

# Global Biogeochemical Cycles®

## RESEARCH ARTICLE

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### Key Points:

- Oxygen and inorganic carbon budgets yield organic matter (OM) export rates for the major ocean basins that range from 1 to 3 mol C/m<sup>2</sup>/yr
- Dissolved nutrients originating in the Southern Ocean can support ~70% of the global OM export
- Surface transport of dissolved nutrients support 15%–50% of export in the ocean basins with the rest supplied vertically from depth

### Supporting Information:

Supporting Information may be found in the online version of this article.

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## Organic Matter Export Rates and the Pathways of Nutrient Supply in the Ocean

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**Abstract** Multiyear estimates of organic matter (OM) export based primarily on oxygen and dissolved inorganic carbon surface layer budgets applied basin-wide for the Pacific, Atlantic, and S. Indian Oceans yield an inter-basin range from 1 to 3 mol C/m<sup>2</sup>/yr with a global mean of 2.0 mol C/m<sup>2</sup>/yr (8.5 Gt C/yr). OM export rates per area in the Pacific and Atlantic oceans are twice that in the Indian Ocean. The supply of nutrients from the Southern Ocean can potentially support ~70% of the observed OM export in the ocean based on observed surface current velocities and PO<sub>4</sub> distributions. Horizontal flux of PO<sub>4</sub> and dissolved organic phosphorous in the surface layer can support ~50%, 20%, and 15% of observed OM export in the Pacific, S. Indian and Atlantic oceans, respectively, with the remainder being supplied vertically from the subsurface. Potential utilization of unused surface PO<sub>4</sub> in the subtropical gyre yields ~0.1 mol C/m<sup>2</sup>/yr increase in OM export in the Pacific and Atlantic oceans but a ~0.8 mol C/m<sup>2</sup>/yr increase in the S. Indian ocean suggesting that stronger nutrient limitation contributes to lower export rates observed in the Indian ocean.

**Plain Language Summary** A small fraction of the organic matter (OM) produced photosynthetically by phytoplankton in the surface ocean is transferred (exported) to deeper portions of the ocean. The rate at which the OM is exported to the subsurface ocean has a significant impact on the ocean's concentrations of dissolved carbon dioxide and oxygen gases. New estimates of the OM export rate per unit area for the Atlantic, Pacific, and Indian oceans are presented based on surface layer oxygen and carbon dioxide budgets. The OM export rate is similar for the Atlantic and Pacific oceans but twice the rate in the Indian ocean. Most of the dissolved nutrients needed by phytoplankton to produce OM originate on the surface of the Southern Ocean (south of 50°S) and are transported via circulation to all the ocean basins where they are consumed by phytoplankton. Between ~15 and 50% of the nutrients are supplied via surface currents, whereas the remainder is supplied via mixing from the subsurface layers of the ocean.

## 1. Introduction

The transfer or export of organic matter (OM) produced in the surface layer of the ocean to deeper layers in the ocean can sequester carbon (CO<sub>2</sub>) from the atmosphere for decades to centuries (e.g., DeVries & Weber, 2017). The degradation of this OM in the thermocline and deep sea consumes oxygen (O<sub>2</sub>) and affects the extent of oxygen minimum zones. Despite the fundamentally important role of OM export in the ocean carbon and oxygen cycles, there is a notable scarcity of observation-based estimates of export over basin-wide and multiyear time scales. Currently, our knowledge of global patterns of OM export relies mostly on model- and satellite-based estimates, which yield global ocean export that varies threefold from ~5 to 13 Pg C/yr (e.g., Emerson, 2014; Li & Cassar, 2016). This level of uncertainty affects our ability to accurately determine the role of the biological pump in sequestering CO<sub>2</sub> in today's ocean and its impact on future atmospheric CO<sub>2</sub> levels. Furthermore, our understanding of the processes supplying the nutrients that fuel OM export is uncertain, especially for the subtropical gyres.

Regional and temporal variations of OM export in the ocean are potentially significant because the characteristics of the ocean's ecosystem that impact export vary markedly across the ocean's biomes, for example, primary production rates (PP), plankton size and elemental composition, zooplankton grazing and vertical migration rates, dissolved organic matter (DOM) production, etc. (e.g., Boyd & Trull, 2007). Models typically predict a response to climate change that yields large variations in regional export rates (±40%) but much less variation in global export (±8%) (e.g., Laufkötter et al., 2013), which implies that factors controlling OM export vary substantially on regional scales but global ocean response is more modest because changes in one region are offset by opposite changes in another region.

Our best understanding of interannual variability and long-term mean state of OM export comes from ocean time series sites where estimates of annual export determined by surface ocean O<sub>2</sub>, nutrient, CO<sub>2</sub> mass balances are 3.0 ± 1.0, 3.3 ± 1.0, 2.5 ± 0.7, and 2.3 ± 0.6 mol C/m<sup>2</sup>/d at Bermuda (BATS), Canary Islands (ESTOC), Hawaii (ALOHA), and Ocean Station Papa (OSP), respectively (Emerson, 2014; Fawcett et al., 2018; Neuer et al., 2007). Over the last few years there has been a substantial increase in annual export rates estimated based on surface layer budgets of O<sub>2</sub>, nitrate and CO<sub>2</sub> measured autonomously by floats, gliders, moorings (e.g., Arteaga et al., 2019; Billheimer et al., 2021; Bushinsky & Emerson, 2015; Fassbender et al., 2016; Yang et al., 2019) and by container ships for repeated basin-wide surveying (e.g., Ostle et al., 2015; Palevsky et al., 2016). Estimates of OM export based on sediment trap collection of sinking particles are typically substantially lower than export estimates based on surface layer budgets (Emerson, 2014) and, in part, due to the importance of DOM transport and zooplankton excretion as export pathways (e.g., Hansell & Carlson, 2001; Steinberg & Landry, 2017).

Here, new estimates of multi-year OM export for the Pacific, Atlantic and Indian ocean basins are presented based on surface layer O<sub>2</sub> and dissolved inorganic carbon (DIC) budgets. There are two goals of this work. First, to determine the spatial variability of OM export within and between each ocean basin. Second, to determine the pathways of dissolved nutrient supply that support OM export in each basin. Several features stand out. Basin-wide mean OM export in the Atlantic (2.6 ± 0.9 mol C/m<sup>2</sup>/yr) and Pacific (2.1 ± 0.8 mol C/m<sup>2</sup>/yr) are ~2-fold greater than in the S. Indian Ocean (0.9 ± 0.8 mol C/m<sup>2</sup>/yr) and together yield an ocean-wide mean of 2.0 ± 0.8 mol C/m<sup>2</sup>/yr (8.5 ± 3.4 Gt C/yr). The lowest OM export rates occur in the subtropical gyres of the S. Indian and S. Pacific oceans at ~1 mol C/m<sup>2</sup>/yr with the highest rates in the Equatorial Pacific and N. Atlantic (~3 mol C/m<sup>2</sup>/yr). Dissolved PO<sub>4</sub> originating in the surface layer of the Southern Ocean potentially supports ~70 ± 38% of global OM export north of 50°S. Surface advective flux of dissolved PO<sub>4</sub> and organic phosphorous (DOP) potentially support ~50% of OM export in the Pacific and ≤20% in the Atlantic and Indian basins, which implies that the remaining portions are a result of vertical nutrient supply. The low OM export rate observed in the S. Indian Ocean appears to be, in part, a result of stronger limitations on dissolved P utilization.

## 2. Methods

Estimates of OM export based on surface layer budgets (e.g., O<sub>2</sub>, CO<sub>2</sub>, NO<sub>3</sub>, PO<sub>4</sub>) have the advantages of integrating over annual cycles and accounting for all pathways of OM export (e.g., particle sinking, transport of DOM, zooplankton vertical migration and excretion, etc.). The depth to which one integrates the surface layer budget is important as the degradation of particles and DOM decrease the OM export flux with increasing depth (Palevsky & Doney, 2018). A useful horizon for integration is the depth of the winter mixed layer because only OM export that passes this depth horizon removes CO<sub>2</sub> and nutrients from the surface ocean over the annual cycle. Several studies have shown that in the subpolar ocean, a significant portion (~40–90%) of the OM exported during the summer is degraded to dissolved nutrients which are returned to the mixed layer during winter (Kortzinger et al., 2008; Palevsky & Quay, 2017). Here, surface layer budgets of O<sub>2</sub> and DIC are used to estimate OM export as these methods have been used in the past and have been shown to yield consistent estimates of annual OM export (e.g., Quay et al., 2020; Yang et al., 2019). In the equatorial ocean, a surface PO<sub>4</sub> budget is used to estimate OM export. Here, budget-based export represents the OM that leaves the surface layer which at steady-state should be equivalent to net community respiration (gross production minus total respiration). Although most of the OM will be exported to depth, some may be exported as DOM horizontally to other regions of the surface ocean near the equator (Primeau et al., 2013).

### 2.1. O<sub>2</sub> Budget Approach to Estimate OM Export

The OM export rate (mol C/m<sup>2</sup>/yr) can be estimated from a surface layer O<sub>2</sub> budget, which is expressed as follows:

$$Z \cdot dO_2/dt = Gas_o + Export_o + Supply_o \quad (1)$$

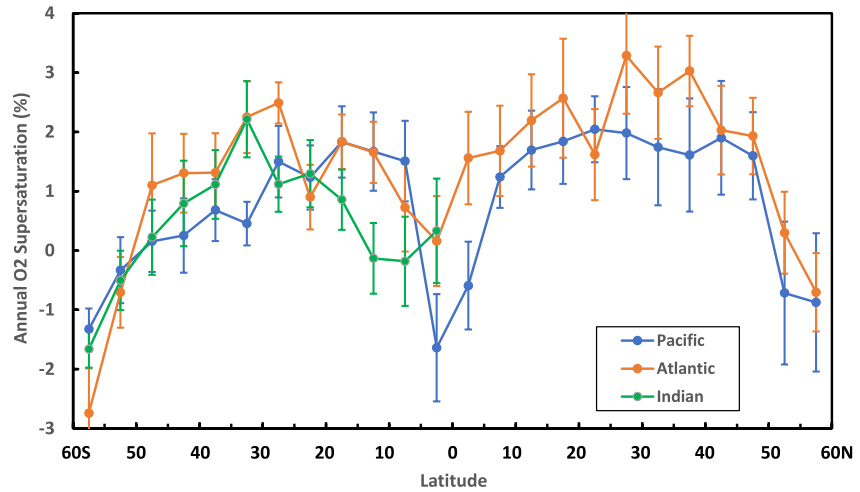
Where  $Z$  equals the depth of the surface layer,  $t$  is time,  $Gas_o$  represents the net air-sea flux of O<sub>2</sub>, which depends on the O<sub>2</sub> supersaturation (i.e., measured O<sub>2</sub> concentration minus the O<sub>2</sub> concentration expected in equilibrium with atmosphere) and gas transfer velocity,  $Export_o$  represents the O<sub>2</sub> produced photosynthetically by exported OM and  $Supply_o$  represents the input or loss of dissolved O<sub>2</sub> resulting from advection, mixing, eddies, etc. The Gas and Export terms usually dominate the O<sub>2</sub> budget although, as discussed below, in regions of significant

upwelling (equatorial ocean) and/or deep winter time mixing (Southern Ocean, subpolar N. Atlantic) the supply of  $O_2$  undersaturated water from below can dominate. The  $O_2$  budget was solved at monthly intervals because both Gas and Supply terms can vary significantly over the annual cycle (e.g., Bushinsky & Emerson, 2015). The  $O_2$  gas transfer rate, including bubble injection, was determined following the procedure of Yang et al. (2017). Surface  $O_2$  supersaturation was determined from the World Ocean Atlas (WOA) monthly climatological data compilation (mean, standard error of mean, number of observations) at  $5^\circ$  latitude by  $5^\circ$  longitude resolution (Boyer et al., 2018). A comparison of the annual cycle of surface  $O_2$  supersaturation determined from WOA data to a decade long average cycle measured at ALOHA, OSP, and BATS shows good agreement at OSP and BATS although with higher summertime  $O_2$  saturation at ALOHA which also occurs using the GOSHIP-based GLODAP  $O_2$  data set (Figure S1 in Supporting Information S1).

The WOA-based  $O_2$  supersaturation data were averaged zonally across the width of the basin with each  $5^\circ$  latitude band containing an average of 1,300, 1,600, and 700 measurements for the Pacific, Atlantic and Indian oceans ( $\sim 60$ – $130$  per month). To calculate OM export from the  $O_2$  supersaturation data, it is assumed that any long-term time change in  $O_2$  concentration and net horizontal  $O_2$  transport for each basin-wide  $5^\circ$  latitude band integrated over the annual cycle are negligible relative to the air-sea  $O_2$  flux based on this climatological data set. This assumption has been used previously when OM export was determined from  $O_2$  budget based on long-term  $O_2$  data collected during the CARINA program in N. Atlantic (Quay et al., 2020). At Stn ALOHA, where a monthly high-quality  $O_2$  measurement record exists between 1990 and 2020, the measured surface  $O_2$  concentrations have decreased by only  $0.03 \pm 0.03 \mu\text{mol kg}^{-1}$  per year (equivalent to  $-0.003 \text{ mol } O_2/\text{m}^2/\text{yr}$ ). Because the  $O_2$  concentration typically decreases with depth below the winter mixed layer, vertical mixing yields a net  $O_2$  loss from the surface layer over the annual cycle. Yang et al. (2017) determined the magnitude of this flux by estimating the turbulent mixing rate (Kz) and measured  $O_2$  gradients from ARGO floats and found that accounting for the  $O_2$  flux out the base of the mixed layer increased the estimated export rate by  $\sim 10\%$ . Here, this vertical  $O_2$  flux was estimated using the Kz values determined by Yang et al. and the  $O_2$  depth gradient from the WOA data base, which yielded a downward  $O_2$  flux that increased the estimated export rate by 2%, 6%, and 14% for the Indian, Atlantic and Pacific basins, respectively. The net organic carbon export rate equals the sum of the air-sea and vertical diffusive  $O_2$  fluxes divided by the (O/C) of the exported OM, which is assumed at 1.45 (Hedges et al., 2002).

The error in OM export estimated from  $O_2$  budget was based on the errors in the mean  $O_2$  supersaturation and air-sea  $O_2$  gas transfer rate. The standard errors in the mean surface  $O_2$  saturation at  $5^\circ \times 5^\circ$  grid have been compiled by WOA. The error in the diffusive  $O_2$  gas transfer rate is assumed to be  $\pm 25\%$  and error in the bubble transfer rates is assumed to be  $\pm 10\%$  and the error in the vertical  $O_2$  flux at the base of the mixed layer was assumed to be  $\pm 50\%$  following Yang et al. (2017). The overall uncertainty in the  $O_2$  budget-based export was estimated for each  $5^\circ$  latitude band zonally averaged across each basin, which yielded a mean uncertainty of  $53 \pm 14\%$  which agrees with the error of  $\pm 50\%$  reported by Yang et al. (2017). As a test of the  $O_2$  budget approach, estimates of OM export based on the measured annual cycles in surface  $O_2$  supersaturation at ALOHA, OSP, and BATS (Figure S1 in Supporting Information S1) were determined at  $2.0 \pm 1.1$ ,  $2.7 \pm 1.1$ , and  $2.7 \pm 1.2 \text{ mol C}/\text{m}^2/\text{yr}$ , respectively, which all fall within the range of previous estimates for these three sites, presented above.

There are some clear regional patterns in the annual mean  $O_2$  supersaturation in the surface layer (Figure 1). The highest  $O_2$  supersaturations are found in the N. Atlantic and lowest  $O_2$  supersaturations are found in the Southern Ocean (south of  $55^\circ\text{S}$ ).  $O_2$  supersaturation in the northern basins of the Atlantic and Pacific oceans is slightly higher than in the southern basins. There is an  $O_2$  supersaturation minimum near the equator, which yields negative supersaturation values in the Pacific but not in the Atlantic and Indian oceans. Negative  $O_2$  supersaturation in the surface layer occurs when either in situ rates of community respiration exceed primary production ( $\text{NCP} < 0$ ) or when the rate of subsurface  $O_2$  depleted waters being upwelled or mixed into the surface layer exceeds the rate of  $O_2$  production from NCP. There is a clear seasonal cycle in surface  $O_2$  saturation at polar latitudes ( $>50^\circ$ ) with summertime maximum up to 6% and wintertime minimum at negative supersaturations down to  $-6\%$  (Figure S2 in Supporting Information S1). As a result, OM export rates based on the summertime drawdown of DIC,  $\text{NO}_3$ , or  $\text{PO}_4$  can significantly overestimate the annual OM export rate in regions with deep winter mixed layers (e.g., Kortzinger et al., 2008; Palevsky & Quay, 2017). Similarly, near the equator upwelling of  $O_2$  depleted subsurface water lowers surface  $O_2$  supersaturation (Figure 1; Figure S2 in Supporting Information S1). Thus, in regions poleward of  $\sim 50^\circ$  or within  $5^\circ$  of the equator where the input of subsurface  $O_2$  depleted water is significant relative to air-sea  $O_2$  exchange, the  $O_2$  budget approach was not used to estimate annual OM export.



**Figure 1.** Annual mean surface O<sub>2</sub> supersaturation (observed saturation (%)—100) averaged zonally across the basin and over 5° latitude bands based on 2018 World Ocean Atlas (WOA) monthly data compilation. Error bars represent the average standard error of O<sub>2</sub> saturation compiled by WOA.

## 2.2. DIC + DIC<sup>13</sup> Budget Approach to Estimate OM Export

A combination of surface layer DIC and DIC<sup>13</sup> budgets has been used at many locations to estimate the OM export rate (e.g., Gruber et al., 2002; Quay et al., 2009; Yang et al., 2019; Zhang & Quay, 1997). The DIC and DIC<sup>13</sup> budget expressions are similar to those for the O<sub>2</sub> budget as follows:

$$Z^* dDIC/dt = Gas_c - Export_c + Supply_c \quad (2)$$

$$Z^* d [DIC^*(^{13}C/^{12}C)]/dt = Gas_c^*(^{13}C/^{12}C)_{gas} - Export_c^*(^{13}C/^{12}C)_{exp} + Supply_c^*(^{13}C/^{12}C)_{supp} \quad (3)$$

$Gas_c$  represents the air-sea flux of CO<sub>2</sub>,  $Export_c$  represents the organic carbon export rate and  $Supply_c$  represents the input or loss of DIC resulting from advection, mixing, eddies etc.  $(^{13}C/^{12}C)_{gas}$  represents the ratio of the air-sea <sup>13</sup>CO<sub>2</sub> to CO<sub>2</sub> gas flux,  $(^{13}C/^{12}C)_{exp}$  represents the <sup>13</sup>C/<sup>12</sup>C of OM being exported and  $(^{13}C/^{12}C)_{supp}$  represents the ratio of the DIC<sup>13</sup>/DIC being supplied by physical processes.  $Gas_c$  is estimated from climatological surface ΔpCO<sub>2</sub> observations by Takahashi et al. (2009) and CO<sub>2</sub> gas transfer rates (Wanninkhof, 2014) estimated from CCMP winds (Atlas et al., 2011).  $(^{13}C/^{12}C)_{gas}$  depends on the air-sea gradients of dissolved CO<sub>2</sub> and the <sup>13</sup>C/<sup>12</sup>C of the dissolved CO<sub>2</sub> (Quay et al., 2009).  $(^{13}C/^{12}C)_{exp}$  is estimated from the compilation of <sup>13</sup>C/<sup>12</sup>C measurement of particles in the surface ocean (Goericke & Fry, 1994).  $(^{13}C/^{12}C)_{supp}$  represents the ratio of depth gradients of DIC and DIC<sup>13</sup> (i.e., DIC<sup>13</sup> = DIC\*(<sup>13</sup>C/<sup>12</sup>C)<sub>DIC</sub>) in the upper 300 m of the water column and the ratio of surface layer horizontal gradients of DIC and DIC<sup>13</sup> determined by regression assuming that the supply of DIC is evenly divided (50 ± 25%) between horizontal surface transport and vertical mixing, as discussed below. The GLODAP data compilation of DIC and <sup>13</sup>C/<sup>12</sup>C of DIC observations (Key et al., 2015) is used to determine the  $(^{13}C/^{12}C)_{gas}$  and  $(^{13}C/^{12}C)_{supp}$  with data being averaged zonally across the basin and over 5° latitude bins.

Combining the DIC and DIC<sup>13</sup> budgets and estimating  $Gas_c$  and  $(^{13}C/^{12}C)_{gas}$  from ocean-wide data sets of ΔpCO<sub>2</sub> and <sup>13</sup>C/<sup>12</sup>C of DIC yields an estimate of the OM export rate using the following expression:

$$OM \text{ export} = \frac{[Gas_c^*(^{13}C/^{12}C)_{gas} - (^{13}C/^{12}C)_{supp}] - Z^* dDIC^{13}/dt + Z^* dDIC/dt*(^{13}C/^{12}C)_{supp}}{[(^{13}C/^{12}C)_{exp} - (^{13}C/^{12}C)_{supp}]} \quad (4)$$

The  $dDIC/dt$  and  $dDIC^{13}/dt$  terms in Equation 4 represent the long term (decadal) changes resulting from anthropogenic CO<sub>2</sub> uptake (e.g., Quay et al., 2017). A recent comparison of OM export rates determined from the O<sub>2</sub> budget and DIC + DIC<sup>13</sup> budget approaches showed good agreement at subtropical sites in the Atlantic, Pacific and Indian Oceans (Yang et al., 2019). DIC + DIC<sup>13</sup> budget approach has the least error in calculated export term in regions where air-sea CO<sub>2</sub> gas exchange yields a decrease in the <sup>13</sup>C/<sup>12</sup>C of DIC that is offset by the increase in the <sup>13</sup>C/<sup>12</sup>C of DIC resulting from the export of <sup>13</sup>C-depleted organic carbon. This situation occurs between

~50°S and 50°N (Figure S3 in Supporting Information S1). In contrast, poleward of ~50° air-sea CO<sub>2</sub> exchange increases the <sup>13</sup>C/<sup>12</sup>C-DIC (Figure S3 in Supporting Information S1) and under these conditions the supply of <sup>13</sup>C depleted DIC by physical processes offsets the <sup>13</sup>C/<sup>12</sup>C increases due to both air-sea CO<sub>2</sub> exchange and organic carbon export, which causes significant errors (≥100%) in the calculated OC export. As a result, DIC + DIC<sup>13</sup> based estimates of OM export poleward of 50° are excluded from this analysis. Near the equator, the upwelling of subsurface water with high pCO<sub>2</sub> and <sup>13</sup>C depleted DIC can dominate over air-sea CO<sub>2</sub> and <sup>13</sup>CO<sub>2</sub> flux (Quay et al., 2009); thus, DIC + DIC<sup>13</sup> budget-based estimates of OM export equatorward of 5° are excluded from this analysis. The consistency of the latitudinal pattern in the air-sea <sup>13</sup>CO<sub>2</sub> disequilibrium for the Pacific, Atlantic and Indian oceans (Figure S3 in Supporting Information S1) highlights an important characteristic of the DIC + DIC<sup>13</sup> budget approach, that is, the <sup>13</sup>C/<sup>12</sup>C of DIC in the surface layer in regions of the ocean equatorward of 50° shows little seasonal and zonal variability. As a result, relatively few measurements yield an accurate estimate of the surface <sup>13</sup>C/<sup>12</sup>C of DIC. Because the equilibration time of the <sup>13</sup>C/<sup>12</sup>C of DIC to air-sea CO<sub>2</sub> exchange is slow (~10 years) and the (<sup>13</sup>C/<sup>12</sup>C)<sub>supp</sub> represents long-term (multiyear) conditions, the OM export rate estimated from the DIC + DIC<sup>13</sup> budget approach represents a long-term (climatological) mean. Note that the DIC + DIC<sup>13</sup> approach yields an estimate of the DIC supply rate in addition to the export rate, which differs from the O<sub>2</sub> budget approach (Quay et al., 2009).

The error in the DIC + DIC<sup>13</sup> budget-based estimate of OM export was determined using a Monte Carlo approach. The overall error depends primarily on the uncertainties in the air-sea CO<sub>2</sub> gas transfer rate, (<sup>13</sup>C/<sup>12</sup>C)<sub>supp</sub> and fraction of DIC supplied vertically and horizontally (50 ± 25%). Errors were determined over 5° latitude bands averaged across the width of each basin and yielded an average error of 53% for all basins.

### 2.3. PO<sub>4</sub> Budget Approach to Estimate OM Export

To estimate the OM export rate in the equatorial regions where there are issues with the O<sub>2</sub> and DIC + DIC<sup>13</sup> budget approaches, as discussed above, a PO<sub>4</sub> budget approach is utilized. A surface layer PO<sub>4</sub> budget applied in the equatorial region takes advantage of the significant difference between the concentrations of dissolved PO<sub>4</sub> in upwelling water and surface water and assumes that upwelling of PO<sub>4</sub> dominates over labile DOP as the dissolved P source. The poleward volume divergence of water in the surface mixed layer is assumed to balance upwelling. In addition to the upwelling term, which dominates the surface PO<sub>4</sub> budget, poleward PO<sub>4</sub> resulting from eddy mixing were included in the PO<sub>4</sub> budget, as discussed below. OM export is determined using the following expression:

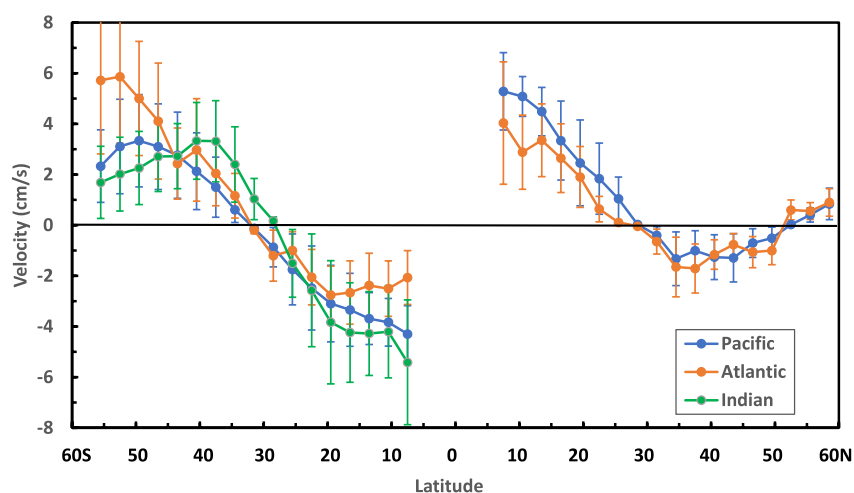
$$\text{OM export} = [\text{Meridional Volume Transport}^*(\text{PO}_{4z} - \text{PO}_{4o}) - A_x * \text{Eddy Mixing}^*(\Delta\text{PO}_{4o}/\Delta y)] / \text{Surface Area}^*(C/P)_{\text{exp}} \quad (5)$$

Where  $z$  is upwelling depth,  $o$  is surface,  $A_x$  represents the cross-section area (basin width\*surface layer depth),  $\Delta y$  is meridional distance, and  $(C/P)_{\text{exp}}$  represents the  $C/P$  of exported OM, which is assumed to be 125 based on Martiny et al. (2013) compilation of suspended particles in the equatorial ocean. The poleward boundaries were set at 8°S and 8°N to avoid the impact of the off equator downwelling and equatorward convergence that occurs between ~5°S and 5°N (Johnson et al., 2001). Poleward volume transport at 8°S and 8°N were estimated from a climatological compilation of surface drifter velocities (Laurindo et al., 2017) integrated across the width of the basin (Figure 2) and climatological annually averaged mixed layer depth from ARGO (Holte et al., 2017). A horizontal surface eddy diffusivity of 10<sup>4</sup> m<sup>2</sup>/s in the equatorial region (Roach et al., 2018) was assumed to estimate eddy mixing based surface PO<sub>4</sub> flux, which was less than 10% of the upwelling PO<sub>4</sub> flux in all basins. PO<sub>4</sub> concentrations were based on GLODAP 2016 gridded data compilation (1972–2013) at 1° × 1° resolution (Lauvset et al., 2016). The PO<sub>4</sub> concentrations in the upwelled water were taken at the depth of maximum upwelling (~60–70 m) (Johnson et al., 2001), which approximates the winter mixed layer depth at the equator. The equatorial PO<sub>4</sub> budgets presented here represent basin-wide averages while acknowledging that there may be significant zonal variations in OM export along the equator, especially in the Pacific where there is an east-west gradient in surface PO<sub>4</sub> concentrations that is not observed in the equatorial Atlantic and Indian basins.

### 2.4. Estimating Surface Supply Rates of PO<sub>4</sub> and DOP

To determine the importance of surface nutrient supply to support OM export, the surface advective PO<sub>4</sub> and DOP fluxes were determined based on surface velocities derived from drifter observations, which are considered





**Figure 2.** Meridional surface velocities (+ = northward) and standard errors based on climatological surface drifters (Laurindo et al., 2017) averaged zonally across the basin and over 3° latitude bands.

to provide accurate estimates of surface velocities on global scales (Laurindo et al., 2017) and compilations of surface PO<sub>4</sub> concentrations from GLODAP (Lauvset et al., 2016) and DOP (Knapp et al., 2021). In the subtropical gyres where surface PO<sub>4</sub> concentrations can reach low levels (<0.01 μM), the PO<sub>4</sub> data set of Martiny et al. (2019) based on high sensitivity detection methods is used. In subtropical regions where low-level PO<sub>4</sub> data are unavailable PO<sub>4</sub> is estimated from the GLODAP PO<sub>4</sub> data, which have been corrected for the offset from low-level PO<sub>4</sub> data of Martiny et al. (2019) (Figure S4 in Supporting Information S1).

The climatological surface advective PO<sub>4</sub> and DOP fluxes were determined using the observed global distributions of surface velocities from drifters ( $V$ ) and mean annual mixed layer depth ( $Z$ ) determined from ARGO floats.

$$\text{PO}_4 \text{ (or DOP) flux} = V * Z * \text{PO}_4 \text{ (or DOP) concentration} \quad (6)$$

Meridional PO<sub>4</sub> and DOP fluxes were averaged over 10° longitude × 3° latitude intervals and integrated zonally across the basin, excluding the coastal zones. Zonal PO<sub>4</sub> and DOP fluxes were determined at the eastern and western basin boundaries at 3° latitude intervals. In the Indian Ocean, there was insufficient DOP data (Knapp et al., 2021) to estimate surface advective transport of DOP and advective surface PO<sub>4</sub> transport was not determined north of the equator because of the dominance of seasonal variations in monsoon-driven circulation and biological productivity. The PO<sub>4</sub> and DOP flux resulting from eddy mixing was added to the advective PO<sub>4</sub> transport in regions of high eddy activity, that is, at the northern boundary of the Antarctic Circumpolar Current (~50°S) and near the equator (8°S and N), assuming a horizontal eddy diffusivity of 10<sup>4</sup> m<sup>2</sup>/s (Roach et al., 2018). Surface DOP transport was negligible compared to PO<sub>4</sub> transport poleward of ~40° but significant within the equatorial and subtropical regions. Uncertainties in the surface advective PO<sub>4</sub> and DOP transport rates are primarily a result of errors in the drifter velocities, which have been compiled by Laurindo et al. (2017).

To determine the OM export (mol C/m<sup>2</sup>/yr) potentially supported by advective PO<sub>4</sub> and DOP fluxes, the C/P of exported OM was estimated using the empirical relationship between C/P of particles and surface PO<sub>4</sub> concentrations (Tanioka & Matsumoto, 2017). This approach yielded a range in C/P from ~70 to 270 with a mean global C/P of 135 (50°S to 50°N), which is significantly greater than the Redfield ratio of 106 (Redfield, 1958) but similar to the global mean C/P of 146 observed for suspended particles (Martiny et al., 2013). Errors in the fraction of export supported by surface advective PO<sub>4</sub> and DOP flux account for errors in the nutrient flux and observed export.

### 3. Results

#### 3.1. OM Export in the Pacific Ocean

O<sub>2</sub> budget-based estimates of OM export for the Pacific Ocean range with latitudes from 0.5 to 3 mols C/m<sup>2</sup>/yr and yield a basin-wide (50°S to 50°N) mean rate of 2.4 ± 0.9 mol C/m<sup>2</sup>/yr (Table 1, Figure 3). Uncertainty

**Table 1**

*Estimates of Mean ( $\pm 1$  SD) Organic Matter Export Rate (mol C/m<sup>2</sup>/yr) at the Winter Mixed Layer Depth Based on Surface O<sub>2</sub> and DIC + DIC<sup>13</sup> Budgets (This Work) and Current and Prior Estimates Based on O<sub>2</sub>, O<sub>2</sub>/Ar, DIC, NO<sub>3</sub>, and PO<sub>4</sub> Budgets and Oxygen Utilization Rates Between 50°S and 50°N (See Text and Figure 3)*

Ocean basin	OM export (O <sub>2</sub> budget)	OM export (DIC <sup>13</sup> budget)	OM export (all estimates)	Number of observations	Export fraction supplied by surface nutrients
N. Pacific	2.7 ± 0.6	2.0 ± 0.5	2.5 ± 0.7	39	0.49 ± 0.19
S. Pacific	1.9 ± 1.0	1.3 ± 0.4	1.9 ± 0.8	23	0.48 ± 0.27
Pacific	2.4 ± 0.9	1.6 ± 0.6	2.1 ± 0.8	62	0.48 ± 0.23
N. Atlantic	3.5 ± 1.0	2.1 ± 0.7	2.8 ± 0.9	34	0.20 ± 0.16
S. Atlantic	2.2 ± 1.0	2.4 ± 0.7	2.3 ± 0.9	20	0.14 ± 0.15
Atlantic	2.8 ± 1.2	2.2 ± 0.8	2.6 ± 0.9	54	0.17 ± 0.16
S. Indian	0.7 ± 1.3	0.4 ± 0.1	0.9 ± 0.8	18	0.20 ± 0.26
Global Ocean	2.2 ± 1.0	1.5 ± 0.5	2.0 ± 0.8	134	0.35 ± 0.22

*Note.* Final column lists fraction of observed export that is supported by PO<sub>4</sub> and DOP transport via surface advection (see text).

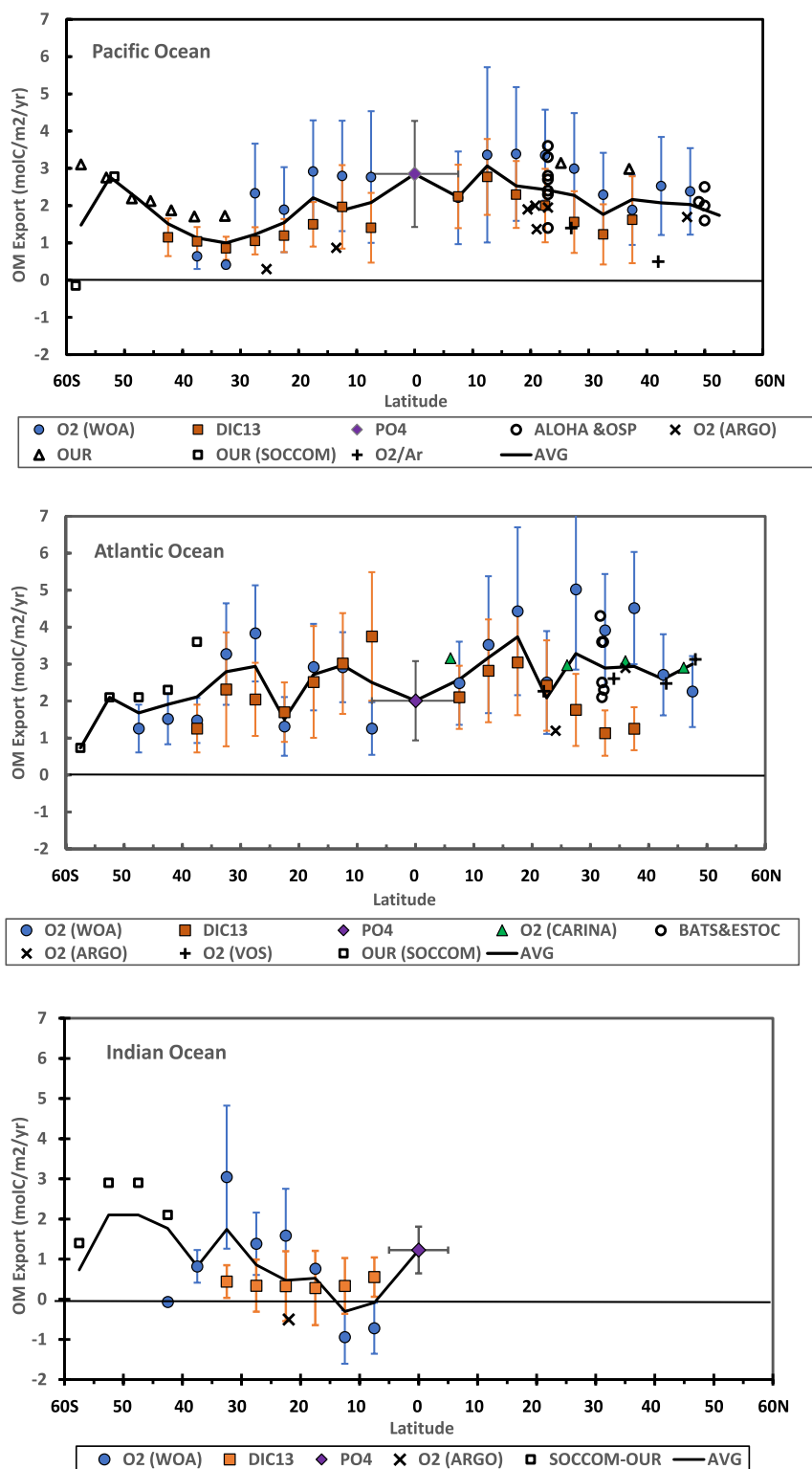
in mean values represent  $\pm 1$  SD of estimates unless otherwise specified. DIC<sup>13</sup> budget-based estimates of OM export range from 1 to 3 mol C/m<sup>2</sup>/yr with a basin-wide mean of  $1.6 \pm 0.6$  mol C/m<sup>2</sup>/yr. The PO<sub>4</sub> based estimate of OM export in the equatorial Pacific was  $2.9 \pm 0.9$  mol C/m<sup>2</sup>/yr. Previous budget-based estimates of OM export include mean rates of  $2.6 \pm 0.7$  mol C/m<sup>2</sup>/yr at Sta ALOHA (23°N 158°W) and  $3.3 \pm 1.8$  mol C/m<sup>2</sup>/yr for the equatorial Pacific (Emerson, 2014),  $1.8 \pm 0.6$  at OSP (50°N, 145°W) (Emerson, 2014; Fassbender et al., 2016; Haskell et al., 2020),  $1.6 \pm 1.1$  mol C/m<sup>2</sup>/yr for subpolar N. Pacific (Palevsky et al., 2016),  $1.8 \pm 0.3$  and  $0.6 \pm 0.4$  mol C/m<sup>2</sup>/yr for subtropical N. and S. Pacific (Yang et al., 2017), respectively (Figure 3). OUR-based estimates of OM export are  $1.2 \pm 1.1$  mol C/m<sup>2</sup>/yr for the Southern Ocean (Arteaga et al., 2019),  $2.2 \pm 0.4$  mol C/m<sup>2</sup>/yr for the S. Pacific (60°S–30°S) (Sonnerup et al., 2015) and  $2.6 \pm 0.8$  mol C/m<sup>2</sup>/yr for the N. Pacific (25°N–47°N) (Sonnerup et al., 1999) (Figure 3). Meridional patterns in OM export based on all estimates show the lowest rates in the subtropical S. Pacific at  $1.5 \pm 0.8$  mol C/m<sup>2</sup>/yr and higher rates of  $2.9 \pm 0.9$  mol C/m<sup>2</sup>/yr at the equator and in the N. Pacific at  $2.5 \pm 0.7$  mol C/m<sup>2</sup>/d ( $P > 0.1$ ). The basin-wide (50°S–50°N) mean OM export is  $2.1 \pm 0.8$  mol C/m<sup>2</sup>/yr based on the compilation of OM export rate estimates in this study and previously (Table 1).

### 3.2. OM Export in the Atlantic Ocean

O<sub>2</sub> budget-based estimates of OM export for the Atlantic Ocean range from 1 to 5 mols C/m<sup>2</sup>/yr and yield a basin-wide (with latitudes from 50°S to 50°N) mean rate of  $2.8 \pm 1.2$  mol C/m<sup>2</sup>/yr (Table 1, Figure 3). DIC + DIC<sup>13</sup> budget-based estimates of OM export range from 1 to 4 mol C/m<sup>2</sup>/yr with a basin-wide mean of  $2.2 \pm 0.8$  mol C/m<sup>2</sup>/yr. The PO<sub>4</sub> based estimate of OM export in the equatorial Atlantic was  $2.0 \pm 1.0$  mol C/m<sup>2</sup>/yr. Previous budget-based estimates of OM export (O<sub>2</sub>, DIC, NO<sub>3</sub>) rates of  $3.0 \pm 1.0$  mol C/m<sup>2</sup>/yr at BATS (32°N 64°W) (Fawcett et al., 2018),  $3.4 \pm 0.5$  at ESTOC (29°N, 15°W) (Neuer et al., 2007),  $2.6 \pm 2.5$  mol C/m<sup>2</sup>/yr (Ostle et al., 2015) and  $3.0 \pm 0.5$  mol C/m<sup>2</sup>/yr (Quay et al., 2020) for subtropical and subpolar N. Atlantic,  $1.2 \pm 0.4$  mol C/m<sup>2</sup>/yr (Yang et al., 2019) and 2.9 mol C/m<sup>2</sup>/yr (Billheimer et al., 2021) for subtropical N. Atlantic. OUR-based estimates of OM export are  $1.0 \pm 0.9$  mol C/m<sup>2</sup>/yr for the Southern Ocean (70°S to 50°S) and  $2.7 \pm 0.8$  mol C/m<sup>2</sup>/yr for the subpolar (50°S to 35°S) S. Atlantic (Arteaga et al., 2019). OM export in the N. Atlantic ( $2.8 \pm 0.9$  mol C/m<sup>2</sup>/yr) is slightly higher ( $P > 0.1$ ) than in the S. Atlantic ( $2.3 \pm 0.9$  mol C/m<sup>2</sup>/yr). The basin-wide (50°S–50°N) mean OM export is  $2.6 \pm 0.9$  mol C/m<sup>2</sup>/yr based on new and previous estimates (Table 1).

### 3.3. OM Export in the S. Indian Ocean

O<sub>2</sub> budget-based estimates of OM export range from  $-1$  to 3 mols C/m<sup>2</sup>/yr and yield a basin-wide (with latitudes 50°S to 0°N) mean rate of  $0.7 \pm 1.3$  mol C/m<sup>2</sup>/yr (Table 1, Figure 3). DIC<sup>13</sup> budget-based estimates of OM export



**Figure 3.** Meridional pattern in annual organic matter export for Pacific, Atlantic and Indian oceans based on O<sub>2</sub> (blue filled circles), DIC + DIC<sup>13</sup> (red filled squares) and PO<sub>4</sub> (diamond) surface budgets (this work) and previous estimates based on annual O<sub>2</sub> budgets from ARGO floats, container ship measurements and CARINA climatological data, O<sub>2</sub>/Ar budgets, oxygen utilization rates (OUR) and at time series sites ALOHA, Ocean Station Papa and ESTOC (see text). The annual export rate averaged basin-wide and over 5° latitude bands based on all estimates (solid line). Error bars (±1 SD) included for export estimates determined from O<sub>2</sub> and DIC + DIC<sup>13</sup> and PO<sub>4</sub> budgets (this work).



range from 0.3 to 0.6 mol C/m<sup>2</sup>/yr with a basin-wide mean of  $0.4 \pm 0.1$  mol C/m<sup>2</sup>/yr. The O<sub>2</sub> and DIC<sup>13</sup> based estimates exclude the region north of the equator because of the high seasonal variability of biological productivity and circulation caused by monsoons. The PO<sub>4</sub> based estimate of OM export in the equatorial Indian Ocean was  $1.2 \pm 0.5$  mol C/m<sup>2</sup>/yr. Previous O<sub>2</sub> budget-based estimates of OM export yielded a rate of  $-0.5 \pm 0.5$  mol C/m<sup>2</sup>/yr in the eastern subtropical S Indian (Yang et al., 2019). OUR-based estimates of OM export yielded rates of  $1.7 \pm 1.1$  mol C/m<sup>2</sup>/yr in S. Ocean (62°S–50°S) and  $2.5 \pm 0.6$  mol C/m<sup>2</sup>/yr in the subpolar S. Indian (Arteaga et al., 2019). The basin-wide (50°S–0°) mean OM export rate is  $0.9 \pm 0.8$  mol C/m<sup>2</sup>/yr based on new and previous estimates (Table 1).

## 4. Discussion

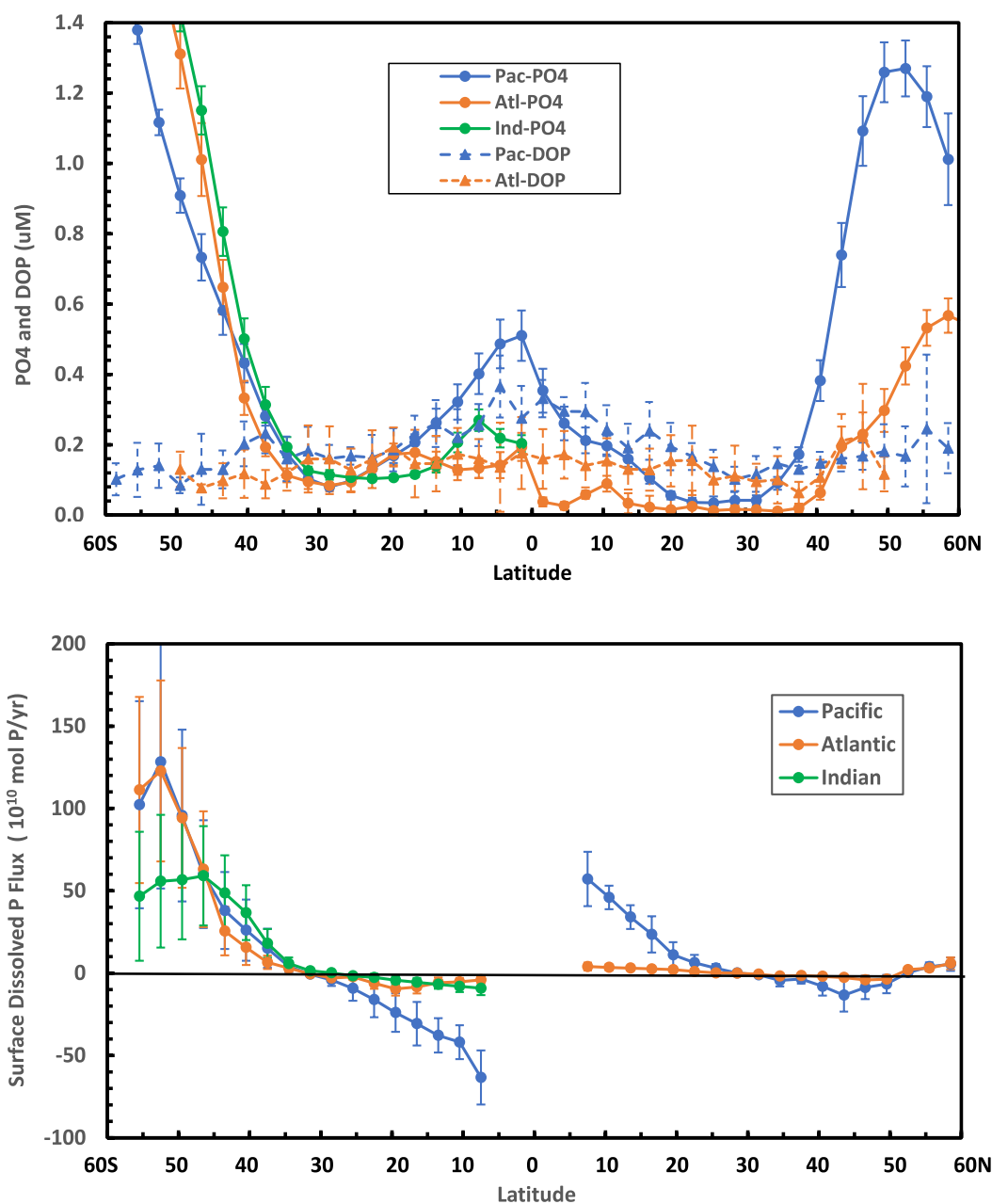
### 4.1. Budget-Based OM Export for All Ocean Basins

Overall, there is about a factor three range in the OM export rates, that is, >75% of the estimates are between 1 and 3 mol C/m<sup>2</sup>/yr (Figure 3). Regionally, the lowest export rates (1–1.5 mol C/m<sup>2</sup>/yr) are found in the subtropical gyres of the S. Pacific and S. Indian oceans and the highest rates ( $2.8 \pm 1.0$  mol C/m<sup>2</sup>/yr) are found in the N. Atlantic. In both the Atlantic and Pacific oceans, the mean export rate in the northern basin is 20%–30% higher than in the southern basin ( $P > 0.1$ ). The mean OM export in the S. Indian Ocean ( $0.9 \pm 0.8$  mol C/m<sup>2</sup>/yr) is about half the export in the S. Atlantic and S. Pacific oceans at  $2.3 \pm 0.8$  and  $1.9 \pm 0.8$  mol C/m<sup>2</sup>/yr, respectively. Lower export rates in the S. Indian are unexpected as ocean ecosystem models typically yield similar export rates for all three basins (e.g., Laufkötter et al., 2016; Letscher et al., 2016). The low mean OM export rate for the Indian Ocean does not appear to be biased because of the lack of data as the number of O<sub>2</sub> saturation observations (WOA) for the S. Indian are within 5% of the observations for the S. Atlantic and S. Pacific and the number of δ<sup>13</sup>C-DIC surface measurements and depth profiles in the S. Indian exceeds that in the S. Atlantic (GLODAP). Furthermore, the overall number of OM export estimates for the S. Indian ( $n = 18$ ) is similar to that for the S. Atlantic ( $n = 20$ ) and S. Pacific ( $n = 23$ ) oceans and low export rates are estimated by both the O<sub>2</sub> and DIC + DIC<sup>13</sup> budgets (Table 1). Thus, the data in hand indicate that OM export rates are lower in the S. Indian compared to S. Pacific and S. Atlantic ( $P < 0.1$ ).

The area weighted global OM export rate is  $2.0 \pm 0.8$  mol C/m<sup>2</sup>/yr at the depth of the winter mixed layer, which corresponds to  $8.6 \pm 3.5$  Pg C/yr. Our observation based global OM export rate is in line with OM export predicted by ocean models that determine the OM export flux (typically at base of photic layer) based on the constraint of matching observed dissolved nutrient distribution in upper ocean which yield a range of ~9–12 Pg C/yr (e.g., Bopp et al., 2001; Najjar et al., 2007; Schlitzer, 2002) and models that rely on satellite-based PP coupled with empirically determined e-ratios which yield a range of 8–11 Pg C/yr (e.g., Dunne et al., 2007; Laws et al., 2011; Li & Cassar, 2016). In contrast, our observation-based OM export rate is almost twice the OM export rate of 4–6 Pg C/yr estimated by ecosystem models that are tuned to yield observed particle sinking fluxes at the photic layer depth yield (e.g., Bisson et al., 2018; Siegel et al., 2014). Regionally, the subtropical gyres account for ~45% of the estimated global export with the equatorial region making the highest contribution in the Pacific (~45%) and the subpolar region being highest (~45%) in the S. Indian oceans.

### 4.2. Pathways Supplying Dissolved Nutrients Supporting OM Export

The estimates of OM export for the three major ocean basins presented above provide an opportunity to investigate the pathways and processes supplying the dissolved nutrients that support export. Here, the focus is on the supply of PO<sub>4</sub> and dissolved organic phosphate (DOP), rather than NO<sub>3</sub>, to avoid the complications resulting from N fixation. There are consistent meridional patterns in surface nutrients (PO<sub>4</sub>) with the highest concentrations in the Southern Ocean and lowest concentrations in the subtropical gyres, maxima in northern subpolar latitudes that are much higher in the Pacific than Atlantic and a maximum in the equatorial Pacific that is not observed in the equatorial Atlantic or Indian basins. It is worth noting that the regions where there are significant surface PO<sub>4</sub> concentrations are the same regions where there are negative surface O<sub>2</sub> saturation levels during all or part of the year (Figure 1; Figure S2 in Supporting Information S1). Regions with high surface PO<sub>4</sub> concentrations and negative O<sub>2</sub> supersaturation levels occur when the supply rate of subsurface waters with high nutrient and low O<sub>2</sub> concentrations exceeds the OM export rate. Surface DOP has a much smaller meridional range (0.1–0.3 μM) than PO<sub>4</sub> (<0.05 to >1.5 μM) with maximum concentrations occurring in the equatorial Pacific (Figure 4). A



**Figure 4.** Latitudinal pattern in surface PO<sub>4</sub> and DOP concentrations (top) and meridional surface advective PO<sub>4</sub> and DOP flux (bottom, with + = northward) integrated zonally across each basin and averaged over 3° latitude bands. Error bars represent ±1SD in zonal means. For Indian ocean, only PO<sub>4</sub> transport is calculated because of the lack of DOP data.

maximum in northward surface PO<sub>4</sub> and DOP transport occurs ~50°S in all basins and then decreases to near zero by 30°S (Figure 4). High rates of poleward surface PO<sub>4</sub> and DOP transport occur in the equatorial Pacific but not in the equatorial Atlantic nor Indian basins, with the primary reason being the difference in surface PO<sub>4</sub> and DOP concentrations (Figure 4).

Dissolved nutrients can be supplied to the photic layer either from below via turbulent mixing, eddy pumping and upwelling or horizontally via surface advection and eddy transport. It isn't clear which supply processes dominate especially in the subtropical gyres where surface nutrient concentrations approach zero and the nutracline is often deeper than the base of the photic layer. The abundance of PO<sub>4</sub> in the surface layer of the Southern Ocean, subpolar N. Atlantic and N. Pacific basins, and the equatorial Pacific identify these regions as potentially important nutrient sources (Figure 4).

The estimates of surface dissolved  $\text{PO}_4$  and DOP flux and the budget-based estimates of OM export rates on global scales presented here allow one to identify likely sources and pathways of nutrient supply fueling global OM export and the relative importance of vertical versus horizontal processes supplying nutrients. The mechanisms supplying nutrients to the photic layer, especially in the subtropical gyres, have been debated for decades (e.g., Billheimer et al., 2021; Jenkins & Doney, 2003; McGillicuddy et al., 2007; Williams & Follows, 1998). Most of the insight gained into pathways of nutrient supply rely on the output from ocean models where the dissolved nutrient transport and OM export rates can be inventoried. Here, the importance of nutrient source regions is determined based on observed surface velocities, dissolved  $\text{PO}_4$  and DOP distributions (Figure 4) and OM export rates (Figure 3), which can be compared to model findings.

#### 4.2.1. Southern Ocean Nutrient Source

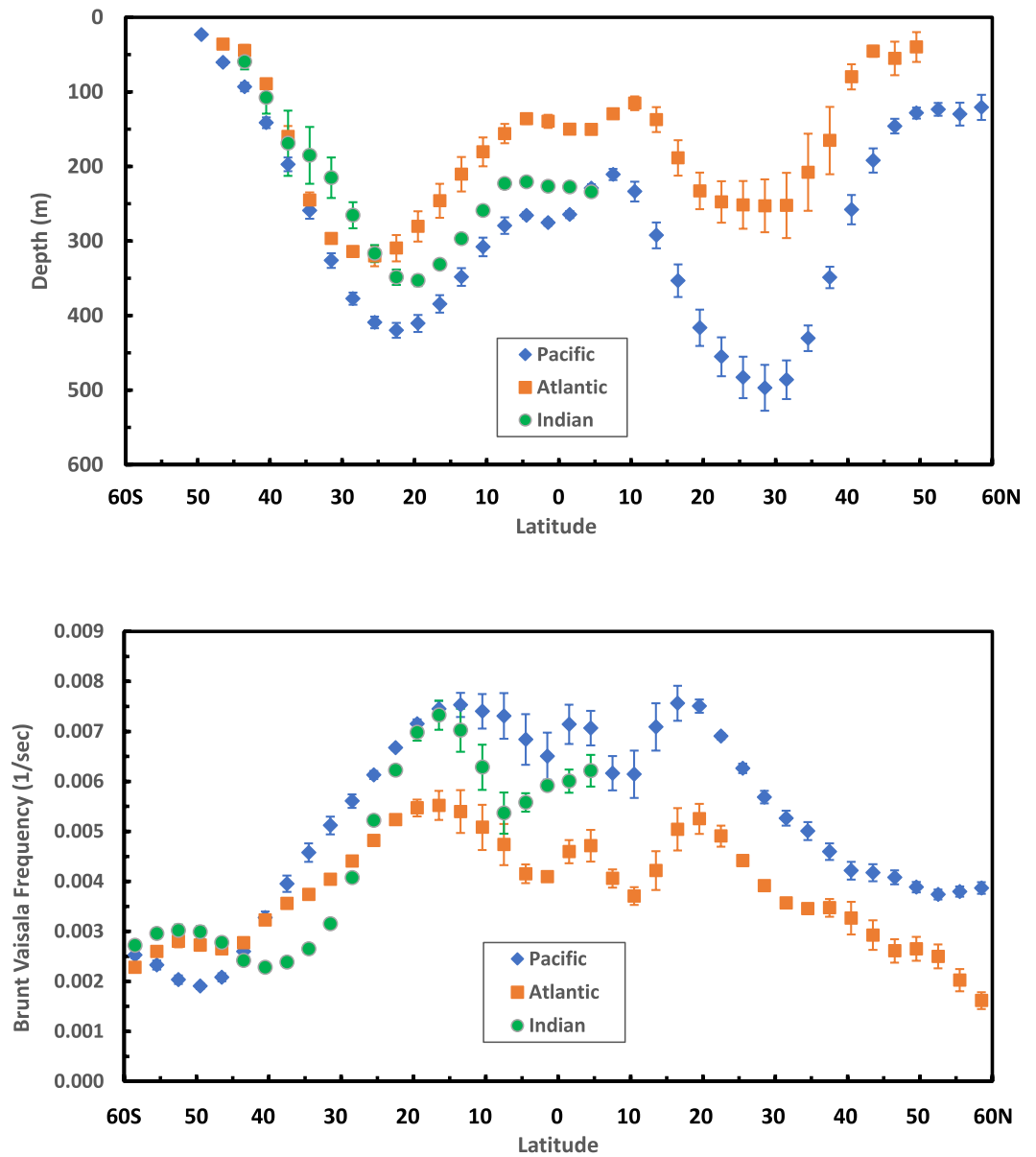
A notable model-based conclusion underscored the importance of the Southern Ocean as a major nutrient source supporting global OM export (Sarmiento et al., 2004). By comparing OM export for two model scenarios, one with observed  $\text{PO}_4$  and one with zero  $\text{PO}_4$  in the surface layer of the Southern Ocean, Sarmiento et al. concluded that ~75% of the global OM export relied on nutrients that originated in the Southern Ocean. More recently, using a data assimilation model, Primeau et al. (2013) found that the complete consumption of nutrients in the Southern Ocean yielded a 44% decrease in OM export north of 40°S. This same issue is addressed here using observations. The northward surface transport of  $\text{PO}_4$  and DOP at 50°S integrated zonally across all ocean basins including the transport from advection based on drifter velocities and eddy mixing was determined, as discussed above (Figure 4).

The northward transport of dissolved  $\text{PO}_4$  and DOP in the surface layer at 50°S in all basins potentially supports an average global OM export rate of  $1.4 \pm 0.6 \text{ mol C/m}^2/\text{yr}$  assuming this  $\text{PO}_4$  and DOP transport is offset by OM export spread evenly across the global ocean north of 50°S with a C/P of 135 based on the C/P predicted from surface  $\text{PO}_4$  concentration, as discussed above. In comparison, budget-based estimates of OM export north of 50°S presented here yield a global mean of  $2.0 \pm 0.8 \text{ mol C/m}^2/\text{yr}$  which implies that surface nutrients in the Southern Ocean transported northward could potentially fuel  $\sim 70 \pm 38\%$  of the global export, where the uncertainty represents errors ( $\pm 1 \text{ SD}$ ) in the dissolved P flux and export estimates. Thus, previous model output and current observations indicate that the Southern Ocean is a primary source of nutrients supporting OM export globally.

It is important to realize that the mechanism delivering nutrients which originated in the Southern Ocean to the photic layer throughout most of the ocean (north of 30°S) must depend on vertical processes (e.g., upwelling, turbulent mixing, eddy pumping, etc) because the northward surface advective transport of  $\text{PO}_4$  and DOP reaches zero by 30°S (Figure 4). The sharp decrease in equatorward surface velocity (water transport) and advective  $\text{PO}_4$  and DOP flux between 50°S and 30°S is a result of subpolar surface waters subducting below the warmer and less dense surface waters of the subtropical gyre. This subduction is related to formation of SubAntarctic Mode Water (SAMW) with a density range of 26.5–27.1 and SubTropical Mode Water (STMW) with a density range of ~26–26.5 (e.g., Sarmiento et al., 2004; Tsuchiya & Talley, 1998). The subducting STMW and SAMW water masses spread northward at depth and eventually reach the equatorial region where they contribute to the Equatorial Undercurrent (Goodman et al., 2005). A relevant observation is that the mean depth of the 26.5 isopycnal is significantly deeper in the Pacific than in the Atlantic and Indian oceans (Figure 5). Northward of 30°S in the Pacific, the depth of the 26.5 isopycnal ranges from 200 to 500 m, which lies significantly below the photic layer, whereas in the Atlantic, the depth of the 26.5 isopycnal ranges is shallower ranging from 100 to 300 m and is within reach of the photic layer. Furthermore, the stability of the upper 500 m of the water column (expressed as the Brunt-Vaisala frequency) is significantly lower in the Atlantic than in the Pacific basin (Figure 5). The density distribution in the Indian Ocean is intermediate between the extremes of the Pacific and Atlantic oceans. Thus, the combination of shallower isopycnal depths and lower water column stability, which enhances turbulent mixing (Osborn, 1980) suggests that preformed nutrients originating in the surface water of the Southern Ocean and subducted northward via STMW and SAMW are more likely to be supplied vertically to the photic layer in the Atlantic Ocean than in the Pacific basin. Estimates of surface meridional advective dissolved P supply rates support the importance of vertical nutrient supply in the Atlantic Ocean, as discussed below.

#### 4.2.2. Equatorial Ocean as a Nutrient Source

A notable observation is that the surface  $\text{PO}_4$  concentration in the equatorial Pacific is 2–3 fold higher than that in the equatorial Atlantic and Indian oceans (Figure 4). This observation could in part be related to the depth of the 26.5 isopycnal (STMW) in the Pacific north of 30°S which is within reach of the base of the photic layer



**Figure 5.** The meridional pattern in the depth of the  $\sigma_0 = 26.5$  isopycnal (top) and Brunt-Vaisala frequency between the base of the mixed layer and 500 m (bottom) averaged zonally across each basin and by  $3^\circ$  latitude bands. Error bars represent  $\pm 1SD$  in zonal means.

(~200 m) only in the equatorial region (Figure 5) and implies that preformed nutrients on this isopycnal originating in the Southern Ocean are unlikely to be consumed by biological productivity until they reach the equatorial region. In contrast, the depth of the 26.5 isopycnal in the Atlantic is ~100 m shallower and water column stability is weaker than in the Pacific; thus, preformed nutrients on this isopycnal originating in the Southern Ocean are more likely to be consumed by biological productivity before they reach the equatorial region. Additionally, the surface  $PO_4$  concentration at the northern outcrop of the 26.5 isopycnal (~50°N) is significantly higher in the Pacific at  $1.3 \mu\text{mol kg}^{-1}$  than in the Atlantic at  $0.3 \mu\text{mol kg}^{-1}$  (Figure 4), which would increase nutrient concentrations on this isopycnal in the equatorial Pacific. The result is that the water upwelling in the Pacific has a  $PO_4$  concentration (~ $0.6 \mu\text{mol kg}^{-1}$ ) significantly higher than that in the Atlantic ( $0.4 \mu\text{mol kg}^{-1}$ ) at the maximum annual mixed layer depth (~60–70 m), which also corresponds to the depth of maximum upwelling velocity at the equator (Johnson et al., 2001). Additionally, stronger iron limitations on biological productivity would potentially yield higher surface  $PO_4$  concentrations in the equatorial Pacific versus Atlantic basins. Thus, as a result of

nutrient supply and biological uptake limitations, there is a significant excess of dissolved nutrients in the surface layer of the equatorial Pacific Ocean that is not observed in the Atlantic or Indian basins (Figure 4).

High surface  $\text{PO}_4$  concentration in the equatorial Pacific implies that the poleward Ekman divergence in the surface layer is a source of  $\text{PO}_4$  supply to the subtropical gyres in the N. and S. Pacific. Similarly, surface DOP concentrations in the equatorial Pacific at  $\sim 0.3 \mu\text{mol kg}^{-1}$  are significant compared to  $\text{PO}_4$  concentrations at  $\sim 0.5 \mu\text{mol kg}^{-1}$  and are an additional potential dissolved nutrient source for the subtropical gyres. The poleward advective and eddy flux of surface  $\text{PO}_4$  and DOP were determined at  $8^\circ\text{N}$  and  $8^\circ\text{S}$  based on drifter velocities, as discussed above, with the  $8^\circ$  latitude boundary being chosen to avoid the impact of downwelling and equatorial convergence of surface waters that occurs nearer the equator (Johnson et al., 2001). In summary, dividing the surface advective and eddy fluxes of  $\text{PO}_4$  and DOP by the surface areas of the N. and S. Pacific ( $8^\circ$ – $50^\circ$ ) and multiplying by a C/P of 125 yielded an estimated OM export rate of  $0.8 \pm 0.2 \text{ mol C/m}^2/\text{yr}$  potentially supported by equatorial surface nutrient delivery which is  $\sim 37 \pm 14\%$  of the observed OM export in the basin. In contrast, the poleward surface  $\text{PO}_4$  and DOP flux in the equatorial Atlantic would support only  $\sim 5\%$  of the observed OM export. The situation in the S. Indian Ocean lies between these extremes with southward equatorial surface  $\text{PO}_4$  at  $8^\circ\text{S}$  supporting  $\sim 15\%$  of the observed OM export. (Note that the Indonesian Throughflow is another potential nutrient source to the Indian Ocean based on Ayers et al., 2014).

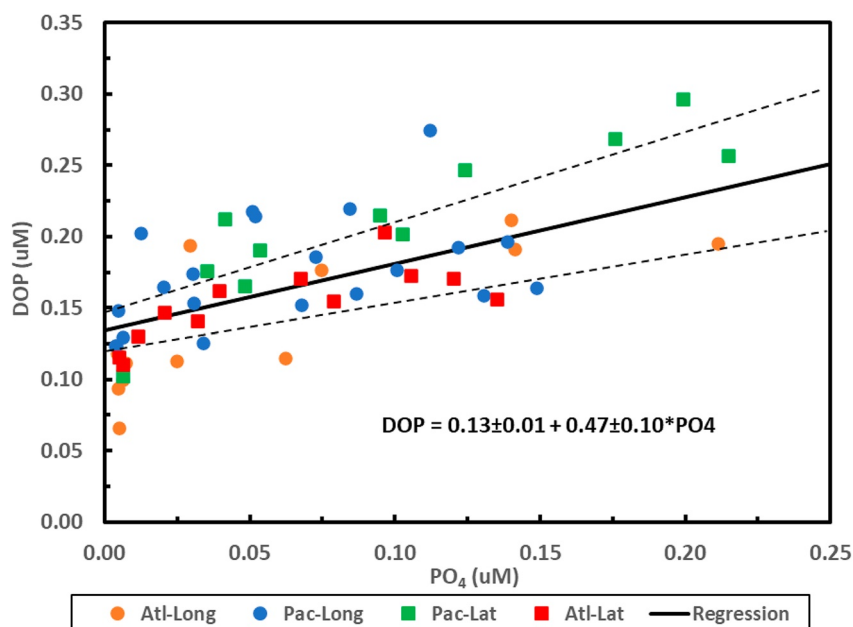
#### 4.2.3. Processes Supplying Nutrients to the Subtropical Gyres

The mechanisms supplying nutrients to the subtropical gyres have been debated for quite a while with the major conundrums being the low dissolved nutrient concentrations in the surface layer which yield low rates of horizontal nutrient supply, the separation between the depths of the photic layer and nutricline, and the portion of OM export supported by DOP. Several studies highlight the role of western boundary currents. Jenkins and Doney (2003) described a “nutrient spiral” which involved an upward flux of nutrients by enhanced vertical mixing occurring along the path of the Gulf Stream with these nutrients being distributed throughout the gyre by isopycnal mixing and brought into the photic layer by local vertical processes (convection, eddy heaving, turbulence, etc). Williams et al. (2006) used model output to demonstrate the importance of the Gulf Stream as a nutrient stream which delivers nutrients deeper in the water column to the surface layer of the subpolar ocean, which can then be transported southward into the subtropical gyre. Recently, Liao et al. (2022) found evidence for enhanced upwelling associated with western boundary currents that can deliver nutrients from depth into the photic layer. Several studies have focused on the role of eddies. McGillicuddy et al. (2007) demonstrated that eddies can increase biological productivity through enhanced upwelling of nutrients in the subtropical N. Atlantic. Johnson et al. (2010) found that eddy events ( $\sim$ monthly) in the subtropical N. Pacific were a major source of nutrient input into the photic layer from below through isopycnal heaving. Models have demonstrated that eddies and submesoscale processes can enhance the horizontal and vertical transport of nutrients at the subpolar-subtropical front (e.g., Mahadevan, 2016; Oschlies & Garcon, 1998).

Several studies have focused on the role of DOP as a dissolved nutrient source in the subtropical gyres with most insights based on modeling results. Letscher et al. (2016) used an ecosystem model to conclude that 22%–46% of OM export in the five subtropical gyres was supported by horizontal DOP transport. Reynolds et al. (2014) used an eddy resolving model to conclude that 70% of the particle export in the subtropical N. Atlantic was supported by DOP supply. A key assumption in these model experiments is the proportion of total DOP supplied that is sufficiently labile (semi-labile) to be degraded and used to fuel primary production by plankton, for example, Reynolds et al. assumes 95% of DOP is semi-labile. There are only a few observation-based estimates of the importance of DOP as a dissolved P source in the subtropical gyres. For example, Abell et al. (2000) and Mahaffey et al. (2004) relied on observed horizontal gradients of surface DOP and  $\text{PO}_4$  and estimates of advection rates to determine transport of DOP and  $\text{PO}_4$  and found that the poleward surface flux of DOP from the equatorial to subtropical regions exceeded the  $\text{PO}_4$  flux in the N. Pacific and Atlantic oceans. Mather et al. (2008) used measurements of DOP and alkaline phosphatase to conclude that the turnover time of surface DOP differed by  $\sim 20$ -fold between 0.5 and 10 years for the subtropical N. and S. Atlantic, respectively, and estimated that DOP utilization could support between 20% and 5% of the primary production rates in these two subtropical systems.

A plot of surface DOP versus  $\text{PO}_4$  (Figure 6) concentrations (Knapp et al., 2021; Martiny et al., 2019) along a meridional section between  $30^\circ\text{S}$  and  $30^\circ\text{N}$  and a zonal section between the eastern and western boundaries of the subtropical gyre (averaged zonally in  $10^\circ$  bins and meridionally in  $5^\circ$  bins) for the Atlantic and Pacific oceans yields a slope of  $\sim 0.47 \pm 0.10$  and intercept of  $\sim 0.13 \pm 0.01$  which has two significant implications. The





**Figure 6.** The surface concentrations of dissolved DOP (Knapp et al., 2021) and  $\text{PO}_4$  (Martiny et al., 2019) for the subtropical Atlantic and Pacific Oceans averaged zonally ( $10^\circ$  longitude bins) across each basin between  $15^\circ$  and  $30^\circ$  (-Long) and meridionally ( $5^\circ$  latitude bins) between  $30^\circ\text{S}$  and  $30^\circ\text{N}$  (-Lat) in each basin. The linear regression relationship and uncertainty are shown.  $\text{PO}_4$  data supplemented with GLODAP data (Lauvset et al., 2016) corrected for offset from  $\text{PO}_4$  data set of Martiny et al. (2019) when  $\text{PO}_4 < 0.10 \mu\text{M}$ .

slope implies that, on average, the meridional and zonal transport of surface  $\text{PO}_4$  into the subtropical gyres is about twice that for DOP. Thus, on average, two-thirds of dissolved P supplied by surface advection and mixing is from  $\text{PO}_4$  and one-third from DOP. Assuming that the intercept represents the average concentration of the surface DOP pool that is unused within the subtropical gyres, it represents about 40% of the DOP concentration ( $\sim 0.3 \mu\text{M}$ ) supplied from the edges of the subtropical gyres, which is substantially greater than the 5% assumed in some model simulations and, in turn, reduces the impact of DOP on fuel export in the gyres.

The importance of horizontal surface nutrient supply to the subtropical gyres ( $15^\circ$ – $35^\circ$ ) was addressed by comparing the calculated meridional and zonal advective transport of  $\text{PO}_4$  and DOP in the surface layer, discussed above, to the observed OM export rate. The net advective flux of  $\text{PO}_4$  and DOP accounts for the portion of  $\text{PO}_4$  and DOP that remains unconsumed in the gyre (i.e.,  $0.01$ – $0.1 \mu\text{mol kg}^{-1}$  for  $\text{PO}_4$  and  $\sim 0.1 \mu\text{M}$  for DOP). A steady-state balance between the dissolved P supply and organic P export is assumed. The estimated organic P export is multiplied by the C/P of exported OM to compare to the  $\text{O}_2$  and  $\text{DIC}^{13}$  budget-based estimates of OM export ( $\text{mol C/m}^2/\text{yr}$ ). This brings up an important point, that is, the C/P of exported OM in the subtropics is much higher than the Redfield ratio of 106. Martiny et al.'s (2013) compilation of the elemental ratio for suspended particles yielded C/P values from 171 to 226 for the subtropical gyres in the N. Pacific and N. Atlantic oceans. The importance of DOM export in the subtropics has been increasingly recognized (e.g., Fawcett et al., 2018) with the labile DOM likely having a C/P > 200 (e.g., Hopkinson & Vallino, 2005). Here, the C/P of export was estimated from the surface  $\text{PO}_4$  concentrations, as discussed above, and yielded values of  $\sim 140$  for the subtropical gyres in the S. Pacific, S. Indian and S. Atlantic and 185 and 250 for the subtropical gyres in the N. Pacific and N. Atlantic, respectively, which agreed with observations by Martiny et al. (2013).

The surface meridional and zonal advective fluxes of  $\text{PO}_4$  and DOP into the subtropical gyres ( $15^\circ$ – $35^\circ$ ) yield a dissolved P surface flux of 9.4, 7.4, and  $4.3 \text{ mmol P/m}^2/\text{yr}$  into the subtropical gyres in the S. Pacific, S. Atlantic and S. Indian oceans, respectively, which would support OM export rates of 1.3, 1.0, and  $0.6 \text{ mol C/m}^2/\text{yr}$ . (The surface DOP flux in the Indian Ocean is assumed to be 47% of the  $\text{PO}_4$  flux based on slope in Figure 6 because of lack of DOP data). In the N. Pacific and N. Atlantic, the surface advective dissolved  $\text{PO}_4$  and DOP fluxes supply 6.9 and  $3.6 \text{ mmol P/m}^2/\text{yr}$  to the subtropical gyres, respectively, which would support OM export rates of 1.3 and  $0.9 \text{ mol C/m}^2/\text{yr}$ . Mahaffey et al. (2004) estimated a meridional surface DOP flux to the subtropical S. Atlantic based on DOP measurements and advection rates that would support an OM export of  $0.7 \text{ mol C/m}^2/\text{yr}$  (assuming

$C/P = 140$ ) which agrees with the estimate presented here. The observed OM export rates were  $1.5 \pm 0.4$ ,  $2.5 \pm 0.4$ , and  $0.9 \pm 0.5$  mol  $C/m^2/yr$  for the subtropical S. Pacific, S. Atlantic, and S. Indian oceans, respectively, which implies that horizontal surface  $PO_4$  and DOP supply could potentially support  $\sim 89 \pm 48\%$ ,  $41 \pm 16\%$ , and  $70 \pm 64\%$  of the observed OM export, respectively. In the subtropical N. Pacific and N. Atlantic the observed OM export rates were  $2.3 \pm 0.4$  and  $3.0 \pm 0.6$  mol  $C/m^2/yr$ , respectively, and imply that the horizontal supply of surface nutrients could fuel  $\sim 57 \pm 22\%$  and  $30 \pm 14\%$  of the export in these subtropical gyres. These results suggest that horizontal supply of surface  $PO_4$  and DOP is the dominant nutrient source for the subtropical Pacific, mainly because of the poleward transport of surface nutrients from the equatorial region, whereas vertical supply of nutrients dominates in the subtropical N. Atlantic likely because of the weak equatorial source and enhanced nutrient supply via vertical mixing, eddy activity and upwelling associated with the Gulf Stream (e.g., Jenkins & Doney, 2003; Liao et al., 2022; McGillicuddy et al., 2007; Williams et al., 2006).

The model-based results of Letscher et al. (2016) indicate that horizontal supply of  $PO_4$  and DOP support 44%–67% of the export in the subtropical gyres of the Pacific, Atlantic and Indian oceans, whereas the observation-based estimates of the fraction of horizontal dissolved  $PO_4$  and DOP supply presented here vary from 30% to 90%. The model used by Letscher et al. indicates that total organic P exported from the subtropical gyres of all basins varies by  $\sim 50\%$  (8–12 mmol  $P/m^2/yr$ ) whereas our observed OM export in the gyres varies by  $\sim 2\times$  (4–9 mmol  $P/m^2/yr$  using  $C/P$  estimated from  $PO_4$ ). Overall, the observations show more inter-basin variability in export rate and mechanism of supply for subtropical gyres compared to the model results.

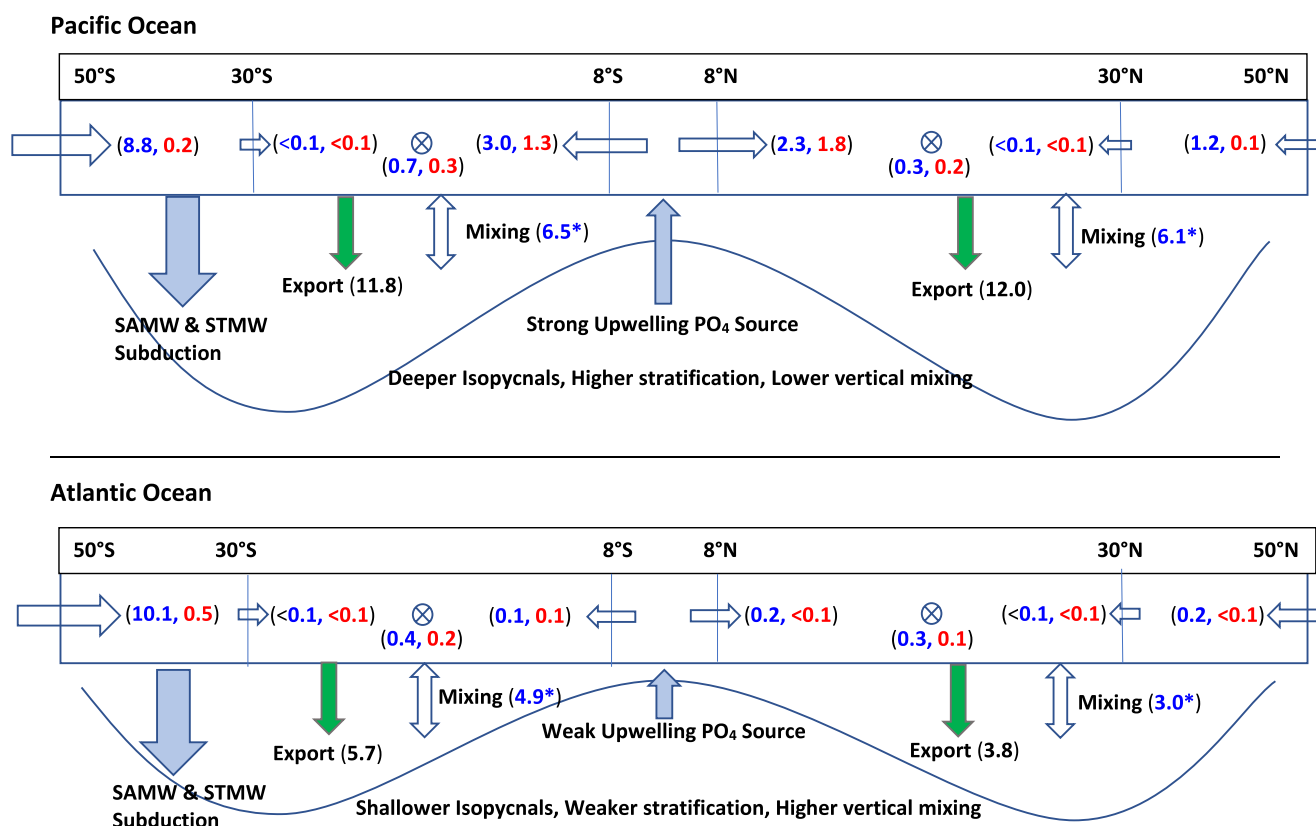
#### 4.2.4. Role of Nutrient Limitation on Export

Nutrient (N, Fe, Si) limitation plays an important role in the regional pattern of OM export. In the HNLC regions, Fe (and in some regions Si) availability limits primary production especially by diatoms and thus lowers export, whereas in the subtropical gyres, Fe limitation reduces N fixation rates that prevent the complete utilization of dissolved P (e.g., Boyd et al., 2007; Martiny et al., 2019; Mills et al., 2004). If Fe supply to the surface layer of the Southern Ocean were increased and caused an increase in OM export, then a consequence would be a decrease in surface nutrient concentrations, which in turn would cause reduced OM export to regions outside the Southern Ocean, as the model experiments of Sarmiento et al. (2004) and Primeau et al. (2013) demonstrated. A similar situation would exist in the equatorial Pacific Ocean where an increased supply of Fe would increase OM export locally but decrease the poleward supply of surface nutrients to the adjacent subtropical gyres. Thus, reducing Fe limitations from the levels existing in today's ocean would likely increase regional variations in export, that is, higher rates in HNLC regions and lower rates in subtropical gyres, but the impact on global export would likely be significantly less as some of the regional variations would be offset. That is, the global export balances the rate of  $PO_4$  supplied to the photic layer by upwelling and vertical mixing minus the loss of unused  $PO_4$  downwelling within the subtropical gyres.

Currently, there is unused dissolved  $PO_4$  in the surface layer of the subtropical gyres with significantly higher concentrations in the S. Pacific and S. Atlantic and S. Indian basins ( $\sim 0.06$ – $0.10$   $\mu\text{mol kg}^{-1}$ ) than in the N. Pacific and N. Atlantic ( $< 0.02$   $\mu\text{mol kg}^{-1}$ ) as observed previously by Martiny et al., 2019 (Figure S4 in Supporting Information S1). If the supply of the limiting nutrient(s) to all the subtropical gyres was increased to utilize all the remaining  $PO_4$  in the gyres, this would yield a  $< 0.1$  mol  $C/m^2/yr$  increase in export in the subtropical N. Pacific and N. Atlantic, a  $0.3 \pm 0.1$  mol  $C/m^2/yr$  increase in the subtropical S. Pacific and S. Atlantic and a  $0.8 \pm 0.2$  mol  $C/m^2/yr$  increase in the subtropical S. Indian ocean, which in turn, would yield a  $0.4 \pm 0.1$  Gt  $C/yr$  increase in export globally. Thus, current limitations on P utilization appear to have a greater effect in limiting OM export in the southern versus northern subtropical gyres and, particularly, in the S. Indian Ocean.

## 5. Conclusions: Inter-Basin Variations of OM Export and Nutrient Supply

Budget-based OM export rates for the Atlantic and Pacific oceans are similar at  $2.1 \pm 0.8$  to  $2.6 \pm 0.9$  mol  $C/m^2/yr$  and about twice that observed for the S. Indian at  $0.9 \pm 0.8$  mol  $C/m^2/yr$  (Table 1). Despite similar export rates, the pathways of nutrient supply differ markedly between the Atlantic and Pacific basins (Figure 7). Although the Southern Ocean is potentially a dominant source of dissolved nutrients supporting  $70 \pm 38\%$  of OM export globally, the northward transport of surface nutrients is nearly zero at  $\sim 30^\circ\text{S}$  in all basins (Figure 7). Thus, preformed nutrients originating in the Southern Ocean that support OM export must be delivered by vertical processes (upwelling, turbulent mixing, eddy pumping) to most of the ocean. In the Pacific, equatorial upwelling is an important pathway of nutrient supply but not in the Atlantic (or Indian) basin (Figure 7). North of  $30^\circ\text{S}$  the combined surface horizontal transport of  $PO_4$  and DOP in the equatorial region (poleward) and at  $50^\circ\text{N}$  (southward) support  $48 \pm 23\%$



**Figure 7.** PO<sub>4</sub> (blue) and DOP (red) fluxes (10<sup>11</sup> mol P/yr) estimated from meridional (arrows) and zonal (⊗) surface currents and observed organic matter export and supplied by vertical mixing in the Pacific and Atlantic oceans where the vertical mixing flux is calculated (\*) assuming a steady-state balance between total P supply and export. Characteristics in the isopycnal depth, water column stratification, vertical mixing, and equatorial upwelling (<500 m), which impact the pathway of PO<sub>4</sub> and DOP supply for the Pacific and Atlantic oceans are shown. Subduction of Subantarctic Mode Water (SAMW) and Subtropical Mode Water (STMW) on surface water and P transport is shown. See text for discussion.

of the observed OM export in the Pacific but only  $17 \pm 16\%$  in the Atlantic basin. Two factors that may impact the dominance of vertical nutrient supply in the Atlantic basin are the shallower depth of isopycnals associated with Subantarctic and Subtropical Mode Water (26.5–26.8) and weaker water column stability in the upper thermocline. This same inter-basin difference in isopycnal depth and water column stability could partly explain why upwelling of PO<sub>4</sub> in the equatorial Pacific is a more important nutrient source than in the equatorial Atlantic basins. The nutrient supply situation in the S. Indian Ocean is closer to that in the S. Atlantic than S. Pacific oceans (Table 1).

The OM export rate is fairly consistent (1.9–2.8 mol C/m<sup>2</sup>/yr) between four of the five major ocean subbasins with the exception being the S. Indian ocean (Table 1). The low OM export rate in the S. Indian Ocean, in part, appears to be a result of stronger nutrient limitation on P utilization. The subtropical gyres represent the final stop on the nutrient supply train. If all the PO<sub>4</sub> within the gyres were consumed, then the global OM export would depend only on the rate of dissolved PO<sub>4</sub> supply. For the subtropical gyres in the N. Atlantic and N. Pacific Oceans where nearly all the PO<sub>4</sub> is utilized, the OM export rate would increase by <5% if all remaining PO<sub>4</sub> was consumed, whereas in the subtropical S. Indian Ocean OM export would double. Thus, the observed inter-basin variations of OM export depend on the interplay between physical processes controlling dissolved nutrient supply and biological processes controlling nutrient uptake. Any alteration in these processes induced by climate change either in the past or future will modify the overall strength and regional variations of the ocean's biological pump.

### Data Availability Statement

The climatological distributions of O<sub>2</sub> supersaturation state are available at the World Ocean Atlas data site at <https://www.ncei.noaa.gov/data/oceans/wao/WOA18/DATA/>. <sup>13</sup>C/<sup>12</sup>C of DIC and phosphate data are available at the GLODAP data site <https://www.glodap.info/>. Low-level PO<sub>4</sub> data are available at

<https://www.bco-dmo.org/dataset/764704/data>. Dissolved organic phosphate concentrations are available at <https://www.bco-dmo.org/dataset/855139>. Drifter-based surface velocities are available at [https://www.aoml.noaa.gov/phod/gdp/mean\\_velocity.php](https://www.aoml.noaa.gov/phod/gdp/mean_velocity.php). The ARGO mixed layer depths are available at <http://www.argo.ucsd.edu>. The CCMP wind speeds are available at [http://apdrc.soest.hawaii.edu/datadoc/ccmp\\_month.php](http://apdrc.soest.hawaii.edu/datadoc/ccmp_month.php).

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