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First Revision of

Column-Compound Extremes in the Global Ocean

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Key Points:

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6	•	Column-compound extremes (CCX)- extremes in multiple parameters within the
7		top 300 m, may reduce habitable space by up to 75% .
8	•	From 1961 to 2020, CCX have become more intense, longer, and occupy more vol-
9		ume, driven by the trends in ocean warming and acidification.
10	•	Triple CCX are largely confined to the tropics and the North Pacific, have high
11		intensity, and severely reduce habitable space.

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12 Abstract

Marine extreme events such as marine heatwaves, ocean acidity extremes and low oxy-13 gen extremes can pose a substantial threat to marine organisms and ecosystems. Such 14 extremes might be particularly detrimental (i) when they are compounded in more than 15 one stressor, and (ii) when the extremes extend substantially across the water column, 16 restricting the habitable space for marine organisms. Here, we use daily output of a hind-17 cast simulation (1961-2020) from the ocean component of the Community Earth Sys-18 tem Model to characterise such column-compound extreme events (CCX), employing a 19 relative threshold approach to identify extremes and requiring them to extend vertically 20 over at least 50 m. The diagnosed CCX are prevalent, occupying worldwide in the 1960s 21 about 1% of the volume contained within the top 300 m. Over the duration of our sim-22 ulation, CCX become more intense, last longer, and occupy more volume, driven by the 23 trends in ocean warming and ocean acidification. For example, the triple CCX expanded 24 39-fold, now last 3-times longer, and became 6-times more intense since the early 1960s. 25 Removing this effect with a moving baseline permits us to better understand the key char-26 acteristics of CCX, revealing a typical duration of 10-30 days and a predominant occur-27 rence in the Tropics and high latitudes, regions of high potential biological vulnerabil-28 ity. Overall, the CCX fall into 16 clusters, reflecting different patterns and drivers. Triple 29 CCX are largely confined to the tropics and the North Pacific, and tend to be associ-30 ated with the El Niño-Southern Oscillation. 31

32 Plain Language Summary

The global ocean is becoming warmer, more acidic, and losing oxygen due to cli-33 mate change. On top of this trend, sudden increases in temperature, or drops in pH or 34 oxygen adversely affect marine organisms when they cannot quickly adapt to these ex-35 treme conditions. These conditions are worse for marine organisms when such extremes 36 occur together in the vertical water column, leading to column-compound extreme (CCX) 37 events, severely reducing the available habitable space. To investigate such CCX, we used 38 a numerical model simulation of the global ocean during the historical period of 1961 to 2020. Singular extreme events are identified primarily with relative percentile thresh-40 olds, while CCX require a 50 m minimum depth threshold in the water column. We find 41 that CCX have been increasing in volume, occupying up to 20% of the global ocean vol-42 ume toward 2020. We then remove the climate trend to better understand the drivers 43 behind CCX. Many CCX occur in the tropics and high latitudes, lasting 10 to 30 days 44 and reducing habitable space by up to 75%. This study is the first to systematically de-45 tect compound extremes in the water column and may form the basis for determining 46 their detrimental effects on marine organisms and ecosystems. 47

48 1 Introduction

Climate change has measurably heated the ocean, increased its acidity, and decreased 49 its oxygen content (Masson-Delmotte et al., 2021). These trends are punctuated by ex-50 treme events whose intensities and rapid onsets may impact marine organisms and ecosys-51 tems more than slowly evolving trends (Collins et al., 2019; Gruber et al., 2021). The 52 study of marine extremes has emerged strongly in the last decade, with the vast major-53 ity of studies focusing on marine heat waves (Hobday et al., 2016; Holbrook, Sen Gupta, 54 et al., 2020; Oliver et al., 2021), their drivers (Holbrook et al., 2019; Sen Gupta et al., 55 2020), and impacts (Smale et al., 2019; K. E. Smith et al., 2023). Receiving increased 56 attention are extremes in ocean acidity (OAX) (Hauri et al., 2013; Kwiatkowski & Orr, 57 2018; Negrete-García et al., 2019; Burger et al., 2020; Desmet et al., 2022, 2023) and low 58 oxygen (LOX) (Chan et al., 2008; Hofmann et al., 2011; Leung, Mislan, et al., 2019; Köhn 59 et al., 2022), with a particular emerging concern about compound marine extremes, when 60

conditions are extreme in more than one stressor (Gruber et al., 2021; Le Grix et al., 2021;
 Burger et al., 2022; Le Grix et al., 2022; Hauri et al., 2024).

Such compounded extreme events can have a large impact on marine biota. When 63 different stressors act synergistically, they can cause a disproportionately larger impact 64 than that of individual stressors (Crain et al., 2008; Boyd & Brown, 2015; Pirotta et al., 65 2022). A well-known example is the decrease in aerobic metabolic rates with increasing 66 temperature and decreasing oxygen (Pörtner & Knust, 2007; Deutsch et al., 2015), mak-67 ing ectotherms especially susceptible to compounded MHW and LOX. Bednaršek et al. 68 (2018) also showed biological implications for pteropods during anomalously high tem-69 perature and acidity events (the latter corresponding to anomalously low pH events, with 70 $pH = -\log[H+]$, and [H+] being the concentration of the hydrogen ion). Multiple ex-71 tremes occurring at the same time and place have been explored with properties such 72 as temperature and pH (Burger et al., 2022), temperature and chlorophyll (Le Grix et 73 al., 2021), pH and oxygen (Nam et al., 2011; Köhn et al., 2022), and for triple extremes 74 involving pH, oxygen, and temperature (Gruber et al., 2021). 75

Warming of the ocean in the past 150 years and the strong trend of ocean acidi-76 fication have led to substantial increases in MHW and OAX associated with these stres-77 sors (Oliver et al., 2018; Gruber et al., 2021) and are certain to increase in the future 78 as long as these driving trends continue (Frölicher et al., 2018). For example, Oliver et 79 al. (2018) showed on a fixed baseline approach that between 1925 and 2016, the frequency 80 and duration of MHW increased by 34% and 17%, respectively, resulting globally in a 81 greater than 50% increase in the number of MHW days. For OAX, the trends are even 82 stronger, increasing from a pre-industrial situation of about 4 extreme days a year to a 83 nearly permanent state of extremes (Gruber et al., 2021; Burger et al., 2022). Correspond-84 ing trends are also expected for LOX events driven by ocean deoxygenation (Gruber et 85 al., 2021), but global ocean deoxygenation trends tend to be smaller compared to the 86 level of variability, leading to smaller, and not yet well-established trends in LOX. As 87 a consequence of these trends in the single stressor extremes, increasing compound ex-88 tremes naturally follow. For example, Gruber et al. (2021) attributed the development 89 of widespread double compound extremes in the Northeast Pacific over the past 40 years, 90 and especially the triple compound extreme at the height of the 'Blob' event (2013-2016), 91 in part, to the underlying trends of ocean warming, acidification, and deoxygenation. They 92 speculated that part of the broad ecological impacts of the "Blob" might be caused by 93 these compound extremes. To better understand the mechanisms driving these extremes, 94 we remove the underlying trends using a so-called moving baseline (Oliver et al., 2021; 95 Burger et al., 2020; Gruber et al., 2021; Burger et al., 2022). Analysis of extremes and 96 especially compound extremes on a moving baseline is also appropriate when consider-97 ing the impact of these extremes on organisms that have the capacity to adapt to the 98 more slowly evolving changes in temperature, ocean acidification, and oxygen (see also 99 the discussion by Sen Gupta (2023)). 100

So far, the vast majority of MHW studies have focused on the surface ocean only, 101 although many organisms might have the potential to migrate to colder temperatures 102 at deeper depths when a surface heat wave affects them (Jorda et al., 2020). Further-103 more, the habitat of vertically migrating organisms can be considered to include the wa-104 ter column down to about 400 m (Bianchi et al., 2013; Bianchi & Mislan, 2016). Detect-105 ing extremes across the vertical dimension is thus an important step towards understand-106 ing the compression of habitable space during such extremes. Some MHW studies have 107 looked into the subsurface, (Schaeffer & Roughan, 2017; Elzahaby et al., 2021; Scannell 108 et al., 2020; McAdam et al., 2022; Fragkopoulou et al., 2023; Amaya et al., 2023; Köhn 109 et al., 2024), while the concept of habitat compression has been considered with respect 110 to temperature and oxygen changes (Jorda et al., 2020; Köhn et al., 2022). However, a 111 consistent definition of compound extremes in the column has yet to be defined. The well-112

studied surface MHW may extend into the subsurface, vertically compounding with OAX
 and LOX to deteriorate the habitable conditions of the water column.

Marine extremes can be driven by various mechanisms, and the study of extremes 115 compounded in the vertical dimension increases the complexity of this task (Gruber et 116 al., 2021). Surface MHW are understood to be driven primarily from the atmosphere through 117 anomalous air-sea heat fluxes, or by lateral heat advection (Sen Gupta et al., 2020; Hol-118 brook, Sen Gupta, et al., 2020; Marin et al., 2022). Such surface MHW may cause higher 119 stratification in the upper ocean, suppressing the upwelling of carbon-rich and low-oxygen 120 121 waters and hence decreasing the likelihood of surface OAX and LOX. However, temperature anomalies have been shown to influence OAX occurrence by shifting the carbon-122 ate chemistry equilibrium or modulating dissolved organic carbon (DIC) (Burger et al., 123 2022), thus increasing or decreasing $[H^+]$ respectively. At depth, vertical or lateral dis-124 placement of waters across strong temperature gradients, $[H^+]$, or oxygen tends to be 125 an important driver of subsurface extremes, with the orientation of the gradients being 126 key to determine the nature of the compound extreme. In terms of generating and main-127 taining marine extremes at depth, other biological-physical mechanisms (e.g., mesoscale 128 eddies (Gruber et al., 2021; Köhn et al., 2022; Desmet et al., 2022)) are critical. 129

Taking into account the various physical, chemical, and biogeochemical processes 130 in the ocean, inferring the mechanisms behind compound extreme events can be a com-131 plex task. Extremes that are compounded may share a common driver, be driven by one 132 another, or co-occur in the column with different drivers (Gruber et al., 2021). With per-133 centile thresholds, some detected compound extremes may arise purely out of statisti-134 cal chance (Burger et al., 2022). Extremes with affiliated drivers have a higher propen-135 136 sity of co-occurrence above such a random signal. Such compound extremes are significant and merit investigation. 137

Extreme events across the globe have been linked to large-scale climate modes, the 138 dominant one being the El Niño-Southern Oscillation (ENSO) (Santoso et al., 2017; Hol-139 brook, Claar, et al., 2020). The prevalence of ENSO in the study of marine extremes is 140 due in part to the large area it affects in the Pacific, but also to its teleconnections with 141 other ocean basins (Roy & Reason, 2001; Luo et al., 2010). ENSO events are triggered 142 by changes in winds in the eastern tropical Pacific, but they affect many remote regions 143 through connected changes in large-scale ocean and atmospheric circulations (aka tele-144 connections). Although ENSO might not directly cause the extreme, ENSO-driven changes 145 in the mean state can make the occurrence of extremes more likely or prolong and in-146 tensify existing extremes. A good example is the 2013-2015 "Blob" marine heatwave in 147 the Northeast Pacific, which became one of the largest and longest lasting MHW owing 148 to the coalescence of regional circulation changes and ENSO-driven warming (Di Lorenzo 149 & Mantua, 2016; Holbrook et al., 2019; Gruber et al., 2021). ENSO has also been as-150 sociated with MHW in the Indian and Southern Oceans (Holbrook et al., 2019; Sen Gupta 151 et al., 2020; Oliver et al., 2021). Furthermore, ENSO has been shown to be strongly cor-152 related with OAX and LOX in the Pacific Ocean, especially at depth (Turi et al., 2018; 153 Leung, Thompson, et al., 2019; Köhn et al., 2022; Desmet et al., 2023). 154

Here, we extend the existing work on marine extremes by simultaneously expand-155 ing our analysis in two directions. We expand in depth by analyzing extremes across the 156 upper water column, and we expand in terms of stressors by focusing on compound events. Thus, we will define and characterise column-compound extreme events in the vertical 158 water column at the global scale, and aim to understand their drivers. To this end, we 159 will use results from a hindcast simulation undertaken with a global ocean coupled physical-160 biogeochemical model, sampled at high temporal frequency to permit us to identify ex-161 tremes. We rely on model simulation results, since there are no observational records avail-162 able across all parameters or depth that would permit us to undertake this study. 163

We also develop a framework to analyze such events, which we call Column-Single 164 eXtreme events (CSX) in the case of a single parameter being extreme across a good por-165 tion of the water column and column-compound extreme events (CCX) when more than 166 one CSX is detected in the same column at the same time. We will show that these events 167 are prevalent in the ocean, primarily occurring at low latitudes, and that their frequency, 168 duration, and intensity have increased in recent decades. While we cannot vet identify 169 the potential impacts of these extremes on marine organisms and ecosystems, the com-170 pounding of extreme conditions is expected to push marine organisms to their limits. We 171 will show the places and times where these column extremes tend to occur, giving in-172 sights into where and when one should look for these ecological impacts. 173

¹⁷⁴ 2 Detecting Extreme Events in the Water Column

No consistent definition of single or compound marine extreme events exists so far,
much less if they are co-occurring in the same vertical column. We thus first review the
issues at hand and then illustrate the framework we have used to identify the ColumnSingle eXtreme events (CSX) and the Column-Compound eXtreme events (CCX).

A common issue to be resolved in all studies is the choice of thresholds and base-179 lines. Regarding the threshold, MHW-related studies have relied on a relative percentile 180 threshold approach, with the majority of studies using a seasonally-varying threshold (Oliver 181 et al., 2018; Holbrook, Sen Gupta, et al., 2020), so that extreme conditions can be de-182 tected regardless of the season. On the contrary, absolute thresholds remain pertinent 183 to extremes such as LOX, where the metabolic requirement for organisms tends to be 184 fixed (Hofmann et al., 2011), with some degree of variability with temperature (Seibel, 185 2011; Deutsch et al., 2015). Absolute thresholds have also been used to detect extremes 186 in aragonite saturation state (Hauri et al., 2013; Negrete-García et al., 2019; Desmet et 187 al., 2022), where a thermodynamic threshold determines the state of dissolution of the 188 shells of calcifying organisms. Thus, there are clear grounds for using either relative or 189 absolute thresholds, and we make use of both in this study. 190

The baseline, that is, the time period used to identify thresholds, is also a critical 191 choice in detecting extremes (Jacox, 2019; Oliver et al., 2021; Sen Gupta, 2023). In the 192 case of a fixed baseline, the thresholds remain invariant, such that trends in tempera-193 ture, pH, and oxygen imply an increase in the frequency and intensity of extreme events 194 (Gruber et al., 2021). This could be problematic when cold spells in subsequent years 195 are potentially marked as heatwaves (Jacox, 2019), or when waters become classified as 196 permanently extreme with respect to ocean acidification (Hauri et al., 2013; Burger et 197 al., 2020, 2022; Gruber et al., 2021). An alternative is the use of a moving baseline, that 198 is, where the reference period used to identify the relative thresholds is shifting in time 199 with the analysis, or alternatively, where the thresholds are computed based on detrended 200 data. An analysis with such a moving baseline gives equal weight to extreme events through-201 out the time period (Burger et al., 2020; Rosselló et al., 2023), and is more suitable for the investigation of drivers (Chiswell, 2022). It is also more relevant to organisms that 203 are able to adapt to the gradually changing conditions (Holbrook, Sen Gupta, et al., 2020; 204 Oliver et al., 2021), but are still affected by sudden changes in conditions during an ex-205 treme event. In this study, we first present our results on a fixed baseline, illustrating 206 the response of extreme events to the climate trend. Then, we primarily use the mov-207 ing baseline to analyse extreme events and postulate drivers. A quadratic moving base-208 line is chosen to fit the long-term trend in [H+] (Hauri et al., 2021) (Text S1 and Fig-209 ures S1-S2). 210

The next choices concern the vertical structure and compounding of the stressors. For the vertical structure, we define columns to be Column-Single eXtreme events (CSX) of a particular type (MHW, OAX, or LOX) when the grid cells considered extreme with respect to this particular parameter occupy more than 50 m of the upper 300 m of the water column. For the compounding, we identify columns to be Column-Compound eXtreme events (CCX) when more than one CSX is detected in the same column at the same
time. This leads to three types of double stressor CCX, that is, MHW-OAX, MHW-LOX,
and OAX-LOX, and one type of triple stressor CCX, i.e., MHW-OAX-LOX.

In Figure 1a, a conceptual sketch of the various types of defined extremes is shown for a single column over time. Grid cell extreme events are coloured within the Hovmoller diagram, where they occur. However, this does not necessarily mean that a column extreme is occurring. For example, a CSX-MHW starts at day 20 from the surface, while a CSX-OAX and CSX-LOX start from the bottom of the column at days 35 and 47 respectively. The durations of the CSX and CCX are marked with arrow ranges below Figure 1b.

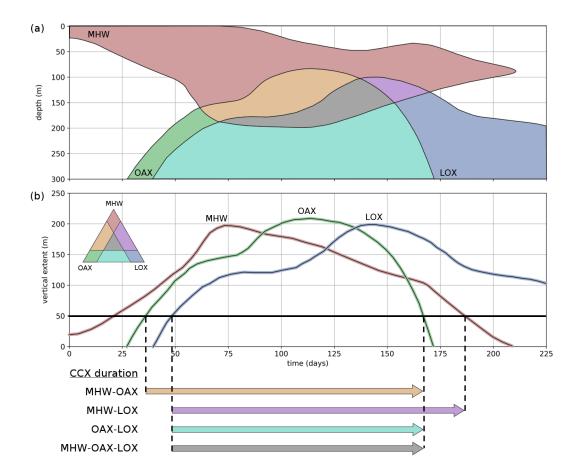


Figure 1. Illustration of the concepts used to detect and analyze column compound extremes. (a) Idealized Hovmoller diagram illustrating the time-depth evolution of extreme conditions in a hypothetical water column from the surface down to 300 m depth. The coloured regions within the plot are considered extreme, with the colours brown, green and blue representing pure MHW, OAX and LOX, respectively. The areas where the different extremes overlap are given colours according to the mixing diagram in panel (b). (b) Timeseries of the total vertical extent (within the top 300 m of the water column) for each extreme type. When the vertical extent for a particular type of extreme exceeds 50 m, we call it a *Column-single eXtreme event* (CSX) of this parameter and when more than one of these occur at the same time a *Column-Compound eXtreme event* (CCX). The duration of the four different types of CCX is indicated by arrows.

226 3 Methods

3.1 Model Simulations

To identify the CSX and CCX, we used results from a hindcast simulation performed 228 with the ocean component of the global Community Earth System Model (CESM) Ver-229 sion 1.2 (Gent et al., 2011). The ocean component consists of the Parallel Ocean Pro-230 gram 2 (POP2) (R. Smith & Gent, 2010) that simulates ocean circulation and mixing. 231 the Community Ice CodE 4 (CICE4) model, also known as the Los Alamos Sea Ice Model 232 (Hunke & Lipscomb, 2008) simulating the presence and thickness of sea ice, and the Bi-233 ological Elemental Cycling (BEC) model (Moore et al., 2004, 2013) representing ocean 234 ecology and biogeochemistry. The model has a nominal meridional resolution of 0.5° near 235 the poles, refined to 0.3° at the equator, and a nominal zonal resolution of 1° . There are 236 60 depth levels in the vertical dimension, extending from the surface to 5375 m. BEC 237 includes three phytoplankton functional types that are grazed by one zooplankton type. 238 Temperature and dissolved oxygen fields are prognostic variables of the coupled model, 239 while the hydrogen ion concentration (on the total scale) was obtained from the simu-240 lated inorganic carbon parameters by applying calculations of the carbonate system based 241 on the OCMIP2 routines (Orr et al., 2005). Details of the model can be found in Yang 242 and Gruber (2016). 243

The model simulation started from a spun-up preindustrial state (Yang & Gruber, 244 2016) and was brought forward from 1850 to 1957 with cyclically repeated 3-hourly at-245 mospheric forcing from the Japanese 55-year Re-analysis (JRA-55) product (Ebita et al., 246 2011) and atmospheric CO_2 prescribed according to observations provided by the Global 247 Carbon Project (Friedlingstein et al., 2022). The hindcast simulation was then produced 248 with daily output for the years 1958 to 2020 also using the historical JRA-55 forcing. To allow the ocean state to relax from the cyclic atmospheric forcing during the spinup, we 250 discard the first three years and limit our analysis to the 60-year period between 1961 251 and 2020. The results of this simulation were also used for the Global Carbon Budgets 252 2020 and 2021 (Friedlingstein et al., 2022) in Hauck et al. (2020) and in RECCAP2 pa-253 pers DeVries et al. (2023); Hauck et al. (2023). 254

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3.2 Extreme Events Detection

In the first step, single extreme events of MHW, OAX, and LOX are detected for 256 each grid cell for each day. For MHW and OAX, a 95th percentile threshold is applied 257 to temperature and [H⁺], respectively, using seasonally-varying thresholds. For LOX, 258 we require the oxygen concentration to be below the 5th percentile value (again season-259 ally varying), after which values above $150 \,\mu M ~(\sim 3.5 \,\mathrm{ml/L})$ are masked. The absolute 260 threshold for LOX was added because LOX at high oxygen levels have very little bio-261 logical impact. The chosen value is the hypoxic threshold of some larger fish species such 262 as yellowfin and skipjack tuna, marlin, and sailfish (Braun et al., 2015; Leung, Mislan, 263 et al., 2019; Rose et al., 2019). The absolute threshold for LOX is applied directly on 264 the (non-detrended) model output. The detection thresholds for single events in the grid 265 cell are summarised in Table 1. In this work, we do not impose additional criteria, such 266 as minimum duration (Hobday et al., 2016), since one goal of this study is to identify 267 268 drivers behind exceedances of the threshold. For fixed baseline thresholds, the data are detrended with a quadratic trend to a reference year of 1958 prior to computing the per-269 centile thresholds. For the moving baseline results, the thresholds change with time with 270 respect to the fitted quadratic trend. A detailed description of the detrending for fixed 271 and moving baselines and the computation of thresholds can be found in Text S1. 272

Single Extreme Type	Variable	Percentile Threshold	Additional Absolute Threshold
Marine Heatwave (MHW)	Т	$>95^{\mathrm{th}}$	-
Ocean Acidification Extreme (OAX)	$[\mathrm{H}^+]$	$>95^{\mathrm{th}}$	-
Low Oxygen Extreme (LOX)	$[O_2]$	$< 5^{\rm th}$	$<150\mu{\rm M}$

 Table 1. Single extremes and the thresholds used for their detection

To define extreme events in the vertical column, we require that at least 50 m of 273 the top $300 \,\mathrm{m}$ be extreme with respect to each stressor. The analysis range of $300 \,\mathrm{m}$ re-274 flects the vertical habitat range of epipelagic and other vertically migrating organisms 275 (Bertrand et al., 2010; Bianchi et al., 2013; Bianchi & Mislan, 2016). This choice is ar-276 guably somewhat subjective, but it encompasses the depth range of the vast majority 277 of marine organisms. The value of the minimum extension of 50 m is also subjective, but 278 aims to capture the occasion when a substantial fraction of the water column is extreme, 279 affecting the organisms that live within this water column in a major way. Adjusting this 280 minimum extension modulates the number of column extremes detected, but does not 281 significantly change their spatial or temporal distribution (Text S2 and Figures S3-S6). 282 283 When the 50 m vertical threshold is met for a single stressor, it is denoted as a columnsingle extreme event (CSX), illustrated in Figure 2a. The criteria for a CCX are met when 284 two or more CSX occur in the same vertical column at the same time. Various config-285 urations of CCX are illustrated in Figure 2b. CSX can be located separately in column 286 (c) or have some overlap (e). The single extreme grid cells do not need to be vertically 287 connected to meet the 50 m threshold, as seen in (b) and (d). 288

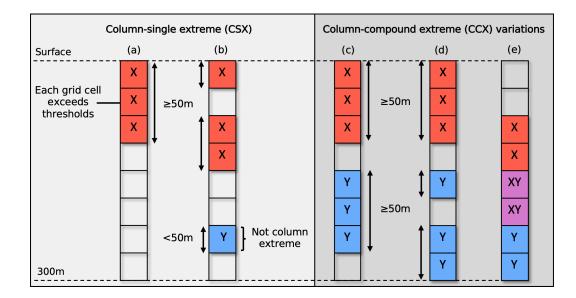


Figure 2. Illustration of different types of column-extreme events within the water column. (a) Column-single extreme (CSX), (b) CSX with discontiguous grid cells of extreme type 'X', (c) Column-compound extreme (CCX), (d) CCX with discontiguous grid cells of extreme type 'Y', (e) CCX with overlapping 'X' and 'Y' type extremes.

3.3 Extreme Event Metrics

The metrics used to characterise extreme events can be broadly grouped into fre-290 quency, intensity, and temporal categories. Regarding the extremes in the vertical col-291 umn, we also quantify their size, location, and remaining contiguous habitable space (see 292 Table 2). Although many of these metrics are commonly used in extreme studies, some 293 had to be redefined in the context of our work on column extremes. We do not include 294 severity in our analyses, that is, the cumulative sum of the intensity value over the du-295 ration of the event (Hobday et al., 2016; Hauri et al., 2013; Samuels et al., 2021), since 296 297 it strongly correlates with the event duration in our analyses. Note that triple CCX are also double CCX and are thus included in their metrics. 298

Name	Symbol	Definition			
Frequency metrics					
Days per year	N	Mean number of extreme days per year			
Co-occurrence propensity	CP	Likelihood of two or more CSX occurring within the same vertical water column at the same time			
Enhancement	ΔN	Mean increase (decrease) in the number of extreme			
(Suppression) of		days per year during ENSO events, compared to			
CCX during ENSO		that in the neutral phase			
Intensity metrics					
Intensity index	ψ_i	Ratio of an event variable's i difference from its climatological value to the difference between the threshold and the climatological value.			
Compound inten- sity index	Ψ	Square-root of the sum of squares of the intensity index of individual events that make up a com- pound event			
Maximum intensity index	ψ^{\max}	Maximum value of the intensity index over time and the vertical column			
Temporal metrics					
Duration	D	Lifetime of an event for which the thresholds are met continuously			
Size and location me	etrics				
Volume fraction	f_V	Fraction of total volume (top 300 m) of a defined region that is affected by extremes			
Vertical fraction	f_z	Fraction of top 300 m of a column occupied by ex- tremes			
Contiguous habit- able space fraction	f_h	Fraction of the top 300 m within a column contigu- ously unaffected by extremes			

Table 2. Metrics used in the analysis of extreme events

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The intensity index expresses the strength of an extreme event in a unitless way. It is inspired by the MHW categories of Hobday et al. (2018), and defined as the continuous severity index by Sen Gupta et al. (2020). Using the intensity index as a mea-

The duration of an event refers to the total length of time for which the specified extreme type exists in the water column. For example, a MHW-OAX event starts from the time CSX-MHW and CSX-OAX both exist in the water column, even if the CSX-MHW started earlier (see Figure 1). The same applies to the end of a CCX.

³⁰⁶ sure of event intensity permits us to compare the intensities of multiple extremes and

³⁰⁷ even combine them. For a single grid cell, it is expressed as:

$$\psi_X = \frac{X - X_c}{X_t - X_c},\tag{1}$$

where X is the parameter of interest, X_c is its climatological value for that day of the year, and X_t is the threshold value. For the climatology, we use the median value to avoid instances where it is skewed by exceptionally intense extreme events. The median value is obtained from a seasonally varying 11-day rolling window. To express the intensity index of multiple extremes occurring in the same grid cell, we take the Euclidean norm of ψ of the single extremes:

$$\Psi = \sqrt{\psi_X^2 + \psi_Y^2 + \psi_Z^2},$$
 (2)

where ψ_X , ψ_Y , and ψ_Z are the intensity index values of single extremes.

The co-occurrence propensity (CP) is a central metric for the study of compound 315 extremes, as it allows us to assess whether two extremes co-occur by chance, or whether 316 they co-occur as a result of a common process forcing them. Likewise, the propensity 317 can be used to assess whether two extremes co-occur much less frequently than expected 318 by chance, since the process forcing one extreme may lead to conditions that suppress 319 its co-occurrence with another. The CP metric is defined as the likelihood that two (or 320 three) different CSX occur in the vertical column at the same time. It is scaled to the 321 range of [-1,1]. A value of 1 indicates that the CSX always occur together whenever they 322 occur, while a value of -1 indicates that they never occur together. A value of 0 suggests 323 that their occurrences are independent, as if randomly distributed in time. A positive 324 value suggests that the CSX in consideration have similar or related drivers, while a neg-325 ative value suggests that they have opposing drivers. 326

First, the independent (random) value of CCX days per year is computed using the mean number of CSX days per year:

$$N_r = \begin{cases} \frac{N_1}{D_Y} \frac{N_2}{D_Y} \times D_Y, & \text{for double extremes,} \\ \frac{N_1}{D_Y} \frac{N_2}{D_Y} \frac{N_3}{D_Y} \times D_Y, & \text{for triple extremes,} \end{cases}$$
(3)

where N_1 , N_2 , N_3 are the mean number of days per year of different CSX, and $D_Y = 365$ is the number of days in a year. The *CP* metric is then defined as:

$$CP = \begin{cases} \frac{N - N_r}{N_{max} - N_r}, & N \ge N_r \\ \frac{N - N_r}{N_r}, & N < N_r \\ 0, & N_r = 0 \end{cases}$$
(4)

where N is the mean number of days per year of the CCX, and N_{max} is the global maximum value of N. The CESM hindcast does not simulate leap years. The CP metric is similar in concept to the likelihood multiplication factor (LMF) (Zscheischler & Seneviratne, 2017; Burger et al., 2022), but differs in that the maximum value of CP is 1.0, giving us the view of how far away a grid cell is from the constituent CSX always occurring together. On the other hand, the LMF value directly indicates the change in likelihood of compound events.

The fraction of contiguous habitable space is calculated by identifying vertically connected non-extreme grid cells within the column. This metric quantifies the amount of space within the 300 m deep column an organism can inhabit before it encounters waters under extreme conditions. An organism that performs diel vertical migration may not be impacted if 25 % of the column is extreme at the bottom of the column. However, if these extreme conditions occur in the middle of the column, the organism is more likely to encounter extreme conditions during its migration.

345 **3.4**

3.4 Clustering of Extremes

To find commonalities of the detected CCX with regard to their vertical structure 346 and to help us identify the underlying drivers, we cluster the detected CCX with a k-347 means clustering approach (MacQueen, 1967). The clustering algorithm is performed on 348 the vertical locations of single extreme events in the column, exclusively during CCX and 349 for grid cells with a positive co-occurrence propensity. In detail, the water column is first 350 divided into 6 bins of 50 m each, and then, over the 60-year analysis period, the number 351 of occurrences of single extremes in each bin during CCX is counted and weighted by 352 their intensity index. These bins of vertical locations are then used as the clustering di-353 mensions, with 12 dimensions for the double CCX and 18 dimensions for the triple CCX. 354 These dimensions are chosen as the vertical locations of single extremes reflect the con-355 ditions under which they occur and allude to their drivers. More information on the clus-356 tering approach and the choice of the number of clusters is provided in Text S3 and Fig-357 ure S19. 358

359

3.5 Model Evaluation

Given our reliance on model simulation results for detecting single and compound 360 extremes across the upper water column, it behooves us to evaluate the model with re-361 gard to its ability to represent extremes. However, our evaluations are largely limited 362 to the surface. For MHW, we used daily observations of sea-surface temperature from 363 the Optimally Interpolated Sea Surface Temperature (OISSTv2) product (Huang et al., 364 2021) covering the period 1982 to 2020. For OAX, we relied on the OceanSODA-ETHZ 365 dataset (Gregor & Gruber, 2021) that covers the period 1982 to 2020. Surface daily MHW 366 and monthly OAX are detected in the observational products with a seasonally-varying 367 95th percentile threshold on a quadratic moving baseline, analogous to how this was done 368 for the model output (see Section 3.2). Furthermore, the climatological distributions of 369 pH and [O₂] are evaluated with respect to the Global Ocean Data Analysis Project for 370 Carbon (GLODAPv2) (Lauvset et al., 2016) and Gridded Ocean Biogeochemistry from 371 Artificial Intelligence (GOBAI-O2) (Sharp et al., 2022) respectively. In particular, we 372 evaluated the depths where $[O_2] = 150 \,\mu M$ since this value is used as an absolute thresh-373 old in this study. As the El Niño-Southern Oscillation (ENSO) turns out to be a ma-374 jor driver for the variability in extremes, we also evaluate the model with respect to ENSO. 375 using the Oceanic Niño Index (ONI) and the depth of the 20 °C isotherm across the equa-376 torial Pacific. 377

The model captures the observed variations and global coverage of surface MHW 378 with high fidelity (Figure 3(a)). In particular, the model captures well the strong year-379 to-year fluctuations, which tend to be closely coupled to ENSO, for example, in the years 380 1998 and 2015-2016. The model also correctly simulates the spatial pattern of the mean 381 duration of these surface MHW (Figure 3(b-c)). However, the model overestimates the 382 duration across the globe, particularly in the eastern tropical Pacific (Figure 3(d)). This 383 is a common shortcoming of models for reasons not yet established firmly (Frölicher et 384 al., 2018; Gruber et al., 2021; Köhn et al., 2024). It is also possible that observations tend 385 to underestimate the duration due to observational gaps (Gruber et al., 2021). We also 386 need to point out that the MHW durations reported here on the basis of OISSTv2 tend 387 to be shorter than those reported elsewhere on the basis of the same data (Oliver et al., 388 2018; Holbrook et al., 2019). This is due to our use of a higher percentile threshold and not filling in gaps between events (Hobday et al., 2016). Finally, the model represents 390

well the distribution of surface MHW intensities (Figure 3 (ef)), with higher intensities seen in the tropics and subtropics. It underestimates the mean annual maximum intensity almost everywhere by about $0.3 \,^{\circ}\text{C}$ (Figure 3(g)), likely a result of our using a relatively coarse resolution model, except in the eastern tropical Pacific where it overestimates the intensity by up to $0.6 \,^{\circ}\text{C}$ in a small area.

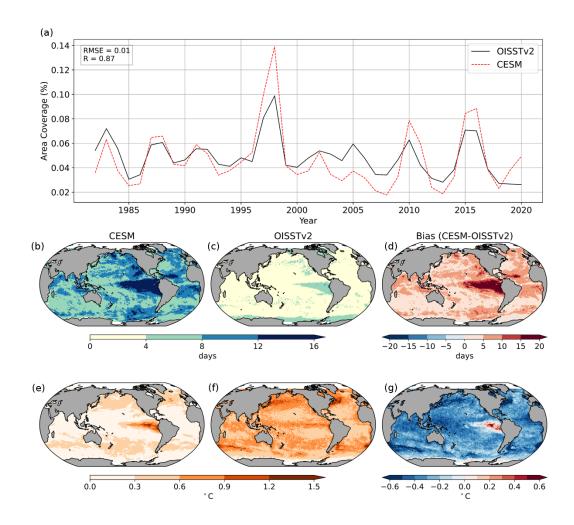


Figure 3. Evaluation of the hindcast model CESM with regard to its representation of surface marine heatwaves (MHW).(a) Timeseries of annual global area coverage of surface MHW identified by the OISSTv2 observational product compared to those diagnosed in the CESM hindcast. (b) CESM and (c) OISSTv2 mean duration of surface MHW and (d) the corresponding bias. (e) CESM and (f) OISSTv2 mean annual maximum MHW intensity and (g) the corresponding bias. The MHW were diagnosed in the observations in the same manner as done for the model.

The evaluation of surface OAX with the observation-based product OceanSODA-396 ETHZ (Gregor & Gruber, 2021) confirms the fidelity of the model simulations, but also 397 reveals more differences than those seen for surface MHW. However, one needs to note 398 that the uncertainties associated with this product are much larger than those associ-399 ated with SST. This is a consequence of the several orders of magnitude fewer observa-400 tions available to construct the space-time distribution of [H⁺]. Still, the model-based 401 time-series of the global area coverage of surface OAX (based on $[H^+]$) agrees remark-402 ably well with that inferred from the observation-based product, both in terms of mean 403

and year-to-year variations (Figure 4(a)). However, the peak values differ by up to about 404 50%, with this difference especially apparent in the years 1988-1989 and 1997. The sur-405 face OAX detected with CESM have a spatial pattern similar to that inferred from the 406 observation-based product, especially with regard to the locations of the longest and most 407 intense OAX found in the tropical Pacific (Figure 4(b-c) and (e-f)). However, there is 408 a mismatch in the high latitudes, especially in the North Pacific and the Southern Ocean, 409 where the model identifies long-lasting and intense surface OAX, whereas they are not 410 detected in the observation-based product. We suspect that this difference is probably 411 a consequence of the observation-based product underestimating the variability of $[H^+]$ 412 (Ma et al., 2023), thus detecting fewer and less intense OAX. It may also be a consequence 413 of biases in the model and should be taken into account during the analysis. Regardless, 414 biases in intensity tend to have a minor effect on most conclusions drawn in this study, 415 especially those related to the propensity or mechanisms of OAX. However, biases in in-416 tensity will impact derived metrics such as the compound intensity index of compound-417 OAX. 418

We also evaluate the model's ability to reproduce the climatological distributions 419 of pH and $[O_2]$ at various depths, since they are less directly related to the forcing ap-420 plied to the model. Overall, we find that the model captures well the spatial distribu-421 tion of pH and $[O_2]$ (Figures S7-S10). In general, the biases are low, about $\pm 40 \,\mu M$ for 422 $[O_2]$ and ± 0.1 for pH. In addition to pH on the surface, we find a high correlation (R > 0.1)423 (0.8) for both properties at all depths. In certain regions such as the oxygen-deficient zones 424 (ODZs) and the Southern Ocean, we find an increasing bias with depth, up to $\pm 60 \,\mu\text{M}$ 425 for $[O_2]$ and ± 0.4 for pH. The sign and location of the biases between the two proper-426 ties are similar, suggesting that they probably arise from physical processes such as mixing and stratification. For example, a positive bias in the Pacific upwelling regions in-428 dicates a deeper oxycline in the hindcast, which may have resulted from too much mix-429 ing at the surface. Examining the seasonal variability of $[O_2]$ (Figure S11), we find that 430 the model reproduces the relative changes well on a monthly time scale and therefore 431 accurately represents the seasonal variations of physical and biogeochemical cycles. 432

Although the absolute value of the bias is less important for relative thresholds in 433 extremes, we use an absolute threshold of 150 μ M for [O₂] in this study and hence con-434 duct a further evaluation of the hindcast. The depths at which $[O_2] = 150 \,\mu\text{M}$ (Figures 435 S12-S13) are typically deeper by about 30 m in the hindcast especially at the location 436 of ODZs, confirming our observations in the previous paragraph. This bias reverses in 437 the western tropical and north Pacific, where the hindcast reaches 150 μ M at a shallower 438 depth of about 30 m. These biases, although generally low, may potentially include or 439 exclude LOX in the hindcast, especially near the boundaries of the absolute threshold. 440

The hindcast model simulates the time-series of the Oceanic Niño index ONI well 441 with a bias of 0.11° C, and a correlation coefficient of $R^2 = 0.91$, based on ORAS5 (Zuo 442 et al., 2019)). It also replicates the variation of the thermocline structure in the east-443 ern Pacific. Concretely, we assess the ability of the model to reproduce the depth of the 444 20 °C isotherm at monthly resolution (Figure S14). The interannual variability of the 445 isotherm depth is comparable between the two, and the time scales of ENSO events are 446 similar. We find a weaker zonal gradient in the CESM hindcast with a deeper thermo-447 448 cline in the east and shallower in the west. Overall, we conclude that the hindcast model is capable of capturing not only the mean state of the ocean's physical and biogeochem-449 ical state, but also its variability, which is a critical requirement for investigating extremes. 450

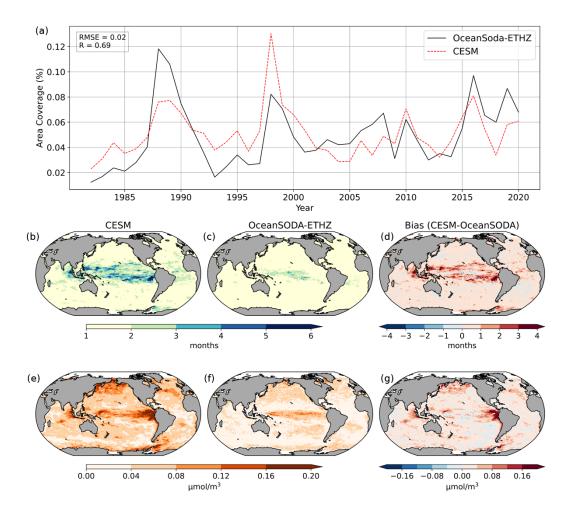


Figure 4. Evaluation of the hindcast model CESM with regard to its representation of surface ocean acidification extreme events (OAX) (a) Time-series of annual global area coverage of surface OAX identified on the basis of the OceanSODA-ETHZ observational product (Gregor & Gruber, 2021) compared to those diagnosed in the CESM hindcast. (b) CESM and (c) OceanSODA-ETHZ mean duration of surface OAX and (d) the corresponding bias. (e) CESM and (f) OceanSODA-ETHZ mean annual maximum OAX intensity and (g) the corresponding bias.

451 4 Results and Analysis

452

4.1 Trends of Column Extremes

The volumes occupied by all single-parameter column extremes are modeled to have 453 increased substantially over the 60 years of our analysis (Figure 5a). Extreme volume 454 fractions started from values of a few percent in the 1960s and doubled for LOX, more 455 than quadrupled for MHW to more than 20% and reached almost 100% for OAX at the 456 end of the simulation in 2020. As these metrics were calculated with a fixed baseline re-457 flecting the conditions of the 1950s, these increases are a direct consequence of the un-458 derlying changes in temperature, oxygen, and acidity. Specifically, averaged over the top 459 300 m, temperature increased by 0.18 °C, $[H^+]$ increased by 1.56 μ molm⁻³, and $[O_2]$ de-460 creased by $1.18 \,\mu\text{M}$ between the first and last decade (Figure S15-S16). The rapid changes 461 in OAX and the more moderate increases in MHW diagnosed for the column extremes 462

mirror the results obtained for the surface (Oliver et al., 2018; Burger et al., 2020; Gru-463 ber et al., 2021). This reflects the fact that ocean warming and ocean acidification are 464 not limited to the surface, but extend over much of the upper ocean (Gleckler et al., 2016; 465 Kwiatkowski et al., 2020), causing strong trends also in column extremes. The trend is 466 particularly strong for the volume fraction of OAX, reflecting the rapid forcing through 467 ocean acidification relative to the magnitude of the natural variability of $[H^+]$, an effect 468 seen in previous work (Burger et al., 2020; Gruber et al., 2021). The trend of the LOX volume fraction is comparatively muted due to smaller global trends in oxygen. Further-470 more, the trend in $[O_2]$ is not homogeneous across the globe and could be stronger (or 471 even increasing) in certain basins, leading to a muted global average trend. The over-472 all volume fraction of LOX is also relatively smaller in magnitude compared to the other 473 CCX due to the additional absolute threshold of $150 \,\mu\text{M}$ used in this study. 474

The increasing volume fraction of single parameter CSX also causes the volume frac-475 tion of column compound extremes (CCX) to increase, but the increases are less steep 476 and also not as monotonic (Figure 5b). In the 1960s and 1970s, the volume fractions of 477 the different combinations have around 0.1-2%, with OAX-LOX events being the most 478 prevalent at around 1%, and the triple compound extreme occupying less than 0.1% of 479 the water column, on average. Then at various points in time, the prevalence of these 480 CCX suddenly increased. In the case of the MHW-OAX events, this occurred around 481 1980 with a relatively steady growth thereafter, reaching a volume fraction of nearly 50%in 2020. The volume fraction of OAX-LOX more than doubled in 1998, and then remained 483 fairly constant near 10%. The smallest increases are seen for the volume fraction of MHW-484 LOX and MHW-OAX-LOX, but they still increased 4.5 and 32 times when comparing 485 the first and last 20 years. 486

As the volume of CCX has increased over the last 60 years, their duration has also increased (Figure 5c). MHW-OAX and OAX-LOX events lasted on average less than 50 days before ~1995, but saw a sudden increase in 1997. Thereafter, the mean duration of these CCX rarely fell below 50 days and instead achieved new records with durations of close to 200 days. MHW-LOX and the triple compound events have also increased in duration over the hindcast period, averaging close to 50 days per event towards 2020.

The starkest changes in the CCX properties occurred with the maximum intensity index (Figure 5d). In the 1960s and 1970s, it hovered around 2 for all compound extremes, except for OAX-LOX. But then, as the intensity of OAX began to increase rapidly due to strong trends in ocean acidification (Ma et al., 2023), the maximum intensities of all CCX began to increase rapidly as well, reaching a nearly 10-fold increase by 2020. The exception is MHW-LOX, which experienced "only" a doubling in their maximum intensity.

In summary, column compound extremes used to be relatively rare, but have be-500 come much more prevalent and frequent over the last few decades and, in particular, have 501 become much more intense. This is best illustrated for the triple column compound ex-502 tremes that have expanded 39-fold, now last 3-times longer, and have become 6-times 503 more intense since the early 1960s. This strong increase is driven to a substantial degree 504 by the increase in the intensity of the OAX. 2.3% of the volume of the global upper ocean 505 is now under conditions of triple compound extremes, corresponding to a volume of $2\,300\,000\,\mathrm{km}^3$. 506 This is much greater than, for example, the volume of the ocean that is considered 'dead' 507 as a consequence of coastal eutrophication (Diaz & Rosenberg, 2008). Thus, while many 508 studies have already shown the increasing frequency, duration, and intensities of surface 509 extremes, especially those of MHW, our work now shows that this leads to severe reduc-510 tions in habitable space below the surface, restricting the ability of organisms to cope 511 with, for example, surface MHW by migrating to deeper depths. These analyses also show 512 how important it is to better understand the impact of compound extremes on marine 513 organisms, as these compound extremes are becoming more common (Hauri et al., 2024). 514

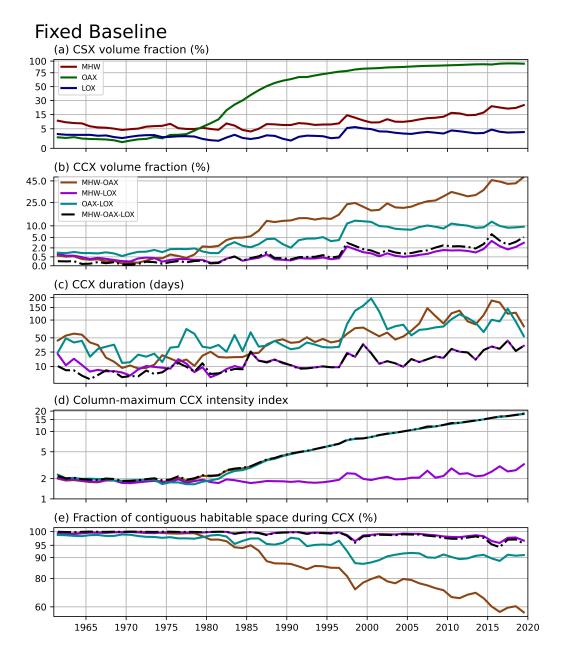


Figure 5. Temporal evolution of model simulated column extremes over the past 60 years on a fixed baseline. Shown are the timeseries of (a) annual mean global volume fraction of the three single column extremes (CSX), (b) annual mean global volume fraction of column compound extremes (CCX) of the four different types, (c) mean CCX duration, and (d) annual column-maximum CCX intensity index.

Next, we would like to investigate the processes behind these events, and also understand what causes, e.g., also the strong year-to-year variations in the trends. We also would like to characterize the events more specifically with regard to where they occur and what properties they have. To this effect, we change our perspective to a moving baseline, which removes the long-term trend. We do this without the intention of understating the increasing severity of marine extremes under climate change.

4.2 Temporal Variability of Column-Compound Extremes

By removing the underlying climate trend through the use of a moving baseline, 522 the strong year-to-year variability of the column-compound extremes becomes clearer 523 (Figure 6). In particular, local peaks in the global volume fraction, duration, and inten-524 sities of CCX coincide with strong ENSO events of high Oceanic Niño index (ONI). Most 525 visible are the alignments of the peaks during moderate and strong ENSO events in 1972-526 1973, 1982-1985, 1997-1998, 2009-2011, and 2015-2016. The global volume fractions of 527 CCX are strongly correlated with positive ONI (Pearson R = 0.50 to 0.68), and less 528 so with negative ONI (Pearson R = -0.06 to -0.27). The negative R indicates an in-529 crease in volume fraction with more negative ONI, i.e. La Niña. We examine ENSO as 530 a driver of CCX on a regional scale in Section 4.5. 531

OAX-LOX events affect the water column more than any other type of CCX. On 532 average, they have the highest mean volume fraction of 1.2% ($1208000 \,\mathrm{km}^3$), reaching 533 up to 4.7% (4732000 km³) during the strong consecutive El Niño/La Niña events of 1997-534 1998. They also last the longest, having a duration of about 18 days on average but ex-535 ceeding 40 days during some periods. Due to their large volume, they also contract the 536 habitable space the most out of all the CCX types. The maximum intensity of OAX-537 LOX is among the highest of double CCX, at about 2.5 The second most extensive type 538 of CCX is MHW-OAX, which typically occupies about 0.9% of the global volume (906 000 km³), 539 and lasts 11 days, on average. Together with the triple CCX, they are also one of the 540 most intense CCX types, with a maximum intensity index typically higher than 2. Al-541 though some peaks may be seen coinciding with major ENSO events, their association 542 with ENSO is weaker than that of OAX-LOX. Finally, there is a relatively smaller mean 543 volume of MHW-LOX and triple CCX (MHW-OAX-LOX), of 0.16% (161000 km³) and 544 0.11% (111000 km³) respectively. These two CCX types have the same interannual vari-545 ability, suggesting that many MHW-LOX events are also triple compound events. 546

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4.3 Spatiotemporal Distribution of Column-Compound Extremes

The four different types of CCX have rather different global distributions in terms 548 of annual extreme days (Figure 7a,c,e,g), and in terms of the co-occurrence propensity 549 (Figure 7b,d,f,h) (see also Table 2). MHW-OAX occur globally, but most frequently in 550 the subtropics and the high-latitude Southern Ocean, where between 7 to more than 28 551 days per year are characterised as MHW-OAX events. In contrast, the number of MHW-552 OAX days in the equatorial regions is low, typically less than 7 days. The co-occurrence 553 propensity (later referred to as just propensity) helps to explain these distributions. For 554 example, the strongly negative propensity of MHW-OAX events in the eastern tropical 555 Pacific explains well why the number of CCX days in this region is so low (Figure 7a,b). 556 This negative propensity means that whenever there is, for example, a heatwave in this 557 region, the likelihood that this region also has an OAX is substantially lower than by chance. 558 This is a result of the fact that most MHW in the eastern tropical Pacific are associated 559 with El Niño, which tend to push the thermocline and therefore also the waters with high 560 [H⁺] down, thereby suppressing the formation of subsurface OAX (Burger et al., 2022). 561 Similarly, the negative propensities in the boundary upwelling regions are the result of 562 surface OAX events that are typically driven by strong upwelling events (Desmet et al., 563 2022), which tend to cool the surface ocean and therefore suppress the formation of MHW. 564

The OAX-LOX events have nearly the opposite spatial pattern to that of the MHW-OAX events. The OAX-LOX events occur primarily in the tropics, in the Eastern Boundary Upwelling Systems (EBUS), and the north subpolar Pacific, typically more than 14 days per year under CCX conditions. On the contrary, OAX-LOX events are entirely absent in the North Atlantic and the ocean south of about 30°S. This absence is a consequence of the high level of oxygenation in these regions with $[O_2]$ staying generally above the 150 μ M threshold. This contrasts with the low-latitude regions, many of which are

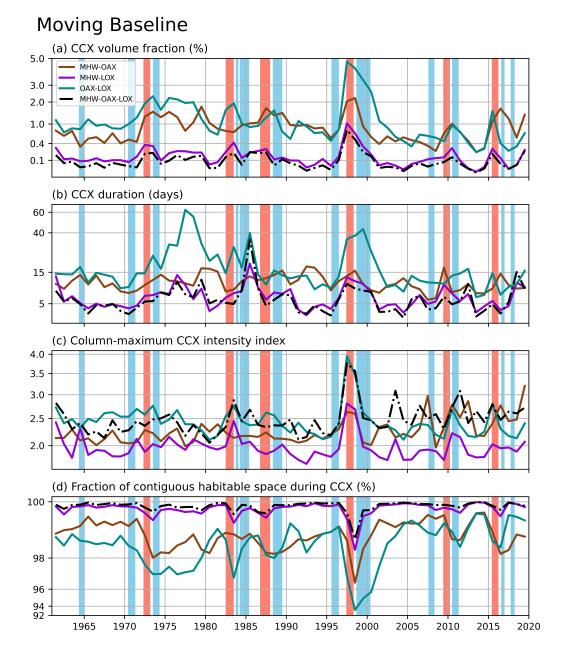


Figure 6. As Figure 5, but for a moving baseline, i.e., when the trends are removed using a quadratic fit. Strong El Niño events (ONI ≥ 1.5 °C) are shaded in red, and strong La Niña events (ONI ≤ -1.0 are shaded in blue.)

characterised as oxygen-deficient zones (ODZs) (Paulmier & Ruiz-Pino, 2009), fostering the presence of LOX (Köhn et al., 2022). The high prevalence of the OAX-LOX events
in the low latitudes can be understood by their very positive propensity, which means
that when there is a LOX, there is a very high chance that there is also an OAX. This
can be understood from the fact that OAX and LOX tend to be generated by the same
processes, such as remineralisation, upwelling, or thermocline heaving (Gruber et al., 2021),

substantially increasing the likelihood that the two extremes occur together.

Compared to these first two CCX types, MHW-LOX and the triple compound oc-579 cur substantially less frequently and have durations of typically less than 7 days. The 580 spatial pattern is similar to that of the OAX-LOX events with a low-latitude focus, sug-581 gesting that the absence of LOX in the high latitudes due to the oxygen threshold is also 582 an important determinant for the triple compound events. However, the propensity plots 583 exhibit a clear difference, with most areas having substantially lower propensity than those 584 exhibited by the OAX-LOX events, and some regions even having a negative propensity. 585 The reasons behind this distribution are complex and will be discussed later in this study. 586

Thus, we can identify two general patterns of the occurrence and propensity of CCX. A more global and high-latitude pattern for MHW-OAX events and a more low-latitude/tropical pattern for the other three CCX types due to the oxygen concentration threshold. This allows us to focus on only two of the four types in the following discussion of the full sets of CCX metrics, i.e. MHW-OAX events (Figure 8) and MHW-OAX-LOX triple compounds (Figure 9). Figures for the other two CCX types, i.e., OAX-LOX and and MHW-LOX, can be found in Figures S17-S18.

MHW-OAX events in the subtropics and Antarctic zone last the longest (more than 594 21 days) (Figure 8a). In these regions, we also see the highest maximum intensity index 595 of 2 to 4 (Figure 8b), which means that the intensity of the combined events is approx-596 imately 2 to 4 times the intensity of the threshold for a single event. This shows that 597 where MHW-OAX occur most frequently, they are also long and intense. Likewise, in 598 tropical regions where the number of CCX days is small and where the CCX events are 599 short (Figure 8a,c) they are also relatively weaker, between 1 and 3 in the maximum in-600 tensity index. This is also the region of very low propensity (Figure 8d). But while the 601 CCX events in the tropics are less frequent, shorter, and less intense, they still contract 602 the habitable space, between 25% to 75% of the column (Figure 8e). In some areas, the 603 remaining contiguous habitable space is less than 25% (Figure 8f). 604

The spatial distribution of the triple compound events (MHW-OAX-LOX) can be 605 understood in conjunction with that of the OAX-LOX. OAX-LOX events are unique from 606 the other CCX types due to their high number of days per year (Figure 7c) and posi-607 tive propensity everywhere they occur (Figure 7d). This means that when either OAX 608 or LOX occurs, the other almost always occurs together with it. Thus, the triple com-609 pound occurs when an MHW is induced in the same column. We see this more frequently 610 in the tropics and the Bering Sea, up to 21 days a year in some areas (Figure 9c). When 611 triple CCX occur, they typically have moderate maximum intensity indices of 2 to 3, but 612 can exceed 4 in the central tropical Pacific (Figure 9b). In the equatorial regions, they 613 typically last up to 10 days (Figure 9c). However, their durations are more than dou-614 ble in the Bering Sea and along the boundaries of the subtropical gyres. The triple com-615 pound events contract the habitable space by far the most (Figure 9f). During such events, 616 less than 50 % remains habitable, with some regions going to 0% in some areas. 617

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4.4 Vertical Structure and Clustering of CCX

The k-means clustering for the MHW-OAX events according to the vertical dis-619 tribution of extreme conditions results in four clusters (see Figure 10 left column) with 620 621 distinct properties and spatial distributions. This clear separation confirms our choice of clustering only on the basis of the vertical distribution of the extremes. Cluster 2 (24%)622 occupies much of the subtropical gyres, while clusters 3 (14%) and 4 (5%) occur in the 623 Subantarctic zone of the Antarctic Circumpolar Current (ACC) and in the Antarctic zone, 624 respectively. Cluster 1 occurs along the borders of the other clusters, accounting for nearly 625 60% of the total area of all MHW-OAX events. However, it also has the shortest aver-626 age duration, the lowest average days per year, and the lowest maximum intensity in-627 dex. In contrast, cluster 4 is the highest in these metrics, with the other two clusters in 628

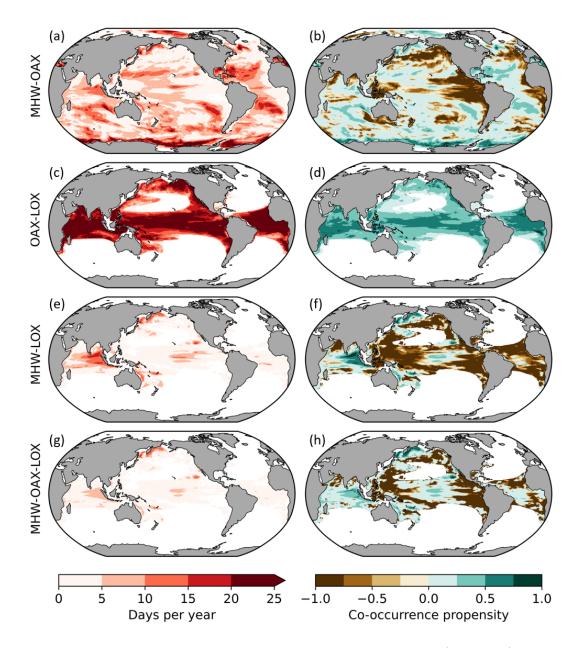


Figure 7. Spatial distribution of CCX illustrated by: CCX days per year (left column) and mean co-occurrence propensity (CP) of CCX (right column). A positive CP indicates two column-single extremes occurring in the same column more frequently than by random occurrence. Conversely, a negative CP indicates a lower frequency than random occurrence. (Section 3.3). Each row corresponds to one CCX type, i.e. (a,b): MHW-OAX, (c,d): OAX-LOX, (e,f): MHW-LOX, (g,h): MHW-OAX-LOX.

between. In terms of vertical fraction, the four clusters are similar, ranging between 41% and 46%.

We discuss these clusters in turn, using their characteristics as an indication of the likely processes driving them. Since increases in temperature during MHW directly lead to an increase in [H⁺] through shifts in the carbonate chemistry equilibrium, some of the OAX must be driven by the MHW (Burger et al., 2022; Burger & Frölicher, 2023). As

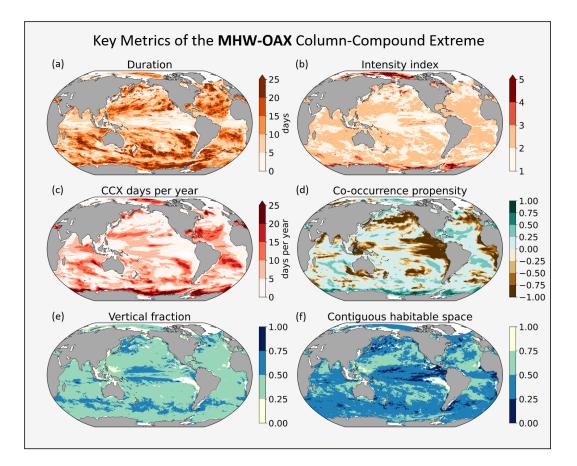


Figure 8. Key metrics of MHW-OAX events in the global ocean. (a) Mean duration, (b) mean annual maximum intensity index, (c) mean annual CCX days, (d) mean co-occurrence propensity, (e) mean fraction of water column occupied by extremes, and (f) mean fraction of contiguous habitable space in the vertical column. (c) and (d) are the same plots as Figure 7(a) and 7(b).

the isochemical sensitivity of $[H^+]$ is well known, we can compute the fractional contri-635 bution of the temperature changes to the changes in $[H^+]$ (Text S4) (Orr & Epitalon, 636 2015). We do not have sufficient information stored during the simulation to determine 637 all the processes that drive the changes in DIC and Alk underlying the non-thermal changes 638 in $[H^+]$ (Burger & Frölicher, 2023), but we use anomalies in the export and sinking of 639 particulate organic carbon (POC) and a logistic regression (Mahlstein et al., 2012; Filho 640 et al., 2013; Le Grix et al., 2023) to infer the potential contribution of changes in the bi-641 ological pump to the OAX (Text S5). 642

Cluster 1 is characterised by the bimodal depth distribution of the MHW and OAX 643 signals, with a surface signal similar to cluster 2, and a weaker maximum in the subsur-644 face reminiscent of the signals in clusters 3 and 4. Since the violin plots reflect the sum 645 of extreme signals over the hindcast period, these modes do not necessarily occur at the 646 same time. In general, the warming associated with MHW can account for nearly 80% 647 of the OAX (Figure S21), suggesting that it is primarily the MHW that drives this CCX 648 cluster. We did not find a strong relationship between the events in Cluster 1 and POC 649 export (Table S1), leaving advection and mixing processes as the most likely processes 650 driving the remaining 20% of the OAX signal in this cluster. Potential mechanisms in-651 clude the advection of surface waters with MHW or OAX characteristics from adjacent 652

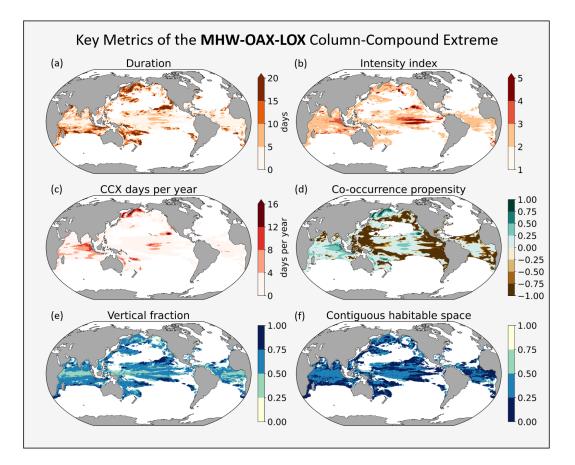


Figure 9. As Figure 8, but for triple compound events. (c) and (d) are the same plots as Figure 7(g) and 7(h).

regions (Holbrook et al., 2019; Sen Gupta et al., 2020; Elzahaby et al., 2021), possibly combined with downwelling Kelvin and Rossby waves that can propagate, maintain, and deepen the extreme signals (Holbrook et al., 2019; Zhang et al., 2021; Maulida et al., 2022; Qi et al., 2022).

⁶⁵⁷ Cluster 2 has a pure surface intensified signal, with MHW and OAX co-occurring ⁶⁵⁸ from the surface down to 100 m. More than 100 % of the change in $[H^+]$ can be explained ⁶⁵⁹ by the warming (Figure S21), suggesting that a surface ocean driven MHW is the key ⁶⁶⁰ process driving this type of CCX. Compound surface MHW and OAX have previously ⁶⁶¹ been detected by Burger et al. (2022) in similar regions of the tropics and subtropics, ⁶⁶² and our analysis shows that these events tend to extend further below the surface.

MHW-OAX cluster 3 is the opposite of cluster 2, with a strong subsurface inten-663 sified signal. In cluster 3, MHW occur beneath the surface between 50-300 m, and OAX 664 occur in the lower half of the water column below 100 m. The spread across the water 665 column causes this cluster to have the highest vertical fraction of 46%. Up to 60% of 666 the change in $[H^+]$ in co-occurring OAX can be attributed to the increase in tempera-667 ture (Figure S21). In addition, enhanced export and remineralisation appears to be driv-668 ing part of the OAX signal, as indicated by the positive correlation between MHW-OAX 669 events and increased POC export (Table S1). Another contribution might stem from anoma-670 lous advection and mixing. In fact, the regions occupied by this cluster, such as the Sco-671 tia Sea, Drake Passage, and Macquarie Ridge, stand out as regions with enhanced di-672 apycncal mixing (Ledwell et al., 2011). Strong wind-driven currents and rough bathymetry 673

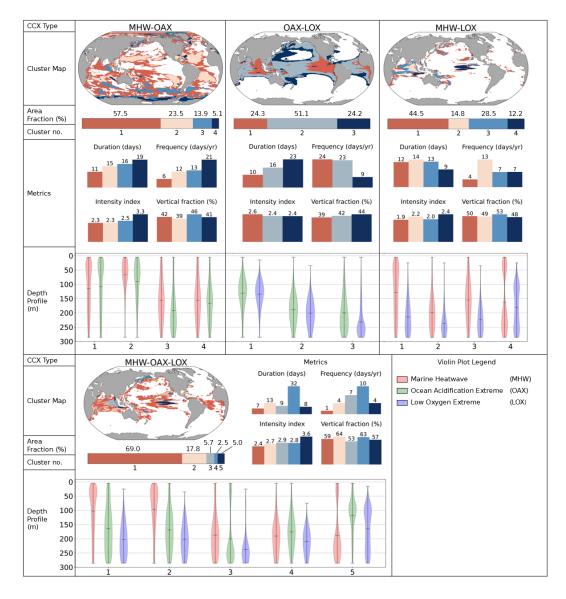


Figure 10. Summary of the main cluster characteristics identified by k-means clustering for each CCX type, i.e., MHW-LOX (top left column), OAX-LOX (top middle column), MHW-LOX (top right column), and the triple CCX MHW-OAX-LOX (bottom row). For each type, four groups of characteristics are provided (from the top): Horizontal distribution by a cluster map, cluster numbers and the area fraction occupied by each cluster as a horizontal bar plot, key metrics including cluster-averaged values of mean duration, mean days per year, mean annual column-maximum intensity index, and mean vertical fraction occupied by extremes as a vertical bar plots, and vertical distribution in the form of vertical violin plots. The latter show the sum of single grid-cell extreme occurrences during CCX, weighted by their intensity index.

have been shown to drive mixing in the upper water column (Pellichero et al., 2017; Vogt

et al., 2022) and may be drivers of intrusions of MHW in the subsurface. Local parcels

of water carrying the MHW signal may be disconnected from the surface and later re-

connected again by mixing and heating the surface. An example from the hindcast is shown

⁶⁷⁸ in Figure S23.

Cluster 4 events occur along the Antarctic continent, with colocated MHW and OAX 679 occurring largely beneath the surface between 100-300 m. Here, MHW-OAX events have 680 the highest frequency of 21 days per year, the longest mean duration of 19 days, and the 681 highest maximum intensity index of 3.3. We suggest the upwelling of Circumpolar Deep 682 Water (CDW) as the primary mechanism for driving the MHW-OAX events of this clus-683 ter, since CDW is warmer and more acidic than the upper waters in the Antarctic zone 684 (Dinniman et al., 2011; Pellichero et al., 2017; Wang et al., 2023). Such upward intru-685 sions of the CDW may be driven by strengthened zonal westerlies (Morrison et al., 2015; 686 Wilson et al., 2019; Ramadhan et al., 2022) or low sea-ice extent (Ramadhan et al., 2022). 687 The effect of upwelling on OAX may be enhanced by greater export and remineralisa-688 tion of POC, which we identify through logistic regressions (Table S1). An example of 689 such an event is shown in a Hovmoller diagram (Figure S24). 690

OAX-LOX events are separated into three clusters (see Figure 10 middle column), 691 where each cluster may be found in the same areas of each ocean basin. Cluster 1 roughly 692 corresponds to the eastern tropics and the EBUS regions, with OAX and LOX located 693 together between 50 m and 200 m deep. Cluster 2 events are located deeper in the water column, between 100 m and 300 m, and are located along the boundaries of cluster 695 1, reaching the western side of the basins. Cluster 3 is located along the boundaries of 696 the subtropical gyres, where the deepest events are found below 100 m. The three clus-697 ters are likely driven by the same processes, with the specifics determined by the background state. This is due to the very strong negative correlation between $[O_2]$ and $[H^+]$ 699 in the ocean interior as a result of the biological pump (Sarmiento & Gruber, 2006; Paul-700 mier et al., 2011; Gobler & Baumann, 2016). This negative correlation means that any 701 lateral or vertical displacement of water masses has the potential to create a combined 702 OAX and LOX event (Gruber et al., 2021). The specific occurrence of this type of CCX 703 is modulated by our use of the 150 μ M threshold for [O₂]. This threshold is closest to 704 the surface in the EBUS, the eastern tropical Pacific, the subarctic Pacific, and the In-705 dian Ocean, deeper in the western tropics, and is not reached within the upper 300 m 706 of the subtropical gyres or the high latitudes (Gilly et al., 2013). Thus, the three clus-707 ters track this depth distribution. Our hypothesis of anomalous displacement driving OAX-708 LOX events is supported by strongly positive logistic regressions between OAX-LOX oc-709 currences and the shoaling of the thermocline depth (Fiedler, 2010) (Table S1). The re-710 spective odds ratios of each cluster indicate an increased probability of CCX by 2.10, 711 1.77, and 1.43 times for every 10 m of thermocline shoaling. The OAX signal may fur-712 ther be enhanced by the consequence of increased biological productivity (inferred from 713 regressions on POC export anomalies) driving additional remineralisation. 714

The clustering separates the MHW-LOX events into 4 clusters (Figure 10 right column). Very similar clusters are obtained for the triple compound MHW-OAX-LOX events (Figure 10 bottom row), which is the result of the high spatial correlation between the MHW-LOX and the triple compound extremes in all characteristics (see Figure 7). As a consequence, we focus on the clusters of the triple compound extremes.

MHW-OAX-LOX cluster 5 (MHW-LOX cluster 4) is found in the central equato-720 rial Pacific. It is the most intense of all CCX clusters with a maximum intensity index 721 of 3.6, but it has a relatively short duration of 9 days and occurs only 4 days per year 722 723 on average. The triple compound cluster with the longest duration of 32 days and occurring 10 days per year is cluster 4 (MHW-LOX cluster 2), found in the Bering Sea. In 724 the eastern tropical Indian ocean, we find MHW-OAX-LOX cluster 3 (MHW-LOX clus-725 ter 2) occurring 7 days per year. Finally, MHW-OAX-LOX clusters 1 and 2 (MHW-LOX 726 clusters 1 and 3) occupy the largest area fraction of 86.8%, and border the other clus-727 ters in the tropics and North Pacific. 728

In MHW-OAX-LOX clusters 1 and 2, surface intensified extreme signals in temperature are clearly separated from the depth intensified signals in acidity and oxygen, as vertical entrainment and/or mixing is restricted across the thermocline. We suspect

that the anomalous heating of the surface stratifies the surface layer and confines the MHW 732 signal to the top 100-150 m of the column. Meanwhile, OAX and LOX are intensified 733 below the MHW. Changes in biological production and export cannot be the primary 734 driver of these subsurface changes, since we find in cluster 2 a significant odds ratio of 735 0.64, which means that biological production, export, and remineralisation are reduced 736 during these events, thus adding less $[H^+]$ to the water column and removing less $[O_2]$. 737 In cluster 1 the correlation with POC export is relatively weaker but still negative, and 738 thus also not explaining the co-occurrence. Instead, we propose that these subsurface 739 extremes are a consequence of the increased efficiency of the biological pump (Sarmiento 740 & Gruber, 2006) driven by surface stratification. In this situation, a lower amount of mix-741 ing between the subsurface and the surface increases the vertical gradient of DIC and 742 $[O_2]$, causing the subsurface concentrations of DIC to increase and that of $[O_2]$ to de-743 crease (Sarmiento & Gruber, 2006). 744

In contrast, certain conditions allow heat to transfer below the thermocline, lead-745 ing to distinctive CCX depth profiles. The MHW-OAX-LOX Cluster 5 in the central trop-746 ical Pacific is one such example, where MHW is intensified at the surface (0-50 m), and 747 at depth (150-300 m). Meanwhile, OAX and LOX occupy the gap between 50-150 m. 748 During El Niño periods, strong anomalous surface heating occurs in the eastern trop-749 ical Pacific, deepening the thermocline and inducing MHW throughout the water col-750 umn (Fiedler & Lavín, 2017). On the western side of the Pacific, the thermocline shoals, 751 causing subsurface OAX and LOX to extend into the central tropical Pacific (Xu et al., 752 2017; Leung, Thompson, et al., 2019). Thus, the triple compound occurs when all three 753 stressors occur in the same column, albeit at different vertical locations. When the sur-754 face heating tapers towards the end of El Niño, the MHW signal at the bottom of the 755 column remains below the thermocline and is cut off from surface ventilation, leading 756 to the characteristic depth profile seen in Figure 10. This subsurface MHW signal per-757 sists even into the succeeding La Niña event, where the shoaling thermocline in the east 758 leads to intensified OAX and LOX above the MHW. This process is illustrated through 759 a video (Movie S1). The logistic regression supports the described process occurring dur-760 ing La Niña with a strong correlation between shoaled thermocline depth, decreased strat-761 ification, and increased biological productivity (Table S1). 762

In the eastern tropical Indian ocean and the Bering Sea, corresponding to MHW-763 OAX-LOX clusters 3 and 4, an unusual combination of all three stressors co-occur in the 764 subsurface below 100 m with little to no concurrent surface-MHW expression. One pos-765 sible cause of MHW-OAX-LOX in cluster 4 is preceding warm atmospheric conditions 766 that cause sea ice retreat and surface MHW (Carvalho et al., 2021). Another source of 767 warm waters in the specific case of 2018 could be the Pacific Blob event in 2014-2016, 768 which saw intense MHW covering the northeastern Pacific, mixing into the subsurface 769 and persisting below the mixed layer, then advecting northward. Subsequently, lower sea-770 ice cover in the winter led to weakened stratification and allowed warm water to pen-771 etrate the subsurface (Stabeno & Bell, 2019; Basyuk & Zuenko, 2020; Scannell et al., 2020). 772 OAX and LOX are then partially the result of anomalous temperatures driving an in-773 crease $[H^+]$ and a decrease in oxygen solubility. This is seen by the change in temper-774 ature accounting for 22% of the change in $[H^+]$ (Figure S22). Later, the increased strat-775 ification associated with this cluster (Table S1) hindered the ventilation of the subsur-776 face, maintaining the triple compound at depth. An example from this cluster is illus-777 trated in a Hovmoller diagram (Figure S25). Although there are no existing works on 778 subsurface extreme events in the Bering Sea, the subsurface compound extremes found 779 by Hauri et al. (2024) in the Gulf of Alaska reflect the timing and processes associated 780 with this cluster in the Bering Sea. Carvalho et al. (2021) also found an increase in the 781 number of surface MHW in the Bering Sea in the time periods leading up to those of clus-782 ter 4 (Figure S20). In cluster 3, the largest event occurred in 1997, corresponding to the 783 largest event of cluster 5, and a strong El Niño event (S20). This suggests an ENSO tele-784 connection in the Indian Ocean leading to subsurface MHW-OAX-LOX. In these two clus-785

ters, few MHW studies have been conducted and even less so on subsurface MHW, OAX,
and LOX. As research in this space has been gradually gaining attention, we expect to
better understand the mechanisms behind these subsurface triple compound events in
the future.

790

4.5 Enhancement and Suppression of CCX during ENSO Events

Further insights into the potential drivers of CCX can be deduced from when the 791 CCX occur. We have already seen that, on the global scale, most CCX tend to corre-792 late positively with ENSO (Figure 6). But we also identified more complex responses with 793 enhanced occurrences during both strong El Niños and strong La Niñas. Therefore, it 794 is worth examining this connection in depth, looking at the regional changes in CCX days 795 during El Niño (Figure 11a,c,e,g) and La Niña (Figure 11b,d,f,h) events. Using the Oceanic 796 Niño index (ONI), we identified 149 months of El Niño and 180 months of La Niña dur-797 ing the hindcast period, with the remaining 373 months classified as ENSO neutral. 798

During ENSO events, there is a slight enhancement of all MHW-related CCX in 799 the tropics across all basins, starting from 5 days per year in many areas, increasing to 800 more than 20 days per year in certain regions (Figures 11a-b,e-f,g-h). There are clear 801 spatial differences between the opposite phases of ENSO for MHW-OAX (Figures 11a-802 b). In general, El Niño does not suppress MHW-OAX strongly in any location, but instead enhances it in the tropics and subtropics of all ocean basins by about 20 days per 804 year on average. There is a particularly strong enhancement of more than 20 days per 805 year in the Atlantic Ocean and the Arabian Sea, which can be attributed to teleconnec-806 tions with ENSO (Holbrook et al., 2019; Sen Gupta et al., 2020; Chatterjee et al., 2022). 807 During La Niña, enhancement of surface MHW-OAX may be observed in the typical chevron 808 pattern of the western subtropical Pacific (Holbrook et al., 2019). The highlighted re-809 gions belong to MHW-OAX clusters 1 and 2, both of which have a strong surface expres-810 sion. Looking southward, there are confined regions of strong enhancement and suppres-811 sion in the Subantarctic and Antarctic zones, which mostly fall within MHW-OAX clus-812 ters 3 and 4. These clusters have a stronger subsurface expression, but the same regions 813 can be identified in Holbrook et al. (2019) linking surface MHW to various climate modes. 814 In these regions, modes such as the southern annular mode (SAM) likely drive variabil-815 ity in surface wind stress, leading to changes in the depth of the thermocline and hence 816 subsurface extremes. 817

With MHW-LOX and MHW-OAX-LOX, there are no distinct spatial differences 818 between the positive and negative phases of ENSO, with a change of less than 10 days 819 per year across the globe. This is because the frequency of these CCX is also generally 820 low, and hence no significant changes may be identified in association with ENSO. One 821 exception occurs in the central equatorial Pacific. Here, the annual CCX days strongly 822 increase during El Niño by up to 30 days per year. This corresponds to cluster 5 of the 823 triple compound event, which has distinctive peaks in volume fraction in the El Niño years 824 of 1997 and 2016 (Figure S20). Both the surface MHW from the east and the subsur-825 face OAX and LOX from the west trace their driver to El Niño, thus having a single com-826 mon driver, though operating through different processes on the different sides of the trop-827 ical Pacific. The lack of a strong ENSO correlation in the eastern tropical Pacific is dif-828 ferent from the surface MHW correlations of Holbrook et al. (2019); Sen Gupta et al. 829 (2020), where there is a strong response in the eastern equatorial Pacific. This was also 830 reflected in the co-occurrence propensities of MHW-related CCX (Figure 7. MHW in-831 duced on the surface during El Niño strongly stratify the surface, suppressing the up-832 welling of deep waters, pushing the thermocline down, and hence reducing the occurrence 833 of OAX and LOX. While some surface MHW induce co-located OAX due to the tem-834 perature effect on $[H^+]$, the associated changes in $[H^+]$ tend to be smaller than those in-835 duced by the changes in upwelling, such that the propensity of MHW and OAX is very 836 low. 837

Among the CCX variations, OAX-LOX events stand out as having the strongest 838 and most distinct ENSO associations 11c-d). In the tropical Pacific, the opposing effects 839 of El Niño and La Niña phases are clear. During El Niño, OAX-LOX events are enhanced 840 in the west by more than 30 days (cluster 2), representing a doubling in annual CCX days. 841 Meanwhile, a strong suppression of up to 30 days is observed in the eastern tropical Pa-842 cific (cluster 1). Conversely during La Niña, the eastern tropical Pacific (cluster 1) ex-843 periences an enhancement of OAX-LOX of a similar magnitude and a weaker suppres-844 sion in the west (cluster 2). These events can be strongly linked to ENSO with the shoal-845 ing and deepening of the thermocline, as highlighted in the previous section. The effects 846 of ENSO on OAX-LOX in other regions are also strong, though not as distinctly dichoto-847 mous between phases. They are typically facilitated by atmospheric teleconnections (Roy 848 & Reason, 2001) and ocean currents (Susanto et al., 2001; Feng et al., 2018), through 849 mechanisms such as thermocline and upwelling modulations. 850

5 Discussion

Most studies on marine extremes have focused so far on surface MHW, limiting their 852 analyses to the drivers and impacts occurring in the surface layer. With the CCX de-853 tected in this study, there is a need to infer surface and subsurface drivers. Furthermore, 854 CCX in this study with surface expressions extend at least 50 m into the subsurface, prompt-855 ing an investigation of surface stratification and the mixed layer. Similarly, the associ-856 ated impacts of CCX are relevant not only to organisms residing at a certain depth, but 857 also to those who inhabit the entire upper ocean water column. These migrating organ-858 isms are impacted to a greater extent as CCX shrink and divide their habitable space. 859

860

5.1 Climatic Drivers of Column-Compound Extreme Events

The most significant CCX clusters identified in Section 4.4 are summarised in Figure 12, with their corresponding metrics and vertical structure. With the analysis, we have also repeatedly identified ENSO events as the main driver of a large proportion of CCX. This is due to the large area of the Pacific Ocean that is typically affected by ENSO and the atmospheric and oceanic connections it has with other ocean basins. However, the mechanisms through which ENSO drives CCX vary by region. Furthermore, ENSO events can drive CCX through multiple mechanisms.

Some of these ENSO-driven CCX have been identified as being spatially co-occurring, 868 where their constituent single extremes co-occur in the same grid cells and tend to be 869 driven by similar mechanisms. The most prominent example is OAX-LOX, which oc-870 curs primarily in the subsurface. OAX-LOX clusters 1 and 2 exhibit this effect at dif-871 ferent depths, depending on the location of the thermocline. La Niña events associated 872 with an increase in surface winds drive anomalous upwelling of low-pH and low-oxygen 873 waters in the California and Humboldt current systems, leading to events in the OAX-874 LOX 1 cluster. Furthermore, the increased biological productivity induced by the up-875 welled waters can further deplete oxygen through remineralisation and enrich the wa-876 ter with carbon, driving OAX. The depth of OAX and LOX during OAX-LOX events 877 in the EBUS and east tropics cluster lies between $50-200 \,\mathrm{m}$, which is consistent with 878 the typical coastal and offshore upwelling source waters of $150-280 \,\mathrm{m}$ (Chhak & Di Lorenzo, 879 2007; Frischknecht et al., 2018; Bograd et al., 2015), and sits beneath the mean mixed 880 layer depth (Ando & McPhaden, 1997; Fiedler & Talley, 2006). Furthermore, the shoal-881 ing of the thermocline in the east tropics intensifies OAX and LOX in the subsurface. 882 The co-occurrence propensity and vertical location of these events correspond roughly 883 with the locations of low oxygen zones or shadow zones (Luyten et al., 1983; Paulmier 884 & Ruiz-Pino, 2009), which are often associated with low pH (Paulmier et al., 2011). In 885 the western tropical Pacific corresponding to OAX-LOX cluster 2, El Niño events lead 886 to the shoaling of the thermocline, bringing low pH and low oxygen waters closer to the 887

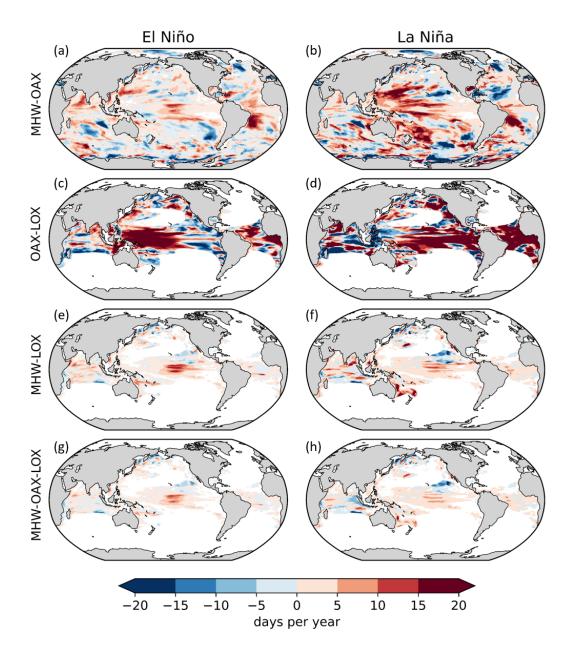
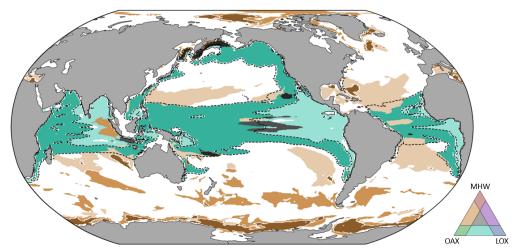


Figure 11. Maps illustrating the impact of ENSO on the number of extreme days for each CCX type. Shown are changes of annual CCX days during positive (left column) and negative (right column) ENSO phases, compared to a neutral ENSO phase. Each row corresponds to one CCX type, i.e. (a-b): MHW-OAX, (c-d): OAX-LOX, (e-f): MHW-LOX, (g-h): MHW-OAX-LOX. In this figure, a year is defined to begin in July and end in June of the next year, permitting to better capture the impact of ENSO as it peaks around Christmas.

⁸⁸⁸ surface, with mean depths corresponding to the mean mixed layer depth (Ando & McPhaden,
⁸⁸⁹ 1997; Fiedler & Talley, 2006). In general, these events are deeper in the column than their
⁸⁹⁰ eastern counterparts due to the deeper mixed layer depths in the western tropical Pa⁸⁹¹ cific. These events have the highest days per year among the identified clusters, increas⁸⁹² ing the most during ENSO events. The association of OAX-LOX events with a shallower



					[]		
Cluster	MHW-OAX 2	MHW-OAX 3	MHW-OAX 4	OAX-LOX 1	OAX-LOX 2	MHW-OAX-LOX 5	MHW-OAX-LOX 4
Associated Regions	Subtropics, Tropics	Subantarctic	Antarctic	EBUS, East tropics	West tropics, OMZs	Central Tropical Pacific	Western Subarctic Pacific
Hovmoller Schematic		7					
Duration (days)	15 (49)	16 (40)	19 (29)	10 (27)	16 (39)	8 (13)	32 (52)
Frequency (days per year)	12 (81)	13 (58)	21 (50)	24 (67)	23 (53)	4 (16)	10 (20)
Intensity Index	2.3 (11.5)	2.5 (9.7)	3.3 (7.8)	2.6 (5.6)	2.4 (5.9)	3.6 (5.4)	2.8 (4.5)
Vertical Extent (%)	39 (88)	46 (83)	41 (79)	39 (70)	42 (75)	57 (75)	63 (81)
ENSO (+/-) change (days)	+2/+2	0/+2	-8/-5	+3/+13	+11/+8	+5/+3	-3/-5
0 - 50 - 50 - 50 - 50 - 50 - 50 - 50 -	OAX OAX	MHW	MHW OAX		00X	MHW MHW OX	MHW OX

Figure 12. Synthesis figure of CCX properties in selected clusters. Map: Selected clusters of CCX in a composite plot. The extent of OAX-LOX 1 and OAX-LOX 2 are marked by dashed lines to indicate their overlap with other clusters. Table (from top to bottom): Cluster names and their associated regions. Hovmoller schematics that are drawn to illustrate actual extreme conditions seen in the hindcast. Cluster mean values of mean duration, mean frequency, mean maximum intensity index, mean vertical extent, and change in number of CCX days during positive and negative ENSO periods. Values in parentheses are of the fixed baseline. Lastly, the approximate vertical locations of single extremes during CCX are represented with simplified bars.

- thermocline and increased biological productivity has been shown in Section 4.4 through the odds ratio values of logistic regressions.
- Another example of spatially co-occurring CCX driven by ENSO is MHW-OAX cluster 2, predominantly in the subtropics. Within this cluster we see a positive asso-

ciation with both phases of ENSO (Figure 11. ENSO-driven temperature anomalies lead 897 to MHW (Holbrook et al., 2019), which then induces OAX through shifts in the carbon-898 ate chemistry equilibrium (Zeebe & Wolf-Gladrow, 2001; Burger et al., 2022). The ar-899 eas where MHW-OAX 2 occur tend to be areas without a tendency for deep water up-900 welling or thermocline shifts. The contribution of temperature to increases in $[H^+]$ was 901 shown in Section 4.4 by computing the fraction contribution of temperature anomalies. 902 For all MHW-OAX clusters, the temperature contribution is high (>0.6), but the high-903 est is seen in MHW-OAX-2 with a value of 1.38 904

ENSO events can also drive CCX through multiple mechanisms, as seen in MHW-905 OAX-LOX cluster 5. MHW is induced throughout the column by strong surface heat-906 ing during El Niño in the eastern tropical Pacific. Meanwhile, El Niño also drives the 907 shoaling of the thermocline in the western tropical Pacific, inducing OAX and LOX in 908 the subsurface. A deep MHW also persists in the subsurface even after being disconnected 909 from the surface MHW. The resulting CCX is one that occupies the entire water column, 910 driven by El Niño through different mechanisms. The MHW-OAX-LOX cluster 4 is an-911 other peculiar example, of which one occurrence in 2018 has been linked an El Niño event 912 in 2014-2016 (Basyuk & Zuenko, 2020). Lateral advection of a warm water mass, enhanced 913 southerly winds, and lower sea ice cover could be factors that lead to surface MHW in 914 the Bering Sea. Anomalously low stratification then allows heat to be transmitted to the 915 subsurface, possibly inducing OAX and LOX. Since our ENSO analysis does not account 916 for lag times, it is difficult to link this event to ENSO. CCX in this cluster may also be 917 driven by local processes and other modes of variability, such as the Pacific Decadal Os-918 cillation (PDO). The mechanisms behind events in these two clusters involve vertical and 919 lateral transfer of heat and/or water, occurring over timescales of months. Thus, it is 920 a more complex driver attribution than can be achieved through the correlations employed 921 in this study. 922

The CCX in the Southern Ocean (MHW-OAX clusters 3 and 4) could be driven 923 by various climate modes. Within these clusters, strong sub-cluster enhancements and 924 suppression have been found during ENSO events. Due to the regionally varying ENSO 925 response within the clusters, the cluster-mean change in days per year due to ENSO is 926 rather muted. These CCX can be inferred to be driven by a combination of surface heat-927 ing, strong winds causing diapycnal mixing (Ledwell et al., 2011; Tamsitt et al., 2017), 928 anomalous sea-ice cover (Ramadhan et al., 2022; Gordon, 1981), and upwelling of the 929 CDW along the Antarctic divergence zone (Morrison et al., 2015; Wilson et al., 2019; 930 Ramadhan et al., 2022). In particular, we attributed the increased biological productiv-931 ity found in these clusters to the CDW through a logistic regression. The inferred mech-932 anisms can also be linked to other climate modes, such as the Southern Annular Mode 933 and the Indian Ocean Dipole. 934

935

5.2 Potential Impacts of Column-Compound Extreme Events

Compounded extreme events can cause severe impacts when stressors interact synergistically (Gruber et al., 2021). This synergism can occur in different ways. The most direct effects occur when an organism experiences multiple stressors in the same place and time (Le Grix et al., 2021; Burger et al., 2022). In our study, we additionally consider the contraction of habitable space within the water column during CCX, where extremes may occur at different depths. This contraction may lead to indirect impacts related to predator evasion or food availability.

Co-located and co-temporal events exacerbate impacts on organisms to which multiple stressors are synergistically detrimental and are highly dependent on species and life stage (Kroeker et al., 2013; Deutsch et al., 2015; Gobler & Baumann, 2016). During compounded MHW and LOX, thermal stress increases metabolism and drives additional oxygen demand in ectotherms (Pörtner, 2002; K. E. Smith et al., 2023). The co-

inciding low-oxygen environment further hinders the ability of organisms to survive, grow, 948 or recruit. Recent work has quantified this effect into a composite "metabolic" or "aer-949 obic growth" index (Deutsch et al., 2015; Clarke et al., 2021). MHW and LOX occur-950 ring at the same place and time will pose a large threat in this case, although they have 951 been shown to be relatively uncommon in this work. However, a strong MHW can si-952 multaneously induce lower oxygen (due to lower solubility) and reduce the low oxygen 953 tolerance threshold of the organism (through increased metabolism), effectively increas-954 ing the oxygen threshold beyond those used in this study. Furthermore, acidic conditions 955 have been shown to increase metabolic stress (Pan et al., 2015; Engström-Ost et al., 2019; 956 Tai et al., 2021; Lattuca et al., 2023). Thus, the co-occurrence of OAX adds another layer 957 of metabolic demand. Every organism has a different metabolic threshold (Deutsch et 958 al., 2015), and it is beyond the range of this study to identify the impacts on any par-959 ticular species. However, higher resolution regional studies (Franco et al., 2022) in con-960 junction with laboratory studies (Seibel et al., 2016) will be able to identify the impacts 961 on metabolism of any given species. 962

Up to now, there has been very little work on the compression of marine habitats 963 due to extreme events (Desmet et al., 2022; Köhn et al., 2022), and much less so with 964 compounded extreme stressors. In this study, we show that when CCX occur, the re-965 maining contiguous habitable space is less than half of the upper ocean water column 966 on average. This fraction is reduced further when multiple extremes occur in different parts of the water column. Vertically migrating organisms are expected to be especially 968 affected by CCX, as they depend on the habitable water column for essential biological 969 activities. Diel vertical migration (DVM) is understood to be performed by planktonic 970 species for the purpose of food gathering and predator avoidance (Ritz et al., 2011), and 971 is a behavioural response to light (Cohen & Forward, 2019). Little is known about the 972 impact of marine extremes on DVM, except that some species regularly migrate to low 973 oxygen and low pH environments (Riquelme-Bugueño et al., 2020). When extreme con-974 ditions occur close to the surface, the habitable space of migrating organisms is reduced. 975 They may avoid extreme conditions on the surface, thereby reducing food availability, 976 or simply continue to migrate upward into extreme conditions, increasing metabolism 977 and food demand. In either case, the ability of organisms to grow and survive is reduced. 978 In the event of a CCX that covers both the surface and the subsurface, the migrating 979 organisms have no good choice to make and are simply subject to extreme conditions 980 wherever they are. Habitat contraction may further affect organisms in more indirect 981 ways. Extreme conditions on the surface may force marine fish to migrate to the sub-982 surface (Jorda et al., 2020), decreasing the survivability of zooplankton species that use 983 the darker environment to avoid predators. The impact of column extreme events on such 984 vertically migrating organisms can be quantified with the choice of threshold. While a 985 small vertical displacement of $[H^+]$ or $[O_2]$ anomalies may not lead to much impact, a 986 choice of at least 50 m in this study implies that organisms could be 50 m away from wa-987 ters with normal conditions, increasing the amount of time spent in extreme conditions. 988

Although we cannot yet identify the specific biological impacts of the compound 989 extremes that we identified and described in this study, we point out that these CCX 990 occur in ecologically and biogeochemically sensitive regions. Of particular concern is the 991 tropical nature of many CCX, given that these regions contain the highest diversity across 992 nearly all trophic levels (Tittensor et al., 2010), ranging from phytoplankton (Righetti 993 et al., 2019), zooplankton (Benedetti et al., 2021), fish (Stuart-Smith et al., 2013), to top 994 predators (Worm & Tittensor, 2018). Furthermore, these tropical regions are also the 995 locations of major fisheries (Watson, 2017). The co-occurrence of many double and triple 996 compound extremes in the central and eastern tropical Pacific make this region among 997 the most vulnerable. Also of concern are the western tropical Pacific, Southeast Asian 998 seas, and the Coral Sea where MHW-OAX and OAX-LOX are found to occur, while be-999 ing characterised as the world's region with the highest marine biodiversity (Tittensor 1000 et al., 2010). Furthermore, the EBUS, home to some of the highest fishery catches, are 1001

subject to regular compound extremes (especially OAX-LOX). In addition, the frequent
occurrence of very intense MHW-OAX events in the high-latitude Southern Ocean hits
a very sensitive ecosystem, with a relatively high diversity (Chown et al., 2015) and home
to very unique organisms and ecosystems (Hill et al., 2006; Constable et al., 2014).

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5.3 Caveats and Limitations

In this study, extreme events in the model hindcast were evaluated with respect 1007 to surface MHW and OAX only. The evaluation of surface OAX with OceanSODA-ETHZ 1008 (Gregor & Gruber, 2021) showed a tendency of the model towards longer and more in-1009 tense events on the surface. A large fraction of this bias is located in regions with strong 1010 thermocline variability, and hence we also expect it to apply to detected LOX, due to 1011 their high co-occurrence with OAX. On the other hand, some part of this bias may also 1012 be attributed to the relatively lower variability in the OceanSODA-ETHZ product (Ma 1013 et al., 2023). Overall, we expect the impact of potential biases on our results to be re-1014 stricted to particular metrics, perhaps most importantly the intensity and duration of 1015 the events. In contrast, the spatial structure of the CCX and their co-occurrence propen-1016 sity is likely much less affected, as these are related to their underlying physical and bio-1017 geochemical processes, which we consider well captured by the model. One option for 1018 the subsurface evaluation of these properties is emerging from the rapidly increasing num-1019 ber of observations from the Biogeochemical Argo float program (Johnson & Claustre, 1020 2016). These observations could be used to evaluate $[H^+]$ and $[O_2]$ in the subsurface. In 1021 particular, we used the GOBAI-O2 product (Sharp et al., 2022) to evaluate the mean 1022 state of $[O_2]$, although the time period covered is too short to establish a baseline for LOX. 1023 We found that the CESM hindcast has a tendency to overestimate $[O_2]$ in confined re-1024 gions within all ocean basins, particularly in oxygen-deficient zones, while making an un-1025 derestimation elsewhere, such as in the west Pacific. This leads to biases in the $150 \,\mu M$ 1026 depth of $[O_2]$, by up to ± 40 m, and should be taken into account when considering the 1027 subsurface OAX and LOX. Still, we do not expect the main findings to be different, since 1028 the subsurface column extremes tend to extend over 100 m in range. 1029

A second caveat concerns the relatively low spatial resolution of the CESM hind-1030 cast, particularly near the poles. Small-scale, local processes are not captured by the model, 1031 and thus we are unable conduct analyses regarding such physical mechanisms. The CCX 1032 identified in the Southern Ocean have been linked to variations in the upwelling of the 1033 CDW and surface wind stress, which are large enough to be represented by the CESM 1034 hindcast. Still, considering the moderate resolution of our model, our results are biased 1035 towards CCX extending over substantial spatial scales, and represent the low to mid lat-1036 itudes better with a higher resolution. 1037

The third caveat concerns the choice of criteria used to identify extremes. Our choices 1038 were made with the intention to investigate the co-occurrence of multiple stressors within 1039 the vertical column in a systematic and consistent manner, linking them to drivers and 1040 mechanisms based on their spatiotemporal characteristics. For this work, the moving base-1041 line was primarily used, as it is more suitable for the investigation of drivers behind ex-1042 treme events. However, in Figures 5 and 6, it was shown that the detected volume frac-1043 tion is vastly different between the fixed and moving baselines. The results on the mov-1044 ing baseline are unable to show the worsening conditions of the global ocean under cli-1045 mate change, nor the change in propensity of extreme events under such conditions. For 1046 such an analysis, the fixed baseline may be a better choice. 1047

Furthermore, the chosen extreme criteria are not targeted toward specific biological thresholds or marine organisms. Therefore, the biological impacts of the extremes identified in this study cannot be directly quantified. For example, MHW-OAX CCX are generally absent in the EBUS, even though temperature-induced OAX are known to occur during MHW. This is likely because the OAX occurrences in these regions are

dominated by anomalous upwelling events, which increase [H⁺] more strongly compared 1053 to MHW. Thus, we see more OAX-LOX occurring in the EBUS. However, this does not 1054 mean that the MHW-induced high $[H^+]$ is irrelevant, as organisms may still be affected. 1055 In such scenarios, it is better to rely on species-specific thresholds as the basis for ex-1056 treme detection (Le Grix et al., 2023). This limitation on biological impacts also extends 1057 to the definition of CCX, where a vertical extent of at least 50 m of each extreme type 1058 is required. An organism whose metabolism is affected by temperature could be affected 1059 by either MHW or LOX, and not necessarily only when both occur. It may then be a 1060 better choice to define extreme conditions based on the metabolic rate of the particu-1061 lar organism and oxygen concentration in the water. 1062

¹⁰⁶³ 6 Summary and Conclusions

With this work on CCX, we took a first step in characterising extremes that are 1064 compounded in the vertical water column. CCX are detected in the global ocean on a 1065 CESM-BEC daily hindcast from 1961 to 2020. Key characteristics such as frequency, du-1066 ration, intensity, and reduction of habitable space are assessed, to determine the regions 1067 where CCX are the most severe. These are the subtropics and Southern Ocean for MHW-1068 OAX, and the tropics and north Pacific for OAX-LOX, MHW-LOX, and MHW-OAX-1069 LOX. All CCX substantially increase in their intensity, frequency, and spatial extent, pri-1070 marily driven by ocean warming and the increase in ocean acidity due to the oceanic up-1071 take of anthropogenic CO_2 from the atmosphere. 1072

Within the vertical column, the depths where single extremes occur during CCX are analysed to determine the mechanisms behind them. We find that ENSO-associated CCX tend to be driven by a single mechanism such as increased air-sea heat flux or increased upwelling, resulting in colocated compounded events. On the other hand, there is a significant proportion of CCX in which the single constitutive extremes occur in different parts of the vertical column. These tend to be driven by separate drivers and have a reduced association with ENSO events.

Marine extreme events can have a large impact on pelagic organisms, which are usu-1080 ally affected by multiple stressors, rather than a single stressor. These organisms swim 1081 or migrate vertically, experiencing various physical and chemical conditions. Therefore, 1082 the study of vertically compounded extremes advances our understanding of the impacts 1083 of extreme events on marine organisms. Furthermore, these extremes are likely to be-1084 come more frequent and intense with the climate trend of increasing temperatures and 1085 atmospheric CO₂. Extreme conditions of the past may well become the mean state of 1086 the ocean in the future. Further analysis of such column-compound events on regional 1087 scales or with regard to specific organisms will extend our understanding of their future 1088 impacts. 1089

1090 Open Research

All data to reproduce the plots can be found in the following repository: https:// doi.org/10.3929/ethz-b-000654113. The standard monthly output of the CESM model simulations is available through the RECCAP2 Zenodo repository (Müller, 2023): https:// zenodo.org/records/7990823.

1095 Conflict of Interest Statement

¹⁰⁹⁶ The authors have no conflicts of interest to declare.

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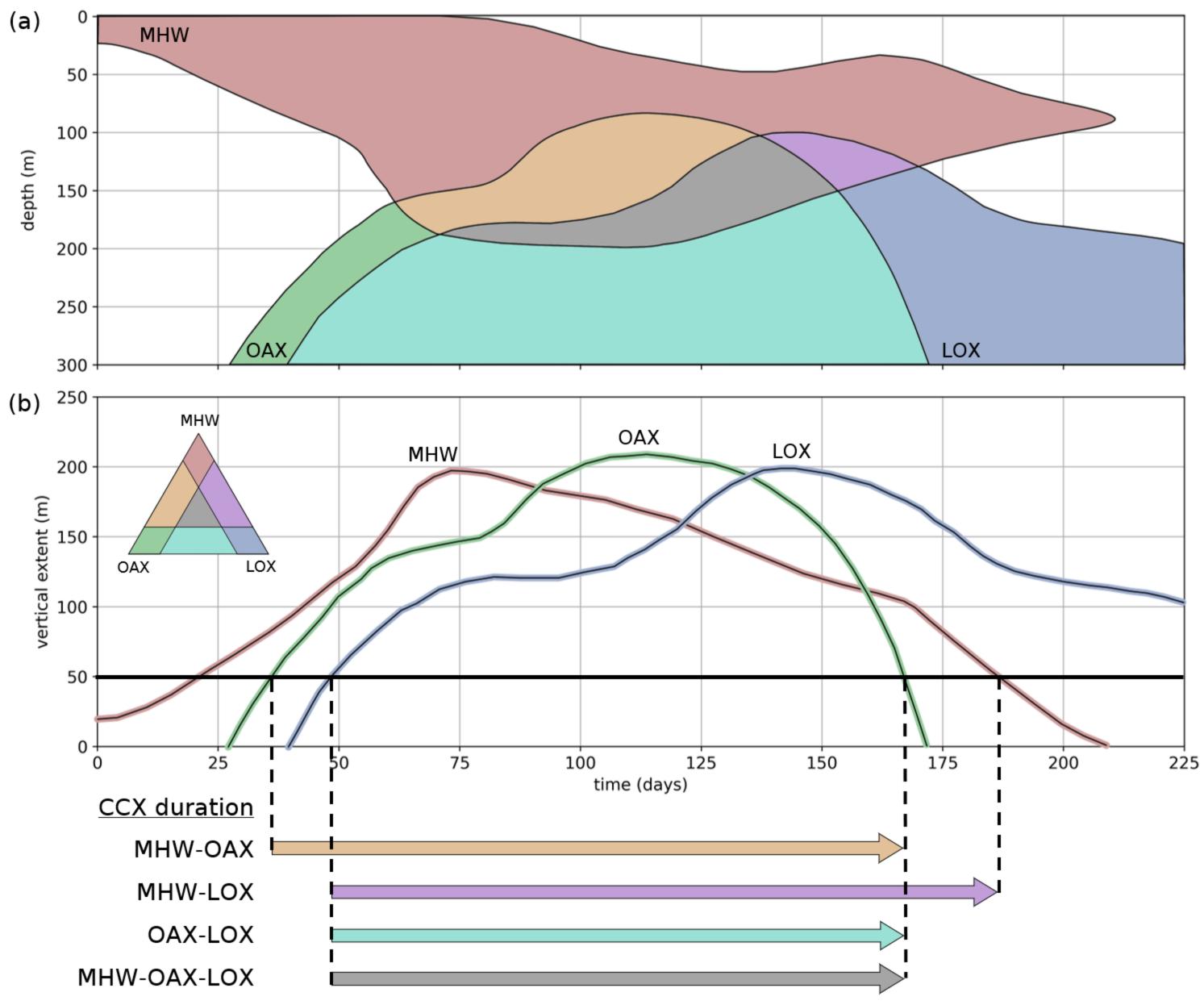
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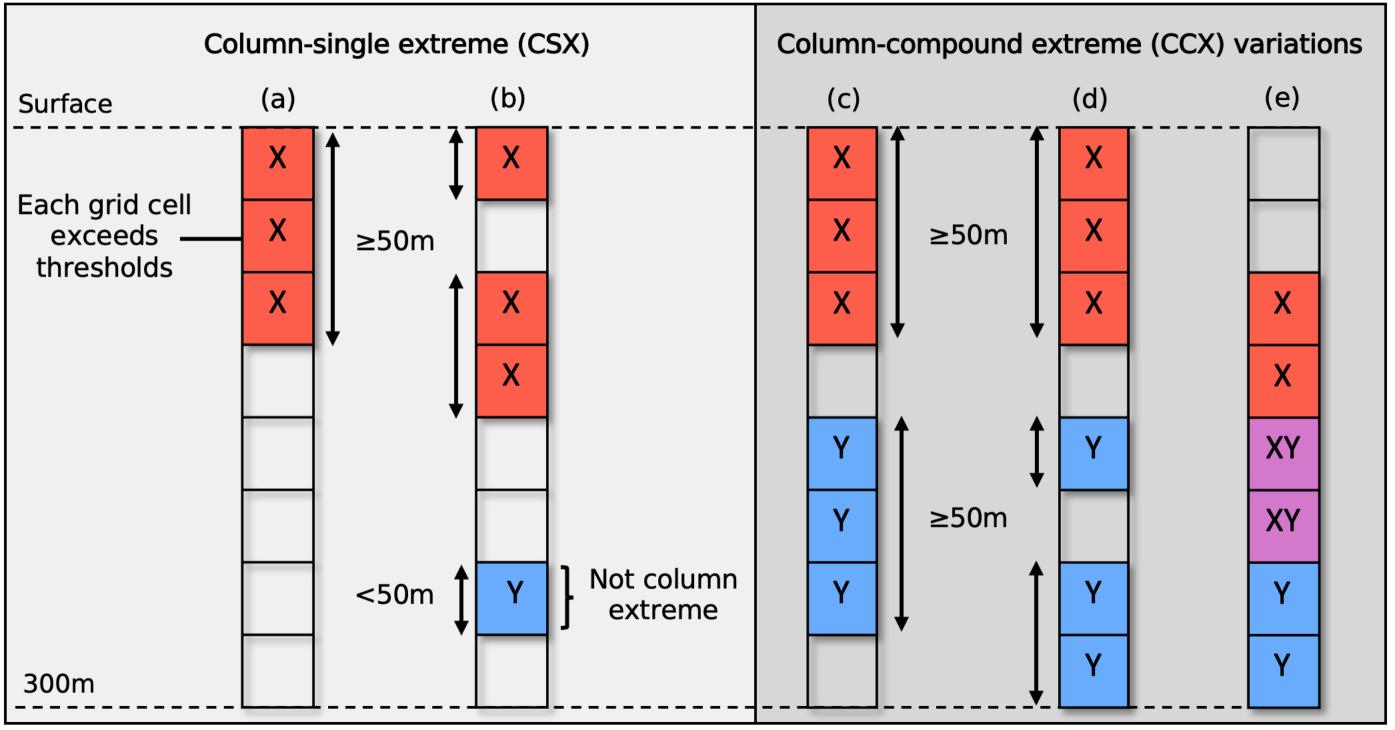
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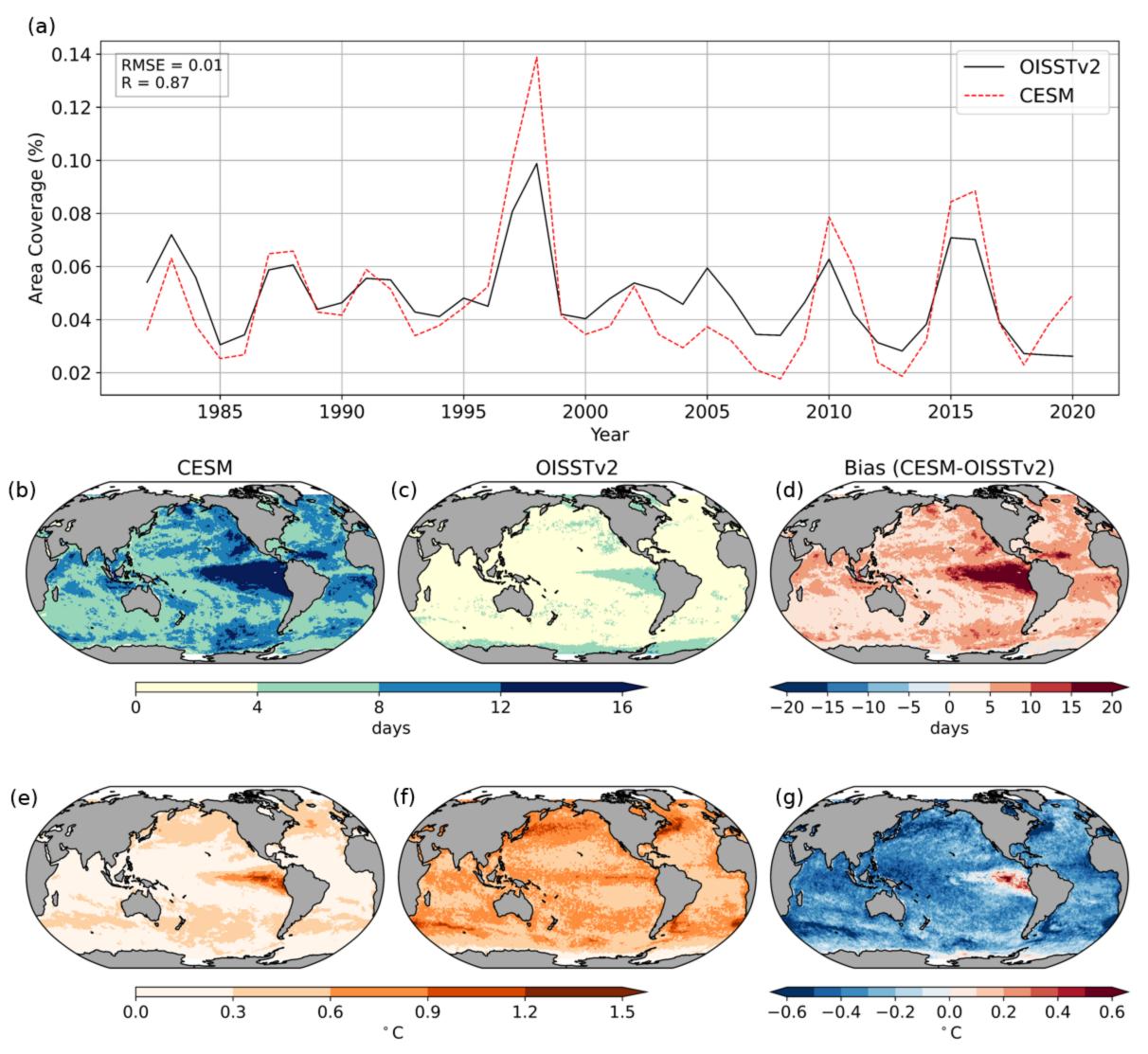
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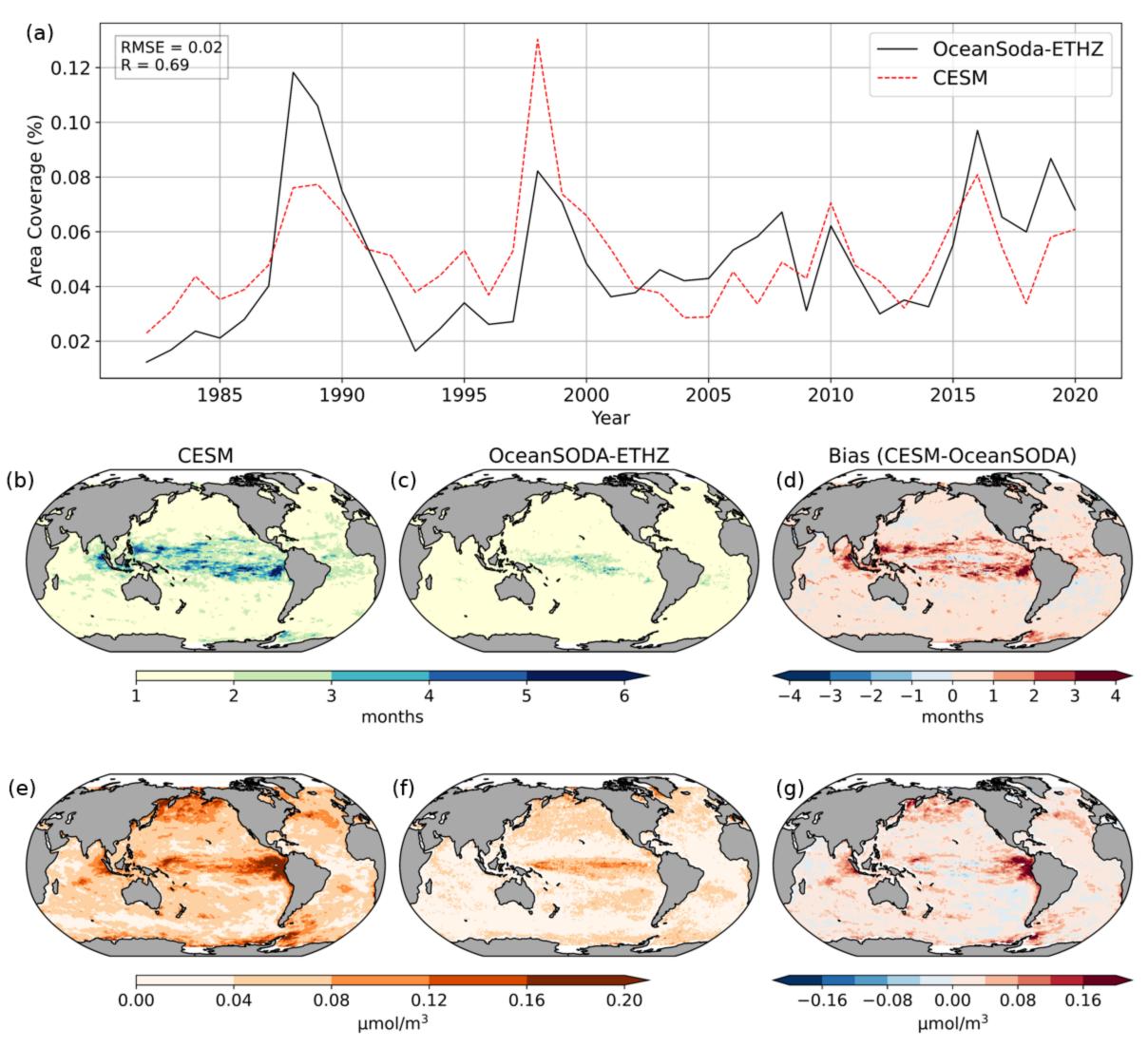
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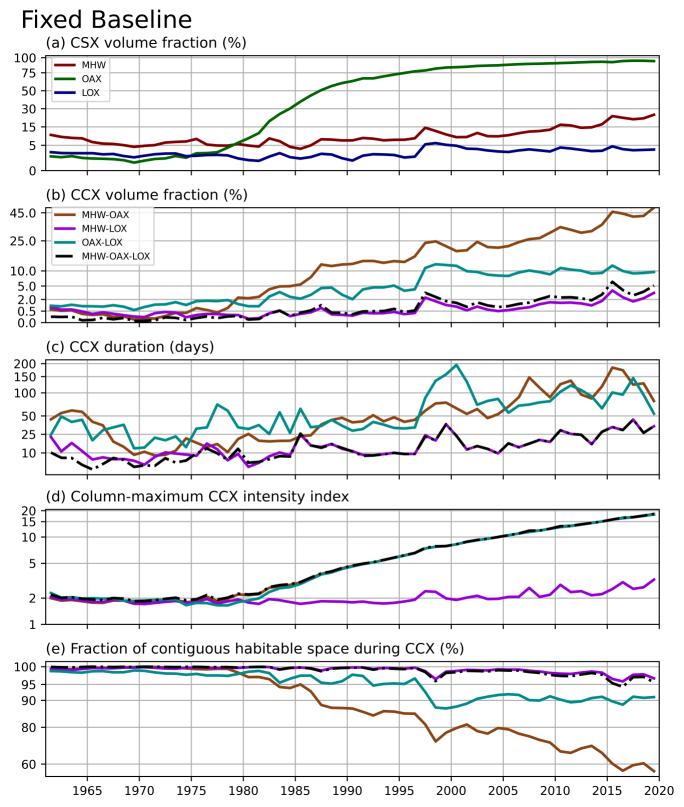
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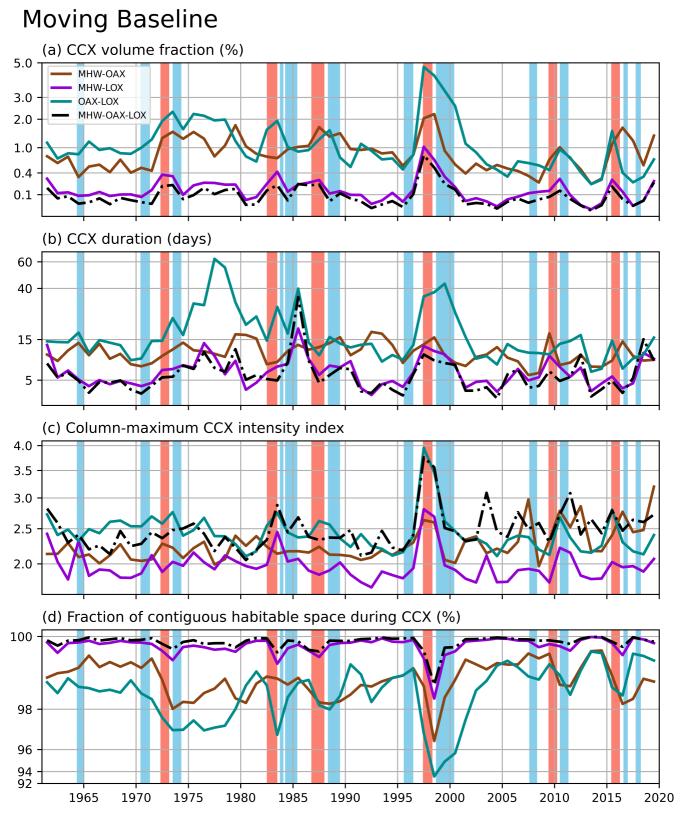


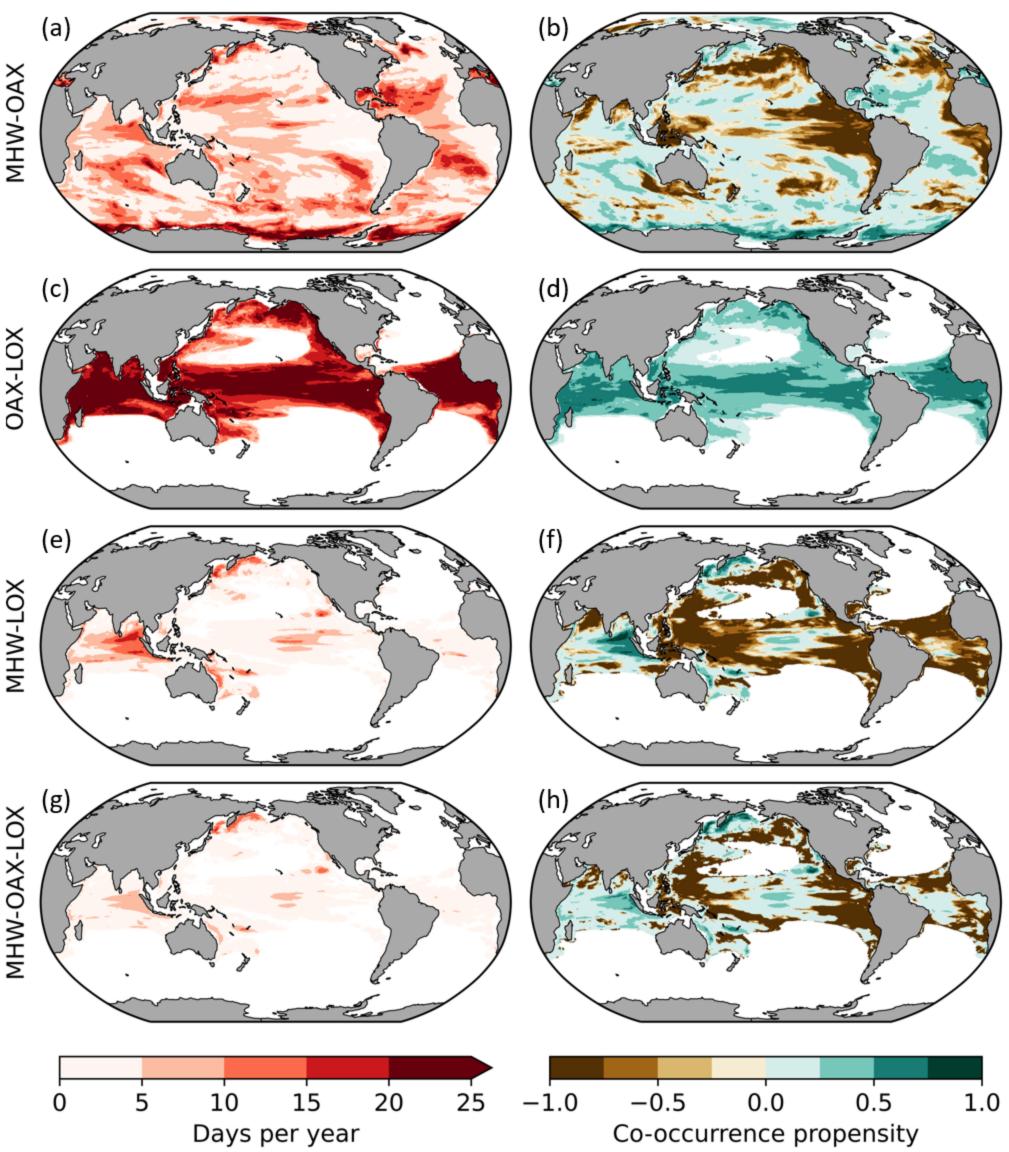




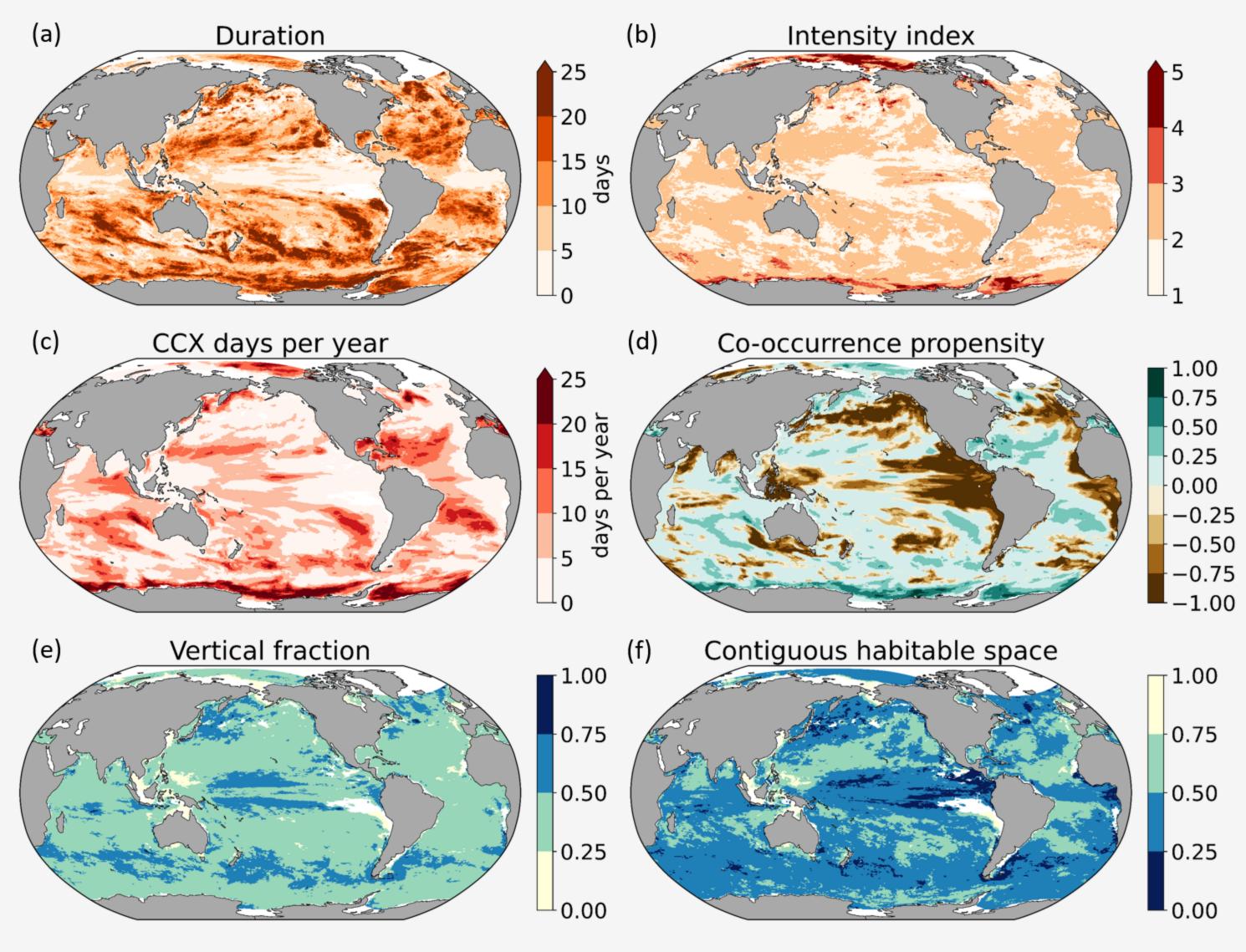




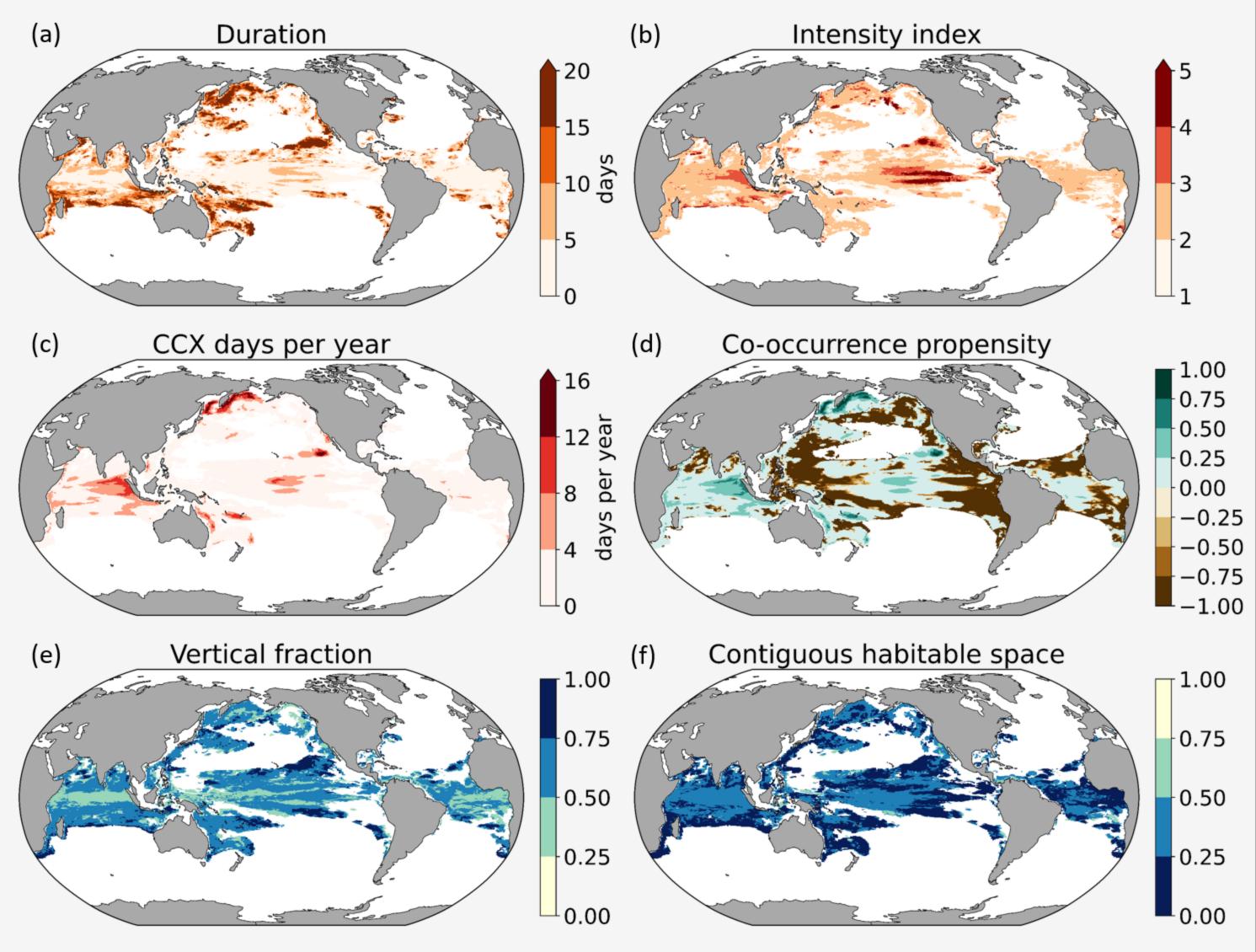


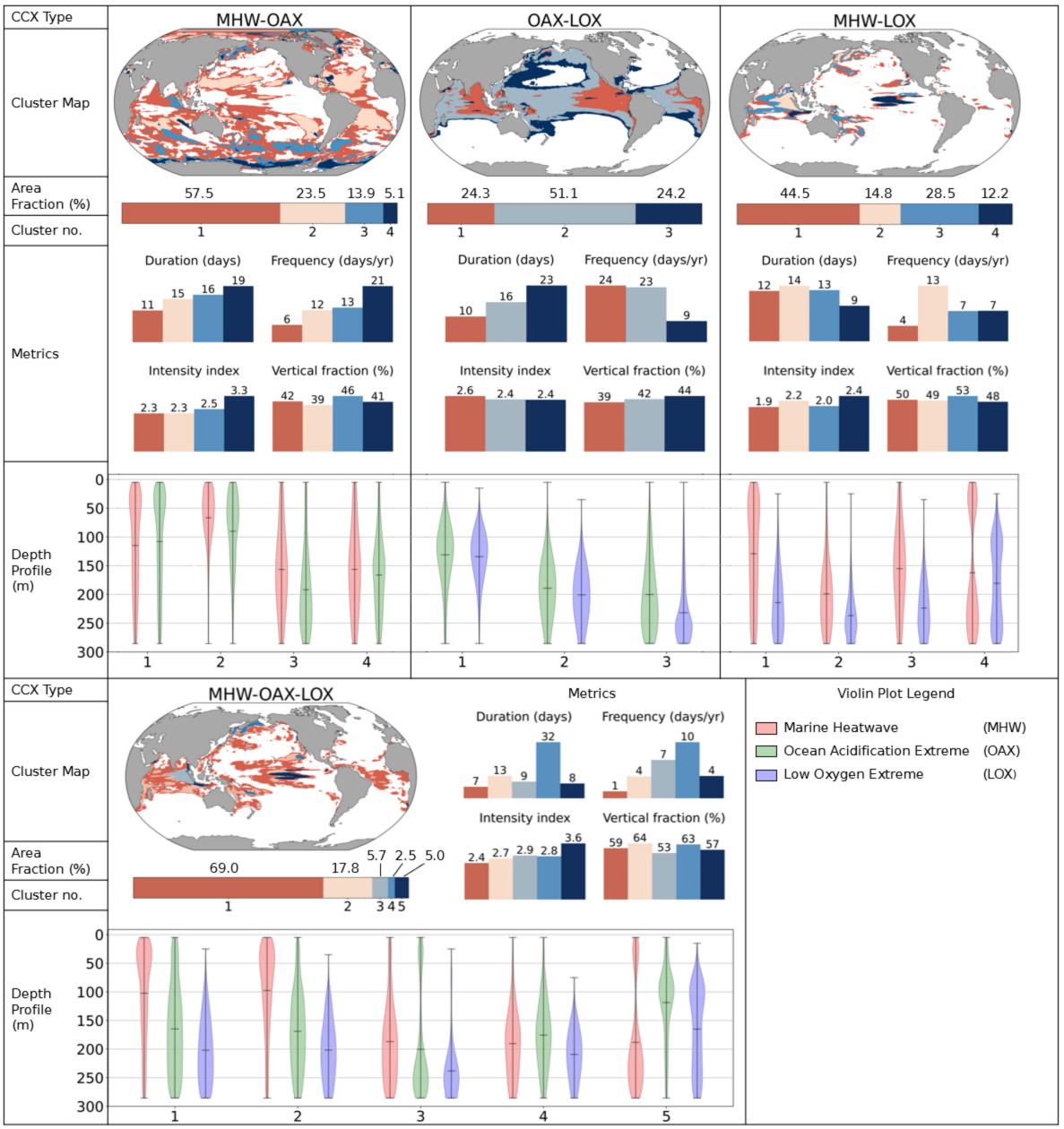


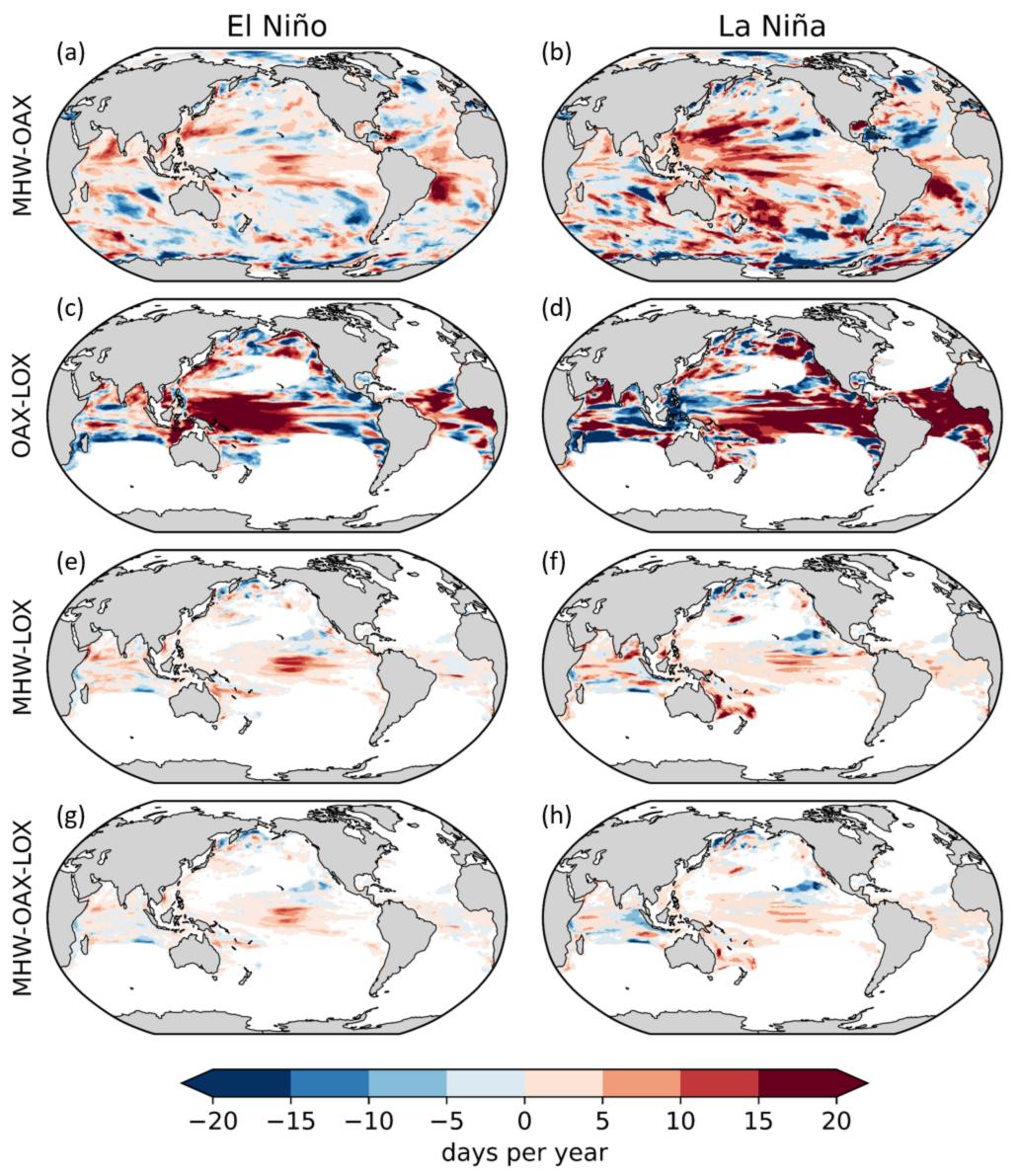
Key Metrics of the MHW-OAX Column-Compound Extreme

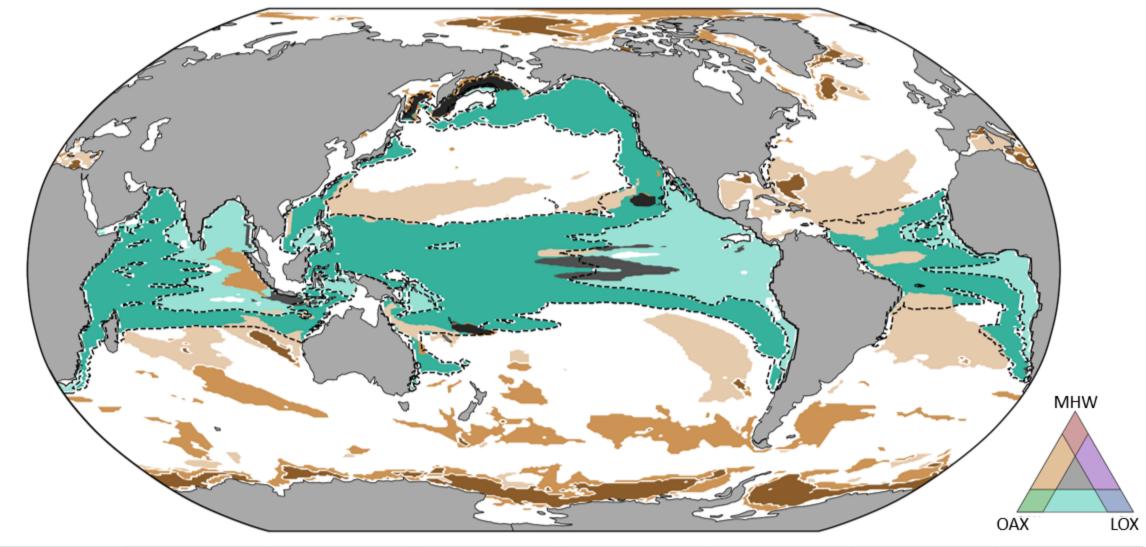


Key Metrics of the MHW-OAX-LOX Column-Compound Extreme









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Cluster	MHW-OAX 2	MHW-OAX 3	MHW-OAX 4	OAX-LOX 1	OAX-LOX 2	MHW-OAX-LOX 5	MHW-OAX-LOX 4
Associated Regions	Subtropics, Tropics	Subantarctic	Antarctic	EBUS, East tropics	West tropics, OMZs	Central Tropical Pacific	Western Subarctic Pacific
Hovmoller Schematic							
Duration (days)	15 (49)	16 (40)	19 (29)	10 (27)	16 (39)	8 (13)	32 (52)
Frequency (days per year)	12 (81)	13 (58)	21 (50)	24 (67)	23 (53)	4 (16)	10 (20)
Intensity Index	2.3 (11.5)	2.5 (9.7)	3.3 (7.8)	2.6 (5.6)	2.4 (5.9)	3.6 (5.4)	2.8 (4.5)
Vertical Extent (%)	39 (88)	46 (83)	41 (79)	39 (70)	42 (75)	57 (75)	63 (81)
ENSO (+/-) change (days)	+2/+2	0/+2	-8/-5	+3/+13	+11/+8	+5/+3	-3/-5
0 - 50 - 100 - Depth 150 - (m) 200 - 250 - 300 -	OAX	OAX	OAX OAX			MHW MHW	MHW OAX