep sequencing effort 282 by Carter et al. (2023), in which the authors reported nearly 50,000 redundant bacterial and 283 archaeal MAGs from 338 metagenomes with an average of 76 million paired-end reads 284 (Supplementary Table 1). EcoPhylo analysis of this dataset with rpS15 with an average length of 285 276 nucleotides, along with rpS16 and rpL19, with the average length of 297 and 370 nucleotides 286 respectively (Supplementary Figure 2), revealed a relatively high bacterial MAG recovery rate of 287 67.7% (rpS16: 72.8%, rpL19: 69.5%) (Figure 2B, Supplementary Table 2). While there were some 288 clades, such as Actinomycetia, for which the genome recovery rate was as low as 31.9% (rpS16: 289 32.4%, rpL19: 33.3%), the high MAG recovery rate was generally uniform across all major taxa 290 (Supplementary Table 5, Supplementary Table 6, Supplementary Information).

291 Through these analyses, we are able to demonstrate that the MAGs obtained by Carter et al. 292 (2023) more comprehensively represents the populations captured by their metagenomic 293 assemblies of the human gut compared to the MAGs obtained by Shaiber et al. (2020) given their 294 metagenomic assemblies of the oral cavity (Figure 2B, Supplementary Table 5, Supplementary 295 Table 6, Supplementary Information). The ability to make such a statement highlights the utility of 296 EcoPhylo at providing quantitative insights into the efficacy of genome-resolved surveys 297 independent of biomes while offering a phylogenetic and biogeographical context for the 298 populations that were detected in the assemblies.

Overall, EcoPhylo results from the human oral cavity and human gut ecosystems show that our workflow can scale to large metagenomic surveys, combine genomes from multiple sources to compare distinct recovery strategies at the level of individual phylogenetic clades, and recapitulate known ecological patterns.

303 Genome collections represent a small fraction of microbial diversity

in the global surface ocean microbiome

305 Marine systems support fundamental biogeochemical cycles that maintain the Earth's habitability, 306 and comprehensively documenting the genomes of marine microbes that are intimately 307 connected to these processes has been one of the key aims of microbiology. In addition to 308 decades of cultivation efforts, recent years witnessed a rapid expansion of marine microbial 309 genome catalogues for bacteria and archaea with new MAGs (Delmont et al. 2018b; Tully, 310 Graham, and Heidelberg 2018; Paoli et al. 2022) and SAGs (Pachiadaki et al. 2019; Martínez-311 Pérez et al. 2022). Studies that recover genomes from marine systems recognize that the extent 312 to which these collections represent marine environmental populations is limited (Delmont et al. 313 2019a; Paoli et al. 2022). Yet, quantifying the extent of representation at the level of individual 314 environmental clades across genome collections is a challenge. Having established the utility of 315 EcoPhylo to elicit quantitative answers to such questions, we next surveyed a state-of-the-art 316 globally distributed collection of microbial genomes from marine systems (Paoli et al. 2022) in the 317 context of metagenomes generated by the Tara Oceans Project (Salazar et al. 2019; Sunagawa 318 et al. 2015), the Hawaii Ocean Time-series (HOT) (Biller et al. 2018), the Bermuda Atlantic Time-319 series (BATS) (Biller et al. 2018), BioGEOTRACES expeditions (Biller et al. 2018), and the 320 Malaspina Project (Sánchez et al. 2024) to simultaneously compare genome recovery rates of 321 MAGs, SAGs, and isolate genomes. Of all 1,038 metagenomes, we focused on those that were 322 collected from up to 30m depth and had a size fraction of 0.22µm to 3µm (Supplementary Figure

323 3, Supplementary Table 1), which left us with a total of 237 metagenomes containing a total of 324 18,832,767,852 short reads (79,463,155 reads per metagenome on average). Our collection of 325 genomes included 7.282 MAGs, 1.474 SAGs, and 1.723 isolate genomes from The Ocean 326 Microbiomics Database (subsetted from samples of < 30m depth when possible) (Paoli et al. 327 2022). We expanded this collection with an additional 52 isolate genomes that historically have 328 low MAG recovery rates, such as Pelagibacterales (SAR11) and Cyanobacteriota, and a 329 collection of 41 SAGs obtained from below the Ross Ice Shelf to improve detection of cold-330 adapted clades (Martínez-Pérez et al. 2022) (Materials and Methods), vielding a total of 10,479 331 genomes (Supplementary Table 1). For characterization of these data by EcoPhylo we primarily 332 used the ribosomal gene rpL14 with an average length of 363 nucleotides, which we detected in 333 82% of the final list of genomes (Supplementary information), but we also conducted additional 334 analyses using the ribosomal genes rpS8 and rpS11, with an average length of 398 and 415 335 nucleotides respectively, to confirm our key observations (Supplementary Figure 1, 336 Supplementary Table 2).



337

338 Figure 3: Ribosomal protein L14 phylogeny and detection patterns across metagenomes from the global 339 surface ocean (depth < 30 m; size fraction: 0.22 to 1.6 µm, 0.22 to 3 µm). In the heatmap of panel (A), each column 340 represents a ribosomal protein representative sequence, each row represents a metagenome, and each data point 341 indicates whether a given ribosomal protein was detected in a given metagenome. The heatmap columns are ordered 342 by a tree which represents a phylogenetic analysis of all ribosomal protein representative sequences, and the rows are 343 ordered by a hierarchical clustering dendrogram that is calculated based on ribosomal protein detection patterns across 344 metagenomes. Metagenomes are colored by temperate (gold) or polar (purple) biomes. Each leaf of the phylogenetic 345 tree is decorated below the heatmap with metadata denoting the origin of the RP: metagenome-assembled genome 346 (MAG) (blue), isolate genomes (red), and single amplified genomes (green). In panel (B), each map corresponds to

phylogeographical patterns highlighted in panel (A). Colored sampling points correspond to the boxed phylogeographicsignals in panel (A).

349 EcoPhylo analysis of rpL14 genes across 236 global surface ocean metagenomes characterized 350 8.075 bacterial, 370 archaeal, and 33 eukaryotic clades and computed their distribution patterns 351 across environments (Supplementary Table 7). Hierarchical clustering of metagenomes based on 352 rpL14 detection patterns split samples into two major groups, whereby one of the groups 353 represented samples collected from polar regions and the other represented samples collected 354 from temperate oceans (Figure 3, Supplementary information); a result that is in line with previous 355 observations that documented water temperature as a major driver of microbial diversity in the 356 surface ocean (Sul et al. 2013; Sunagawa et al. 2015). Notably, temperate and polar water 357 samples did not partition when we included metagenomes with lower sequencing depths in our 358 analysis. This was likely caused by increasing noise in detection patterns of various prevalent 359 populations, which compelled us to only consider metagenomes with 50 million or more paired-360 end reads for our downstream analyses (Supplementary information), which left us with a total of 361 100 metagenomes (Supplementary Table 1, Supplementary information). Overall, EcoPhylo 362 captured (1) differential distribution patterns among closely related taxa as a function of 363 temperature and latitude, a form of phylogenetic overdispersion likely due to greater competitive 364 exclusion among closely related organisms in the same ecological niche, and (2) showed that the 365 majority of taxa contained both warm- and cold-adapted clades that exclusively occurred either in 366 polar or temperate waters, an expected observation since marine thermal adaptation is not 367 correlated with phylogenetic signal (Thomas et al. 2012) and is likely acquired through 368 independent processes within each major clade (Figure 3). Furthermore, the rpL14 369 phylogeography captured well-understood biogeographical patterns of prevalent pelagic taxa 370 (Figure 3), in agreement with previous studies that showed the dominance of SAR11 subclade 371 Ia.3.V in temperate waters (Delmont et al. 2019b) and the exclusivity of cold-adapted SAR11 372 clades Ia.1 and Ia.3.II to polar regions (M. V. Brown et al. 2012; Delmont et al. 2019a). It also

373 corroborated the global distribution of *Prochlorococcus* HL-II in temperate waters (Johnson et al. 374 2006; Biller et al. 2015; Ustick, Larkin, and Martiny 2023) and the contrasting distribution of this 375 group with Prochlorococcus HL-III and Prochlorococcus HL-IV, which are mainly found in the 376 Equatorial Pacific (Rusch et al. 2010; Huang et al. 2012; Malmstrom et al. 2013; Kent et al. 2016), 377 as well as Prochlorococcus HL-I, which is confined to higher latitudes (Johnson et al. 2006; Biller 378 et al. 2015; Delmont and Eren 2018). The concordance of our results from EcoPhylo with known 379 ecological patterns in marine microbiology underscores the reliability of ribosomal protein 380 phylogeography in characterizing the interplay between microbial ecology and evolution in global 381 surface ocean microbiome (Figure 3, Supplementary information).

382 Using these data, we first compared the overlap between surface ocean microbial populations in 383 the environment and publicly available MAGs generated from this biome by calculating genome 384 recovery rates. The MAG recovery rate for Archaea was relatively high at 49.5% (rpS8: N/A. 385 rpS11: 50%), however, the MAG recovery rate for Bacteria was only 19.9% (rpS8: 22.7%, rpS11: 386 22.2%). In contrast to the MAG recovery rates we observed in individual studies from the human 387 oral and gut microbiome (60.3% and 67.7%, respectively), this much lower recovery rate from 388 multiple sequencing projects reflects the relatively poor efficiency and the contemporary 389 challenges of reconstructing genomes from metagenomes in the ocean biome (Figure 3, 390 Supplementary Figure 1, Supplementary Table 7, Supplementary Table 8). Some phyla had 391 relatively high MAG recovery rates, such as 48.2% for Actinomycetota (rpS8: 50.8%, rpS11: 392 48.9%), 43.9% for Bacteroidota (rpS8: 47.3%, rpS11: 44.3%), and 49.7% for Verrucomicrobiota 393 (rpS8: 51.2%, rpS11: 44.4%). MAG recovery rates were much lower for other clades, including 394 those containing some of the best-studied autotrophs and heterotrophs of open surface oceans. 395 for example, the MAG recovery rate was only 11% for Cyanobacteriota (rpS8: 11.7%, rpS11: 396 9.93%). Many clades of Alphaproteobacteria had some of the lowest MAG recovery rates, 397 including 12.7% (rpS8: 9.21%, rpS11: 7.2%) for the uncharacterized order HIMB59

398 (Supplementary Table 7, Supplementary Table 8). Poor MAG recovery rate was also true for the 399 order Pelagibacterales, which remained at 3.76% (rpS8: 3.98%, rpS11: 4.25%) (Supplementary 400 Table 7, Supplementary Table 8). Compared to taxonomic classification of shotgun metagenomic 401 reads or sequencing of 16S rRNA gene amplicons, prior studies observed much lower relative 402 abundance estimates for populations resolving to Cyanobacteriota and Pelagibacterales based 403 on MAGs (Pachiadaki et al. 2019; Chang et al. 2024). By elucidating clade-specific discrepancies 404 between different methods of genome recovery, EcoPhylo offers a context for the extent of 405 missing MAGs in prior surveys, which likely is a by product of fragmented metagenomic 406 assemblies due to co-occurring closely related populations with high genomic diversity (L.-X. 407 Chen et al. 2020).

408 De novo characterization of rpL14 sequences with EcoPhylo uncovers the vast diversity within 409 Pelagibacterales compared to the other clades (Figure 3). Strikingly, even with the conservative 410 profiling of EcoPhylo that will occasionally pull together ribosomal proteins that belong to genomes 411 from multiple 95% gANI clusters, Pelagibacterales made up 41.54% of the non-redundant rpL14 412 sequence clusters shown in Figure 3, revealing yet another representation of its immense 413 phylogenetic diversity (Morris et al. 2002; M. V. Brown et al. 2012; Pachiadaki et al. 2019). While 414 both Cyanobacteriota and Pelagibacterales suffer from similar rates of poor representation in 415 MAG collections, the missing genomes for environmental populations of *Pelagibacterales* 416 resolved to ~12 times more rpL14 sequence clusters, which unveils the enormous 417 uncharacterized genomic diversity within this order of many clades that show distinct 418 biogeographical patterns (Figure 3), and highlights the importance of ongoing cultivation efforts 419 to improve its genomic representation (Freel et al. 2024).

420 Different genome recovery methods come with different clade-421 specific biases

422 Finally, we explored the contribution of isolate genomes and SAGs to the genomic representation 423 of surface ocean microbial populations. Isolate genomes had low phylogenetic breadth across 424 the Ribosomal L14 phylogeny and only sampled a few closely related populations, indicating the 425 repeated isolation of similar microbes. In fact, at the phylum level, isolate genomes only effectively 426 sampled Cyanobacteriota at a recovery rate of 7.33% (rpS8: 10.7%, rpS11: 7.80%) despite the 427 fact that we supplemented this clade with extra isolate genomes for this analysis (Supplementary 428 Table 7, Supplementary Table 8). Interestingly, a few closely related orders within class 429 Gammaprotebacteria were exceptionally well-covered by bacterial organisms in culture, where 430 40% of the ribosomal proteins matched to an isolate genome (Figure 3). These sister clades 431 represented a relatively small fraction of the overall phylogenetic diversity and were poorly 432 detected across the global surface ocean metagenomes, however, they collectively contained 433 many intensely studied marine model bacterial genera, such as Vibrio (Kauffman et al. 2018; 434 Baker-Austin et al. 2017; van Kessel and Camilli 2024; Septer and Visick 2024), Alteromonas 435 (Pedler, Aluwihare, and Azam 2014; Manck et al. 2022; Henríquez-Castillo et al. 2022; Z. Lu et 436 al. 2024; Halloran et al. 2025), and Alcanivorax (Sabirova et al. 2008; Naether et al. 2013; Manoj 437 Prasad et al. 2019; M. Prasad et al. 2023). The clades with some of the highest SAG recovery 438 rates included the order SAR86 at 16.3% (rpS8: 20.1%, rpS11: 17.8%) and the phylum 439 Actinomycetota at 14.4% (rpS8: 16.2%, rpS11: 14.5%) (Figure 3, Supplementary Table 7, 440 Supplementary Table 8). Furthermore, SAGs augmented the recovery of genomes from 441 prevalent, taxonomically diverse populations with low MAG recovery rates including (1) 442 Cyanobacteriota with a three-fold increase compared to MAGs at 37.0% (rpS8: 47.2%, rpS11: 443 41.5%), (2) SAR86 at 16.3% (rpS8: 20.1%, rpS11: 17.8%), and (3) Alphaproteobacteria, including 444 HIMB59 at 5.06% (rpS8: 5.61%, rpS11: 5.29%) and SAR11 at 6.35% (rpS8: 7.56%, rpS11:

445 7.82%) (Figure 3, Supplementary Table 7, Supplementary Table 8). Specifically, SAGs were able 446 to effectively sample the warm-adapted SAR11 clade 1.a.3V as well as an uncharacterized cold-447 adapted clade of SAR11 likely due to SAG sampling sites that covered both temperate 448 (Pachiadaki et al. 2019) and polar (Martínez-Pérez et al. 2022) oceans. Considering that SAR11 449 has been estimated to be 25% of all plankton (Giovannoni 2017) and 20-40% of cells counts in 450 the surface ocean (Schattenhofer et al. 2009), SAG methodology, which separates individual 451 bacterial cells from the environment, appears to be optimal for recovering this taxon and avoids 452 the pitfalls of fragmented metagenomic assembly caused by microbiomes with closely related 453 populations (Hosokawa and Nishikawa 2024). SAGs had greater breadth than MAGs and isolate 454 genomes across the EcoPhylo phylogenies of Ribosomal L14, Ribosomal S11, and Ribosomal 455 S8 (Figure 3, Supplementary Figure 1), despite being sampled from only 9 surface ocean 456 sampling sites (Martínez-Pérez et al. 2022; Pachiadaki et al. 2019) compared to 237 457 metagenomes encompassing higher environmental diversity in the global surface ocean. 458 Furthermore, SAGs only represented 6.91% of Bacteria and 2.97% of Archaea populations 459 detected across the dataset while MAGs represented 19.9% of Bacteria and 49.5% of Archaea 460 populations detected across the dataset (Supplementary Table 7, Supplementary Table 8). These 461 results are in line with prior observations that showed pelagic SAGs represent notably more 462 taxonomic richness when compared to MAGs (Pachiadaki et al. 2019).

Similar to the human oral microbiome, we found an uneven phylogenetic distribution of genome recovery rates among genome acquisition strategies in the global surface ocean microbiome. MAGs systematically undersampled globally prevalent clades of *Alphaproteobacteria*, such as SAR11. In contrast SAGs from only a few surface ocean sampling sites (n=9), substantially improved their recovery rates from these clades (Figure 3), indicating that while sequencing and assembly of single-cell genomes often lead to severely incomplete genomes due to amplification biases (Stepanauskas et al. 2017), SAGs show great potential for unbiased genome recovery. The phylogeography of ribosomal proteins adds further evidence that a combination of genomeresolved metagenomics, single amplified genomics, and innovations in microbial isolation strategies are needed to further increase genomic representation of diverse taxa in the global surface ocean.

474 Discussion

475 Our work illuminates the efficiencies of current genome recovery methods and their ability to 476 sample genomes from various microbiomes. By leveraging phylogenetically informative marker 477 genes detected in metagenomic assemblies, such as ribosomal proteins, that are absent from 478 final genome collections, EcoPhylo provides a robust framework for benchmarking genome 479 recovery rates across multiple genome acquisition methods and contextualizing the ecological 480 and evolutionary of genome collections with naturally occurring microbial populations. Our study 481 examined three microbiome projects that used multiple genome recovery strategies (MAGs. 482 SAGs, and isolate genomes) to survey the human oral cavity, global surface ocean, and human 483 gut. Overall, we found that the EcoPhylo workflow can guantitatively measure genome recovery 484 rates and analyze heterogeneous genome collections to assess the efficacy of distinct recovery 485 methods at the level of individual phylogenetic clades. We observed that deep metagenomic 486 sequencing of the human gut microbiome yielded the highest genome recovery rate across these 487 three biomes analyzed. Additionally, we identified that a state-of-the-art genome collection from 488 marine environments represents a small fraction of the total diversity in the open surface ocean 489 through the lens of ribosomal proteins found in assembled metagenomes. By generating insights 490 into multi-domain ribosomal protein phylogeography, EcoPhylo provides a valuable interactive 491 data visualization strategy to evaluate the underlying microbial ecology of metagenomic 492 sequencing projects.

493 The *de novo* profiling of ribosomal proteins in metagenomic assemblies resembles reference-494 based taxonomic profiling of metagenomic short reads to predict relative abundances of taxa, an 495 idea that is implemented in multiple tools that use marker genes, such as Kraken (Wood and 496 Salzberg 2014), MIDAS (Nayfach et al. 2016), Bracken (J. Lu et al. 2017), mOTUs (Ruscheweyh 497 et al. 2022), and MetaPhIAn (Manghi et al. 2023), or processed conserved marker gene windows, 498 such as SingleM (Woodcroft et al. 2024). As these tools typically report distinct taxa and their 499 relative abundances, they indeed can help assess genome recovery efforts through direct 500 comparisons of taxon names they identify to the taxonomy of recovered genomes. However, the 501 requirement of a database of reference genomes and/or marker genes, and the absence of a 502 direct link between the genes in assemblies and taxon names reported in tables limit applications 503 with additional downstream opportunities such as targeted genome recovery. In contrast, the 504 flexibility of surveying any marker gene, including ribosomal proteins, across user-provided 505 metagenomic assemblies de novo offers an alternative approach that directly connects genes of 506 unrecovered taxa to assemblies and estimates the number of populations detected in 507 metagenomes regardless of their phylogenetic novelty across diverse samples and conditions.

508 While the phylogeography of ribosomal proteins offers valuable insights into genome recovery. 509 these genes have notable limitations. Rates of evolution as well as the likelihood to be recovered 510 through metagenomic assembly will differ across ribosomal protein families, complicating direct 511 quantitative comparisons between different ribosomal proteins and in some cases will require 512 surveying multiple ribosomal proteins to ensure the generalizability of observations from a single 513 ribosomal protein. Additionally, individual ribosomal protein trees will have less phylogenetic 514 power compared to concatenated ribosomal protein trees or longer marker genes. Although this 515 may lead to suboptimal organism phylogenetics, the efficient organization of ribosomal proteins 516 yields informative insights into the diversity of clades within a sample. Furthermore, when working 517 with incomplete genomes, such as MAGs or SAGs, a single ribosomal gene family will rarely be

518 detected across the entire genome collection and thus only a subset of genomes will be 519 contextualized per protein. Yet the inherent trade-offs of using incomplete genomes ($x \ge 50\%$ and 520 less than 10% contamination) highlight ongoing challenges in genome recovery, as stricter 521 completeness thresholds would further reduce the number of genomes available for analysis.

522 The modular design and customizable parameters of EcoPhylo allows users to go beyond 523 ribosomal proteins and leverage other gene families tailored for specific analyses which can 524 improve phylogenetics and the detection of specific taxa. For example, RNApolA and RNApolB 525 have been leveraged for phylogeny-guided binning leading to the discovery of missing branches 526 in viral evolution (Gaïa et al. 2023). Furthermore, phylogeography of functional protein families 527 can be leveraged as proxies for microbial metabolism, e.g. phylogeography of ABC transporters 528 can aid in modeling cryptic fluxes of microbial metabolites (Schroer 2023). The EcoPhylo workflow 529 provides a platform for future microbiome projects to benchmark their genome recovery rates 530 upon release of genome collections. Ribosomal protein phylogeography in tandem with reporting 531 read recruitment percentages to representative genome collections, provides comprehensive 532 insights into genome recovery rates given the biodiversity detected in metagenomes. Future 533 studies can leverage the strategy implemented in EcoPhylo to reanalyze existing metagenomic 534 assemblies to identify missing clades or develop tailored methods to optimize overall genome 535 recovery efforts by taking advantage of the increasing availability of genomes and metagenomes.

536 Materials and Methods

537 The EcoPhylo workflow

538 EcoPhylo is a computational workflow implemented in the open-source software ecosystem anvi'o 539 (Eren et al. 2015, 2021) using the Python programming language and the workflow management 540 system, Snakemake (Köster and Rahmann 2012). The primary purpose of EcoPhylo is to offer 541 an integrated means to study phylogenetic relationships and ecological distribution patterns of 542 sequences that match to any gene family based on user-provided hidden Markov model (HMM) 543 searches from genomic and metagenomic assemblies. A minimal command line instruction to 544 start an EcoPhylo run is `anvi-run-workflow -w ecophylo -c config.json`, where `anvi-run-workflow` 545 is a program in anvi'o that runs various workflows, and `config.json` is a JSON formatted 546 configuration file that describes file paths (such as the locations of genomes and/or 547 metagenomes) and other parameters (such as the HMM to be used for a homology search, and 548 sequence identity cutoffs). Comprehensive user documentation for EcoPhylo is available at 549 https://anvio.org/m/ecophylo.

550 The minimum input for the EcoPhylo is a gene family hidden Markov model (HMM) and a dataset 551 of genomic and/or metagenomic assemblies. EcoPhylo identifies and clusters target genes or 552 translated proteins across assemblies to yield a non-redundant, representative set of open 553 reading frames (ORFs). Next, an amino acid phylogenetic tree is calculated with the translated 554 representative ORFs yielding the evolutionary history captured by homologues from input 555 assemblies. An additional user input to the workflow is a metagenomic sequencing dataset 556 representing ecological sampling or an experimental setup. With this input, the workflow performs 557 metagenomic read recruitment against the representative ORFs to yield ecological insights into

558 the gene family. Finally, the separate data types are integrated into a phylogeographic 559 representation of the gene family (Figure 1).

The resulting sequences from the workflow can be organized in the EcoPhylo interactive interface either using an amino acid phylogenetic tree or using hierarchical clustering based on differential read recruitment coverage across metagenomic samples. Additionally, metagenomes can be hierarchically clustered based on the detection of the target gene family. It is recommended to employ hierarchical clustering of metagenomes or sequences in the EcoPhylo interactive interface with the detection read recruitment statistic (rather than coverage values) to minimize the effect of non-specific read recruitment (https://merenlab.org/anvio-views/).

567 An application of the EcoPhylo workflow with default settings will (1) identify gene families with 568 the program `hmmsearch` in (Eddy 2011) using the user-provided HMM model, (2) annotate 569 affiliate hmm-hits with taxonomic names with `anvi-run-scg-taxonomy` when applicable, (3) 570 remove hmm-hits with less than 80% HMM model alignment coverage and incomplete ORFs with 571 the anvi'o program `anvi-script-filter-hmm-hits` with parameters `--min-model-coverage 0.8` and 572 '--filter-out-partial-gene-calls' to minimize the inclusion of non-target sequences and spurious 573 HMM hits, (4) dereplicate the resulting DNA sequences at 97% gANI and pick cluster 574 representatives using MMseqs2 (Steinegger and Söding 2017), (5) use the translated 575 representative sequences to calculate a multiple sequence alignment (MSA) using (Edgar 2004) 576 with the '-maxiters 2' flag (Edgar 2004), trim the alignment by removing columns of the alignment 577 with trimAL with the '-gappyout' flag (Capella-Gutiérrez, Silla-Martínez, and Gabaldón 2009), (6) 578 remove sequences that have more than 50% gaps using the anvi'o program `anvi-script-reformat-579 fasta, (7) calculate a phylogenetic tree using FastTree (Price, Dehal, and Arkin 2010) with the 580 flag '-fastest', (8) perform metagenomic read recruitment analysis and profiling of non-translated 581 representative sequences using the anvi'o metagenomic workflow (Shaiber et al. 2020), which by 582 default relies upon Bowtie2 (Langmead and Salzberg 2012), (9) generate miscellaneous data to

annotate the representative sequences including taxonomy with `anvi-estimate-scg-taxonomy` for ribosomal proteins, cluster size, and sequence length, and finally (10) generate anvi'o artifacts that give integrated access to the phylogenetic tree of all representative sequences and read recruitment results that can be visualized using the anvi'o interactive interface and/or further processed for specific downstream analyses using any popular data analysis environment such as R and/or Python.

589 The workflow that resulted in the recovery and characterization of ribosomal proteins in our 590 manuscript used the following additional steps: (1) we removed input reference genomes that 591 were not detected in at least one of the input metagenomes above a detection value of 0.9 with 592 their ribosomal protein (we kept all MAGs originating from the samples themselves) to only 593 visualize detected populations, (2) we manually curated the ribosomal protein tree when 594 necessary to remove sequences that appeared to be chimeric and those that formed spurious 595 long branches likely originating from metagenomic assembly artifacts, and/or mitochondrial or 596 plastid genomes (Supplementary information) and recalculated new amino acid phylogenetic 597 trees with curated sequences with `FastTree` or IQTREE with the parameters `-m WAG -B 1000` 598 (Minh et al. 2020) and imported the new trees using the program `anvi-import-items-order`, and 599 finally, (3) we generated additional metadata using in-house Python or R scripts and imported 600 additional metadata using the program `anvi-import-misc-data` to decorate trees or 601 metagenomes.

602 Benchmarking EcoPhylo workflow with ribosomal proteins using 603 CAMI synthetic metagenomes

604 We validated the EcoPhylo workflow by benchmarking it against the CAMI synthetic 605 metagenomes (Meyer et al. 2022) to identify nucleotide clustering thresholds of ribosomal gene 606 families to limit non-specific read recruitment while maximizing taxonomic resolution. We applied 607 the EcoPhylo workflow across the three CAMI biome synthetic genomic/metagenomic datasets 608 (Marine, Plant-associated, and Strain-madness). As an initial step, we identified the top five most 609 frequent ribosomal gene families that were detected in single-copy in the associated genomic 610 collections for each synthetic metagenomic dataset. We then conducted a parameter grid search, 611 spanning 95%-100% nucleotide similarity parameter grid search (Meyer et al. 2022). Next, we 612 measured the amount of non-specific read recruitment in each EcoPhylo iteration, i.e. reads with 613 equal mapping scores between their primary and secondary alignments (multi-mapped reads). 614 with the following Samtools command: `samtools view \$sample | grep XS:i | cut -f12-13 | sed 615 's/..:i://g' | awk '\$1==\$2' | wc -l`. The percentage of non-specific read recruitment was calculated 616 by dividing the number of multi-mapped reads by the total number of reads mapped to the 617 representative dataset. With this, we identified that nucleotide clustering thresholds greater than 618 97% began to show signs of non-specific read recruitment (Supplementary information).

619 After identifying 97% nucleotide identity as the optimal threshold, we measured EcoPhylo's ability 620 to contextualize a genomic collection within metagenomic assemblies by quantifying the amount 621 of genomic ribosomal genes clustering with their associated metagenomic assembly ribosomal 622 gene (Supplementary information). Finally, we benchmarked the Shannon diversity and richness 623 captured by different SCGs within the metagenomes and compared it to other taxonomic profiling 624 tools submitted to CAMI (Meyer et al. 2022). To calculate Shannon diversity and richness values 625 for SCGs processed by EcoPhylo we used the R package vegan (Dixon 2003) and Phyloseg 626 (McMurdie and Holmes 2013). To calculate the richness and alpha diversity values for CAMI 627 ground truth and other profiling tools we extracted relative abundance for each genera included 628 in the associated biome files made available from CAMI. Shannon diversity for SCGs in the 629 EcoPhylo were calculated with the anvi'o coverage statistic: Q2Q3 coverage. Datasets were 630 cleaned and visualized with R packages in Tidyverse (Wickham et al. 2019).

631 Genome collections

632 All MAG and SAG datasets were filtered for genomes with 50% completion and 10% redundancy 633 using the single-copy core gene collections in anvi'o to meet medium-quality draft status in 634 accordance with the community standards (Bowers et al. 2017). For the human oral cavity 635 analysis, 8,615 human oral isolate genomes were downloaded from HOMD v10.1 636 (https://www.homd.org/ftp/genomes/NCBI/V10.1/) (Escapa et al. 2018) and 790 MAGs were 637 downloaded from Shaiber et al. (2020) via (doi:10.6084/m9.figshare.12217805, 638 doi:10.6084/m9.figshare.12217961).

For the Hadza tribe human gut microbiome analysis we followed the data download guidelines shared by Carter et al. (2023) to obtain genomes from doi:<u>10.5281/zenodo.7782708</u>. Carter et al. (2023) formed clusters at 95% gANI by including additional genomes outside of the MAGs they have reconstructed from the Hazda gut metagenomes. To exclusively analyze microbial genomes affiliated with the Hadza metagenomes, we filtered for cluster representatives with cluster members that contained at least one Hadzda adult or infant MAG which produced 2,437 representative Bacterial and Archaeal MAGs.

646 Finally, the surface ocean genomic collection was based on Paoli et al. (2022) and augmented 647 with SAGs (Martínez-Pérez et al. 2022) and isolate genomes for SAR11 and Prochlorococcus 648 (Delmont and Eren 2018; Delmont et al. 2019a). When metadata was available, we only used 649 genomes sampled from x < 30 meters depth to match the surface ocean metagenomic dataset. 650 otherwise, we retained the genomes. The (Paoli et al. 2022) MAG collection included manually 651 curated MAGs from co-assemblies, which included samples from depths deeper than 30 meters 652 in the deep chlorophyll maximum (Delmont et al. 2019a). The final input surface ocean genome dataset contained 1,474 SAGs, 1,723 isolate genomes, and 7,282 MAGs. 653

654 Metagenome and metagenomic assembly datasets

655 To explore the phylogeography of ribosomal proteins, we used used 71 tooth and plaque 656 metagenomes from the human oral cavity which were downloaded from the NCBI BioProject 657 PRJNA625082 (Shaiber et al. 2020) along with associated co-assemblies 658 (doi:10.6084/m9.figshare.12217799). Next, to explore deep sequencing in the human gut 659 microbiome we used 388 metagenomes and assemblies from infant and adult members of the 660 Hadza tribe (doi:10.5281/zenodo.7782708) using the FTP links shared in from the file 661 Supplemental Table S1.csv and NCBI BioProject PRJEB49206 (Carter et al. 2023). Finally, to explore the global surface ocean microbiome, we used 237 surface ocean metagenomes and 662 663 associated assemblies (<30 meters depth) from NCBI BioProjects PRJEB45951 and 664 PRJEB5245228 (Paoli et al. 2022; Sánchez et al. 2023). All metagenomes and associated 665 assembly accessions can be found at Supplementary Table 1.

666 Preprocessing of genomic and metagenomic assemblies and 667 metagenomic short reads

668 Metagenomic and genomic assemblies were preprocessed with the anvi'o contigs workflow with 669 the program `anvi-run-workflow -w contigs` to predict open-reading frames with Prodigal (V2.6.3) 670 and identify SCGs for taxonomic inference with `anvi-run-scg-taxonomy` (Hyatt et al. 2010: 671 Shaiber et al. 2020). No contig size filters were implemented during this process to include 672 ribosomal proteins located on small contigs. To limit detection of misassemblies in downstream 673 analyses, only ribosomal proteins with complete open-reading frames (as predicted by Prodigal) 674 were analyzed with EcoPhylo (Hyatt et al. 2010). Additionally, metagenomic samples were quality 675 controlled with the anvi'o metagenomics workflow with the program `anvi-run-workflow -w 676 metagenomics` (Shaiber et al. 2020). This workflow uses the tool `iu-filter-quality-minoche` (Eren

et al. 2013), which implements methods described in (Minoche, Dohm, and Himmelbauer 2011).
All Snakemake workflows in this manuscript leveraged Snakemake v7.32.4 (Köster and Rahmann
2012).

680 Gene-level taxonomy of ribosomal proteins

681 To assign gene level taxonomy to ribosomal proteins, the EcoPhylo workflow relies upon the 682 anvio tools `anvi-run-scg-taxonomy` and `anvi-estimate-scg-taxonomy`, which leverage the 683 genomes and their taxonomy made available by the GTDB (Parks et al. 2022) to identify 684 taxonomic affiliations of genes that match to any of the ribosomal proteins L1, L13, L14, L16, L17, 685 L19, L2, L20, L21p, L22, L27A, L3, L4, L5, S11, S15, S16, S2, S6, S7, S8, or S9. During the 686 workflow, EcoPhylo uses `anvi-run-scg-taxonomy` to search for ribosomal genes annotated within 687 each anvi'o contigs database against the downloaded marker gene dataset with DIAMOND 688 v0.9.14 (Buchfink, Reuter, and Drost 2021). Later in the workflow, EcoPhylo runs `anvi-estimate-689 scg-taxonomy --metagenome-mode` on the representative set of ribosomal proteins, which 690 assigns a consensus taxonomy to each sequence. The program `anvi-estimate-scq-taxonomy` 691 does not provide a taxonomic annotation if the ribosomal protein is less than 90% similar to any 692 of the ribosomal proteins found in GTDB genomes. In some cases, ribosomal proteins without 693 taxonomic annotation can be manually annotated with taxonomy based on the annotated 694 sequences that surround them in the phylogenetic tree, as we described in the section 695 "Taxonomic binning to improve genome recovery estimations".

696 Selection of ribosomal proteins to contextualize genomic 697 collections in metagenomes

To pick ribosomal gene families to study genome collections, we selected ribosomal genes that 698 699 were annotated in the majority of genomes in single-copy. We then cross-referenced selected 700 ribosomal genes with their assembly rates in metagenomes and disregarded candidate ribosomal 701 gene families that were under- or over-assembled in the dataset. To do this, we ran the EcoPhylo 702 workflow with the input dataset of genomic and metagenomic assemblies until the rule 703 process hmm hits, which will filter for high-quality HMM-hits as described above. Finally, we 704 extracted ribosomal protein hits from all assemblies with the anvi'o command `anvi-script-gen-705 hmm-hits-matrix-across-genomes` and tabulated/visualized the distribution in R using the 706 Tidyverse (Wickham et al. 2019).

707 Distribution of HMM alignment coverage and SCG detection across

708 GTDB

709 To identify optimal ribosomal proteins and HMM hit filtering thresholds, we explored the 710 distribution of SCG detection and HMM alignment coverage across GTDB genomes. The analysis 711 used the first two rules of the EcoPhylo workflow (anvi run hmms hmmsearch and 712 filter hmm hits by model coverage) to annotate the RefSeq representative genomes from 713 GTDB release 95 (Parks et al. 2020), with the single-copy core gene HMM collections included in 714 anvi'o. The first rule of the workflow used the program `hmmsearch` in (Eddy 2011)identify HMM 715 hits, while the second rule was modified to include all HMM hit model coverage values by setting 716 the parameter `anvi-script-filter-hmm-hits-table --min-model-coverage 0`. We stopped the 717 workflow after this rule and visualized the raw distribution of model and gene coverage values

from `hmmsearch --domtblout` output file leading us to to identify an 80% HMM hit model coverage as an optimal filtering threshold to identify ribosomal proteins. Next, we restarted the workflow but re-modified the second rule parameter `anvi-script-filter-hmm-hits-table --min-modelcoverage 0.8` to filter for HMMs hits with at least 80% model alignment coverage. Finally, we extracted all ribosomal gene families from the genome dataset with anvi'o program `anvi-scriptgen-hmm-hits-matrix-across-genomes` and visualized the genome detection and SCG copy number across the dataset in in R using the Tidyverse (Wickham et al. 2019).

725 Detection of whole genomes in metagenomic data

726 In some cases, ribosomal proteins clustering at 97% brought together large groups of highly 727 similar isolate genomes. To identify the specific genome that is detected in the metagenomic 728 datasets, we re-clustered the target EcoPhylo protein at 98% to resolve sequence clusters and 729 thus increase the number of representative sequences. We then used the whole genomes 730 associated with the new, larger set of representative proteins to explore their distribution in 731 metagenomes by performing the anvi'o metagenomic workflow (Shaiber et al. 2020). Our 732 threshold for detection of a whole-genome in metagenomic data was 50% (percent of genome 733 covered by at least one read from metagenomic read recruitment), which was found to be efficient 734 for human oral cavity microbes (Utter et al. 2020).

735 Genome recovery rate estimations

Genome recovery rates were estimated to measure which individual or combination of genome types (MAGs, SAGs, isolate genomes) most effectively sampled clades in the ribosomal protein phylogenetic trees calculated during the EcoPhylo workflow. To calculate genome recovery rates for any given taxon, we divided the number of sequence clusters that contained a sequence from a given genome recovery method to the total number of representative sequences EcoPhylo
 reported for that taxon. Taxonomic assignments of sequence cluster representatives were
 determined with `anvi-estimate-scq-taxonomy`.

743 Taxonomic binning to improve genome recovery estimations

744 A subset of ribosomal proteins lacked taxon assignments from `anvi-estimate-scg-taxonomy` due 745 to their sequence similarity being x < 90% to GTDB genomes (See methods section: Gene-level 746 taxonomy of ribosomal proteins). Using the `anvi-interactive` interface, we examined the 747 placement of these proteins in the EcoPhylo ribosomal protein phylogenetic tree and manually 748 assigned taxon names based on the taxonomic affiliations of neighboring sequences. 749 Unannotated sequences were assigned taxonomy only when phylogenetic clustering 750 demonstrated clear consistency among neighboring sequences. These refined taxonomic 751 annotations were used to improve estimations of genome recovery in the main figures (rpL19 and 752 rpS15 in Figure 2 and rpL14 in Figure 3).

753 Data and code availability

The URL <u>https://merenlab.org/data/ecophylo-ribosomal-proteins/</u> serves all code and data needed to reproduce our study. Additionally, all anvi'o artifacts that give interactive access to EcoPhylo interfaces are publicly available at doi:<u>10.6084/m9.figshare.28207481</u>. Publicly available genomes and metagenomes we used in our study are listed in the Supplementary Tables, which are available via doi:<u>10.6084/m9.figshare.28200050</u>, along with the Supplementary Information text.

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771 Author contributions

MSS and AME conceptualized the study. MSS curated data and performed formal analyses.
MSS, IAV, MLK, MS, SEM, and AME developed software tools. MSS, FT, and AME interpreted
findings. LM, TOD, and SHL helped with interpretation of results. MSS and AME wrote the original
draft of the study. SHL and AME managed the project and acquired funding. All authors
commented on and made suggestions, and approved the final manuscript.

777 Competing interests

778 Authors declare that they have no conflicts of interest.

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