

# Supporting Information for ”The impact of recent climate change on the global ocean carbon sink”

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In this supplementary material, we provide more detail on our methods and the results:

**Text S1:** Description of the ocean biogeochemical model used in this study

**Text S2:** Extended description of the online assessment method

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### **Text S1: Ocean biogeochemical model**

We use the Finite Element Sea-ice Ocean Model (FESOM) version 1.4 (an updated and improved version of the release described in Wang et al., 2014) coupled with the biogeochemical model REcoM2 (Hauck et al., 2013, Schourup-Kristensen et al., 2014). FESOM1.4 is a global general ocean circulation and sea-ice model. FESOM1.4 provides a flexible multi-resolution modelling functionality through an unstructured mesh approach. Here, we use the CORE mesh (126859 surface nodes), roughly corresponding to a resolution of 1°x1° with increased resolution in equatorial and polar regions, and consisting of 46 vertical layers, with a thickness of 5 meters near the surface and progressively increasing thickness with depth.

REcoM2 is an ocean biogeochemistry and ecosystem model with state-of-the-art complexity at the time of writing, i.e. comparable to other models used in the framework of the Global Carbon Budget or RECCAP (REgional Carbon Cycle Assessment and Processes, <https://www.globalcarbonproject.org/reccap/>). In the version used here, REcoM2 has two phytoplankton functional groups (diatoms and small calcifying phytoplankton),

one zooplankton functional group and one class of sinking detritus. REcoM2 simulates the oceanic cycle of carbon, including calcium carbonate, oxygen and the nutrients nitrogen, silicon and iron. The carbonate chemistry and ocean-air fluxes are calculated with mocsy 2.0 (Orr and Epitalon, 2015) following the protocol of the Ocean Carbon Model Intercomparison Project (Orr et al., 2017). A documentation of REcoM2 is available online (<https://recom.readthedocs.io/en/latest/index.html>, Gürses (2021)), and the model equations are published in the supplements of Hauck et al. (2013). FESOM1.4-REcoM2 has been evaluated in Hauck et al. (2020) with respect to the oceanic carbon cycle, showing reasonable agreement with other global ocean biogeochemical models and with surface ocean pCO<sub>2</sub> observations.

### **Text S2: Extended description of the online assessment method**

By comparing the CO<sub>2</sub> flux in different model simulations, we quantify the impact of the following variables on the flux: Atmospheric CO<sub>2</sub>, winds, warming and the combined effect of all physical climate variability. Additionally, a spurious flux (bias) of natural carbon and its trend (drift) arise at repeat-year climate forcing and constant atmospheric CO<sub>2</sub>. Model drift and the preindustrial CO<sub>2</sub> flux are quantified with the control simulation (B, Table S1):

$$\text{bias and drift} = B \quad (1)$$

The effect of rising atmospheric CO<sub>2</sub> alone (atmCO<sub>2</sub>) is calculated from simulations C and B:

$$\text{atmCO}_2 = C - B \quad (2)$$

The effect of climate (clim) on the total CO<sub>2</sub> flux is calculated from the difference between the historical simulation (A) and simulation C:

$$\text{clim}_{\text{total}} = A - C \quad (3)$$

The effect of climate on the natural CO<sub>2</sub> flux (nat) is calculated from the control simulation (B) and the simulation with historically varying climate at preindustrial atmospheric CO<sub>2</sub> (D):

$$\text{clim}_{\text{nat}} = D - B \quad (4)$$

Consequently, the effect of climate on the flux of anthropogenic CO<sub>2</sub> (ant) is the difference between these two terms:

$$\text{clim}_{\text{ant}} = \underbrace{(A - C)}_{\text{total}} - \underbrace{(D - B)}_{\text{nat}} \quad (5)$$

The effects of warming and winds on the total CO<sub>2</sub> flux are calculated as the difference between the historical simulation A and the simulations E or F:

$$\text{warming} = A - E \quad (6)$$

$$\text{winds} = A - F \quad (7)$$

Finally, the residual, that is the fraction of the climate-induced change in the historical CO<sub>2</sub> flux that cannot be explained by wind and temperature effects, is attributed to precipitation, atmospheric pressure and nonlinearities arising from the simultaneous change

in multiple atmospheric forcings:

$$\text{residual} = \underbrace{(A - C)}_{\text{full climate}} - \underbrace{(A - E)}_{\text{warming}} - \underbrace{(A - F)}_{\text{winds}} \quad (8)$$

**Text S3: Extended description of the offline assessment method. Part 1: The impact of winds, SST, SIC, sAlk, sDIC and salinity and freshwater fluxes on the CO<sub>2</sub> flux**

We approximate the impact of the following variables ( $X_i$ ) on the CO<sub>2</sub> flux offline from monthly mean model output: wind velocity, sea surface temperature (SST), sea-ice concentration (SIC), salinity-normalized alkalinity (sAlk), salinity and freshwater fluxes (S+FW) and salinity-normalized DIC (sDIC). Following Lovenduski et al. (2007), we derive the sensitivity of the CO<sub>2</sub> flux to changes in the respective variables analytically. The analytic approximations are based on the model equation for the CO<sub>2</sub> flux ( $F_{\text{surf}}$ ), which is calculated following the protocol of Orr et al., (2015) as:

$$F = \alpha k_w \cdot \Delta p\text{CO}_2 \quad (9)$$

where  $\Delta p\text{CO}_2$  is the difference of atmospheric (<sup>A</sup>) and oceanic (<sup>O</sup>) partial pressures ( $p\text{CO}_2^{\text{A}} - p\text{CO}_2^{\text{O}}$ ),  $k_w$  is the gas transfer velocity (piston velocity) which depends on wind speed and sea-ice concentration, and  $\alpha$  is the solubility of CO<sub>2</sub> in seawater which depends on temperature and salinity. Further, the partial pressure of CO<sub>2</sub> in water ( $p\text{CO}_2^{\text{O}}$ ) is a function of dissolved inorganic carbon (DIC), alkalinity (Alk), temperature and salinity (Sarmiento and Gruber, 2006).

Following Lovenduski et al. (2007), the contribution of each variable  $X_i$  to the change in the CO<sub>2</sub> flux is linearly approximated. Originally, Lovenduski et al. (2007) used this

approach to approximate the difference in the CO<sub>2</sub> flux between a positive phase of the Southern Annular Mode and the mean state. Conceptually, the method can be used to estimate the impact of changes in the variables on the CO<sub>2</sub> flux on any time scale. Here, the offline analysis is applied to (1) the mean air-sea CO<sub>2</sub> flux 2000-2019 relative to 1960-1979 and (2) the trend in the air-sea CO<sub>2</sub> flux 1958-2019.

To quantify the climate-related effect of each variable on the CO<sub>2</sub> flux, we use the simulation with variable climate at preindustrial CO<sub>2</sub> (D) to exclude variability in sDIC, pCO<sub>2</sub><sup>O</sup> and the CO<sub>2</sub> flux originating from the increase in atmospheric CO<sub>2</sub>. Here, we capitalize on the fact that the climate effect is dominated by natural CO<sub>2</sub> fluxes as the natural ocean carbon pool exceeds the anthropogenic pool by far (Wanninkhof et al, 2013).

The contribution of each variable to the trend 1958-2019 (mean 2000-20019) of the CO<sub>2</sub> flux ( $\beta_F$ ) is approximated by calculating the trend 1958-2019 (mean 2000-2019) in the respective variable ( $\beta_{X_i}$ ) and the sensitivity of the CO<sub>2</sub> flux to changes in that variable:

$$\beta_F \approx \sum_i \left[ \frac{\partial F}{\partial X_i} \right] \beta_{X_i} \quad (10)$$

where square brackets denote the [ **temporal mean** ] averaged over the whole time series (1958-2019) at each grid point,  $\frac{\partial F}{\partial X_i}$  is the sensitivity to the variable derived from analytical expressions and calculated with values from the model output at every grid point, and  $\beta_{X_i}$  is the trend 1958-2019 (mean 2000-20019) of the variable at each grid point.

Note:

- Squared wind velocities: Because of the quadratic dependency of the piston velocity  $k_w$  on the wind velocity, we relate the trend 1958-2019 (mean 2000-20019) of the CO<sub>2</sub> flux to the trend 1958-2019 (mean 2000-20019) of squared wind velocities.

- Seasonality of sea-ice: Because of the high seasonality of sea-ice concentration, we calculate the sensitivity and trend 1958-2019 (mean 2000-20019) for sea-ice after grouping the data by month-of-the-year.

- Salinity-normalization: Changes in surface DIC (Alk) can occur through multiple processes, namely by biological processes, advective transport and mixing, surface fluxes of CO<sub>2</sub> and through freshwater fluxes. Freshwater from precipitation has low DIC (Alk), so that adding freshwater to the ocean mostly decreases surface ocean DIC (Alk), whereas removing freshwater through evaporation increases DIC (Alk) (Sarmiento and Gruber, 2006). To eliminate the effect of freshwater fluxes from the DIC (Alk) trend 1958-2019 (mean 2000-20019), we use sea surface salinity as a tracer for freshwater fluxes and normalize DIC (Alk) by salinity. At each grid point, DIC (Alk) is normalized by the local time-mean sea surface salinity.

**Text S4: Extended description of the offline assessment method. Part 2: The impact of biology, surface flux and circulation on sDIC**

In an additional step, the trend of sDIC (1958-2019) is attributed to either changes in (1) biological export, (2) air-sea CO<sub>2</sub> fluxes or (3) circulation, advection and mixing (calculated as the residual). They can be estimated following Lovenduski et al. (2007):

$$\frac{d(\text{sDIC})}{dt} = J_{\text{bio}} + J_{\text{surf}} + J_{\text{circ}} \quad (11)$$

where  $t$  is time, sDIC is salinity-normalized surface DIC,  $J_{\text{bio}}$  is the loss of mixed-layer sDIC by export production,  $J_{\text{surf}}$  is the rate of change caused by the air-sea flux of CO<sub>2</sub> and  $J_{\text{circ}}$  relates to the transport of sDIC with ocean circulation, advection and mixing.



sDIC is assumed to be uniform throughout depth within the mixed layer, and for simplicity, surface values of sDIC are used for the calculations. Thereby,  $J_{\text{bio}}$ ,  $J_{\text{surf}}$  and  $J_{\text{circ}}$  describe the temporal change of sDIC at the surface and throughout the mixed layer. Hence,  $J_{\text{bio}}$ ,  $J_{\text{surf}}$  and  $J_{\text{circ}}$  have units of per volume (of mixed layer water; like sDIC) and per time.

To calculate  $J_{\text{bio}}$ , we use the detritus concentration at the bottom of the mixed layer (MLD), defined by a density-threshold criterion ( $0.03 \text{ kg m}^{-3}$ ). In our model, detritus carbon exists in the form of particulate organic carbon and  $\text{CaCO}_3$ . The particles have a vertical sinking velocity; unlike dissolved carbon which only follows the circulation. To get the average daily flux of detritus through the base of the mixed layer from the detritus concentration, the detritus concentration is multiplied with the sinking velocity  $v_{\text{detritus}}$  at the base of the mixed layer, which is  $v_{\text{detritus}} = 0.0288 \text{ d}^{-1} \cdot \text{MLD} + 20 \text{ m d}^{-1}$ . The flux of detritus through the base of the mixed layer is then divided by the climatological depth of the mixed layer (i.e. the MLD averaged from 1958-2019 for each month of the year) to obtain  $J_{\text{bio}}$  in units of  $\text{mmol C m}^{-3} \text{ d}^{-1}$ .

Analogously,  $J_{\text{surf}}$  is the air-sea flux of  $\text{CO}_2$  divided by the climatological depth of the mixed layer. To obtain  $J_{\text{surf}}$  and  $J_{\text{bio}}$ , we divide by the climatological mixed layer depth rather than the monthly MLD in order to remove the effect of interannual MLD variations on  $J_{\text{surf}}$  and  $J_{\text{bio}}$ . The change in the sDIC concentration that occurs through the dispersion of detritus losses and surface carbon fluxes over an interannually variable mixed layer depth is thus attributed to the circulation term.

Here, we go beyond the analysis of Lovenduski et al. (2007) by estimating the trend in sDIC due to the surface flux, the export flux and the circulation [ $\text{mmol C m}^{-3}\text{perdecade}(\text{dec})$ ] from the trend in the sDIC tendencies [ $\text{mmol C m}^{-3}\text{d}^{-1}\text{dec}^{-1}$ ] through integration. We apply this latter analysis to simulation A, B, C and D to separately quantify the effect of both atmospheric  $\text{CO}_2$  and climate on the trends.

### **Text S5: Comparison of direct and indirect wind effects**

The simulated wind effect on the global  $\text{CO}_2$  flux trend 1958-2019 is larger ( $2.9 \times 10^{-2}\text{PgC yr}^{-1}\text{dec}^{-1}$ ) than the offline estimate of the wind-velocity effect on the gas transfer velocity ( $-1.0 \times 10^{-2}\text{PgC yr}^{-1}\text{dec}^{-1}$ ). While the geographic pattern of the direct effect reflects local wind changes, patterns of the simulated effect are more complex to interpret (Figures 3e and S2). Overall, indirect effects dominate the response to wind forcing through circulation changes that the offline approach fails to capture.

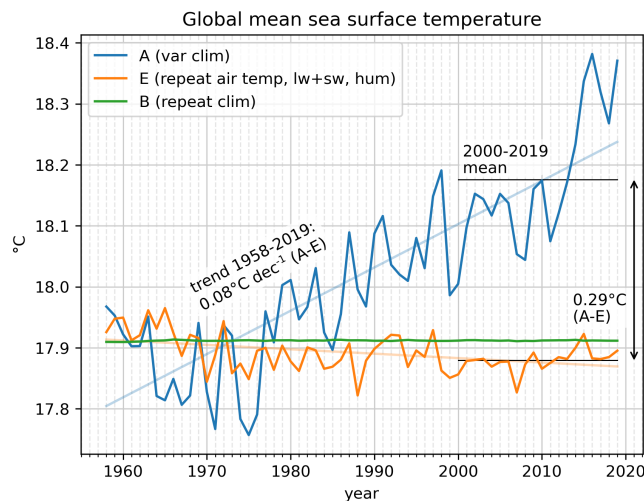
### **Text S6: Extended results of the offline analysis**

In simulation D, changes in SST, winds, sAlk, sDIC and salinity and freshwater fluxes (S+FW) contribute to the climate effects on the  $\text{CO}_2$  flux. The net climate-induced change of the globally integrated natural air-sea  $\text{CO}_2$  flux 2000-2019 amounts to an outgassing of  $0.16\text{PgC yr}^{-1}$  in simulation D. According to the offline approach, the increase in SST dominates this flux change, generating an outgassing of  $0.66\text{PgC yr}^{-1}$  (Figure S3). However, most of the temperature effect is offset by the effects of sAlk ( $-0.29\text{PgC yr}^{-1}$ ) and

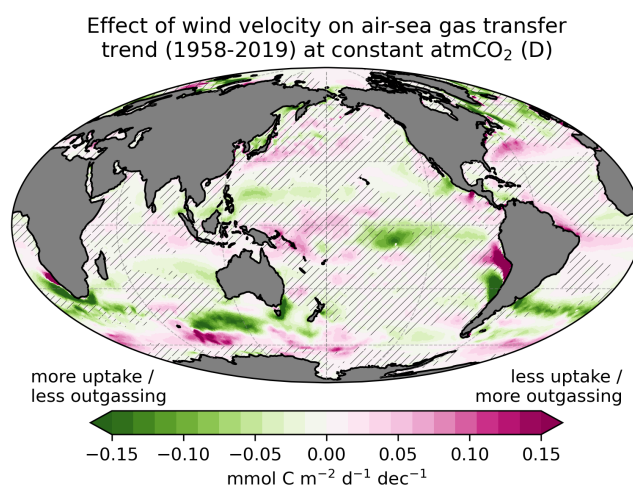
sDIC ( $-0.27 \text{ PgC yr}^{-1}$ ). Changes in salinity, freshwater fluxes and sea-ice concentrations have small effects globally ( $< 0.05 \text{ PgC yr}^{-1}$ ). Ideally, the sum of all offline decomposed climate drivers should be equal to the simulated net  $\text{CO}_2$  flux in simulation D. However, the sum is different from the net  $\text{CO}_2$  flux by  $-0.06 \text{ PgC yr}^{-1}$ , which we attribute to the assumptions underlying the analysis. Yet, this error is small compared to the magnitude of the dominant drivers.

### **Text S7: Effect of climate change on the North Pacific $\text{CO}_2$ flux**

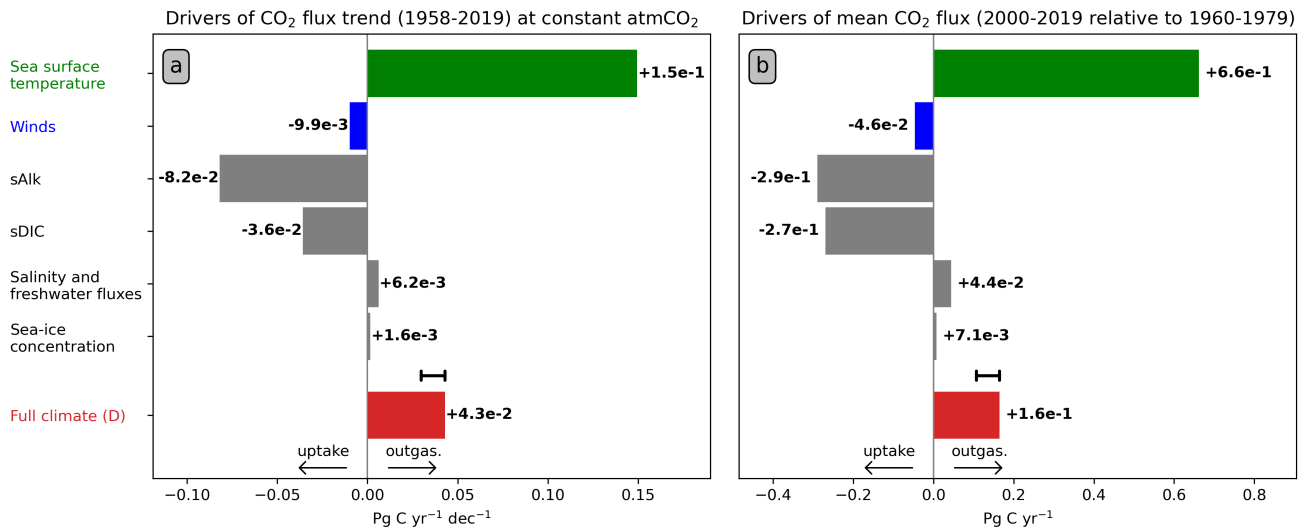
The North Pacific is exposed to regional climate change that, in total, promotes slightly more oceanic  $\text{CO}_2$  uptake ( $5.8 \times 10^{-1} \text{ TgC yr}^{-1} \text{ dec}^{-1}$  stemming from the North Pacific Subtropical Seasonally Stratified (STSS), Subpolar Seasonally Stratified (SPSS) and Ice (ICE) biomes collectively). The reasons for this are twofold: firstly, the intensification of westerly winds, mixed layer deepening and increasing net primary productivity (NPP) in the western North Pacific lead to more  $\text{CO}_2$  uptake (Figure S7, region 1). Some observational evidence for wind-driven mixed-layer deepening (1960-2007) in region 1 and, albeit less prominent, mixed-layer shoaling in region 3 exists (Carton et al., 2008). Secondly, the retreat of sea-ice due to warming drives increased  $\text{CO}_2$  uptake and increased NPP in the Sea of Okhotsk and in the Arctic Ocean (Figure S7, regions 2a and 2b) - although the impact is smaller than in the North Atlantic. In contrast, weaker winds lead to less  $\text{CO}_2$  uptake in the eastern North Pacific, which is driven by decreased NPP in that area (Figure S7, region 3).



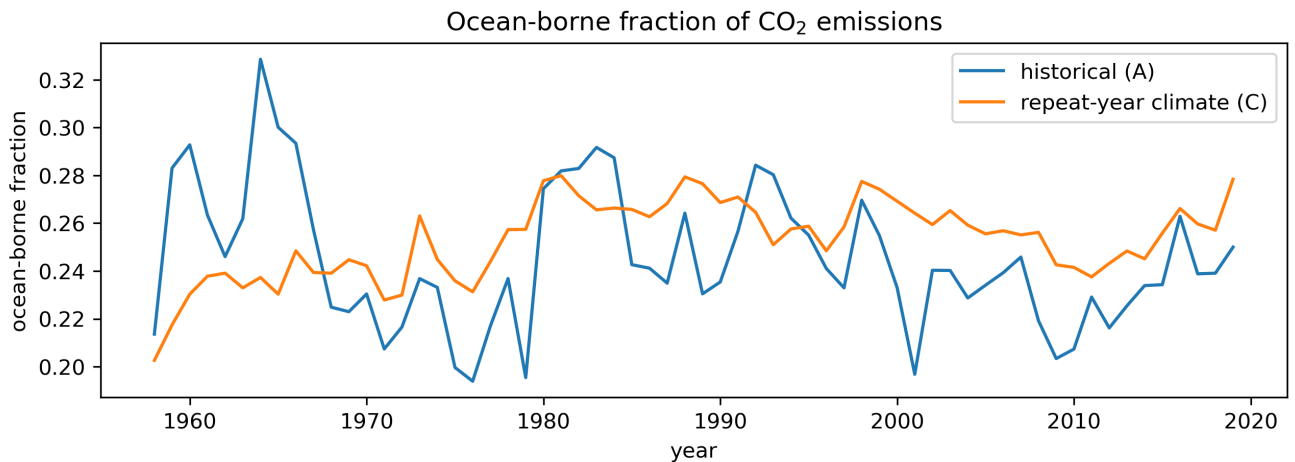
**Figure S1:** Global mean sea surface temperature in the historical simulation (simulation A), in the simulation with annually repeated climate forcing (simulation B) and in the simulation at the notional absence of global warming, while still allowing some climate variables to vary as in historical records (simulation E).



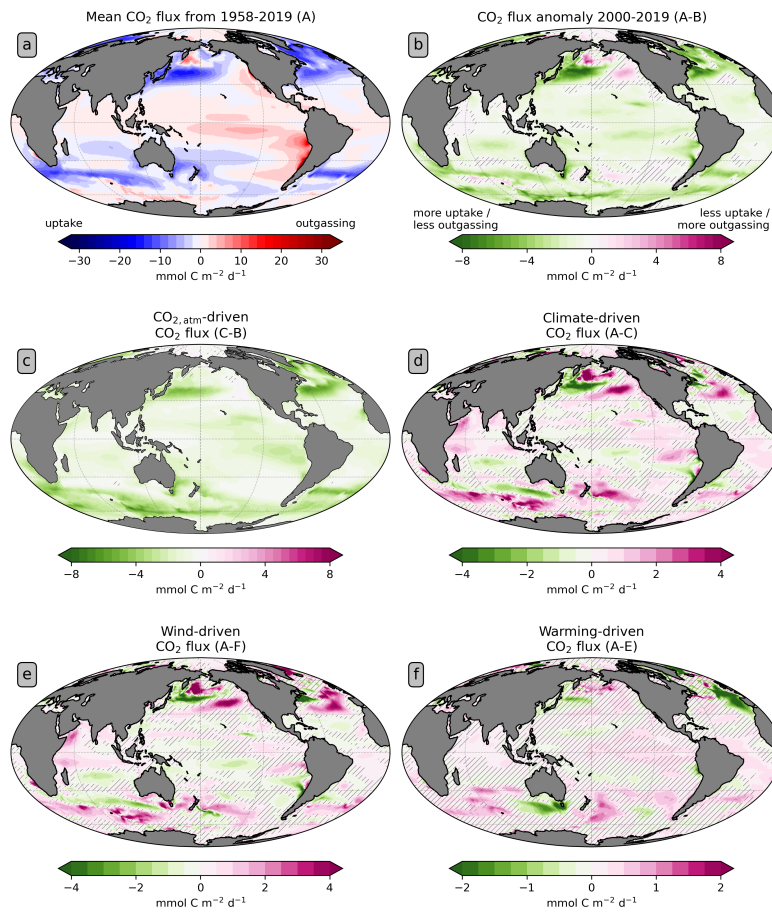
**Figure S2:** Offline estimate of the trend 1958-2019 in the air-sea  $\text{CO}_2$  flux density derived from the trend of the squared wind velocity. Hatched areas indicate a low significance of the trend ( $p$ -value  $> 0.05$ ). Positive values denote a trend towards more oceanic outgassing or less oceanic uptake, respectively.



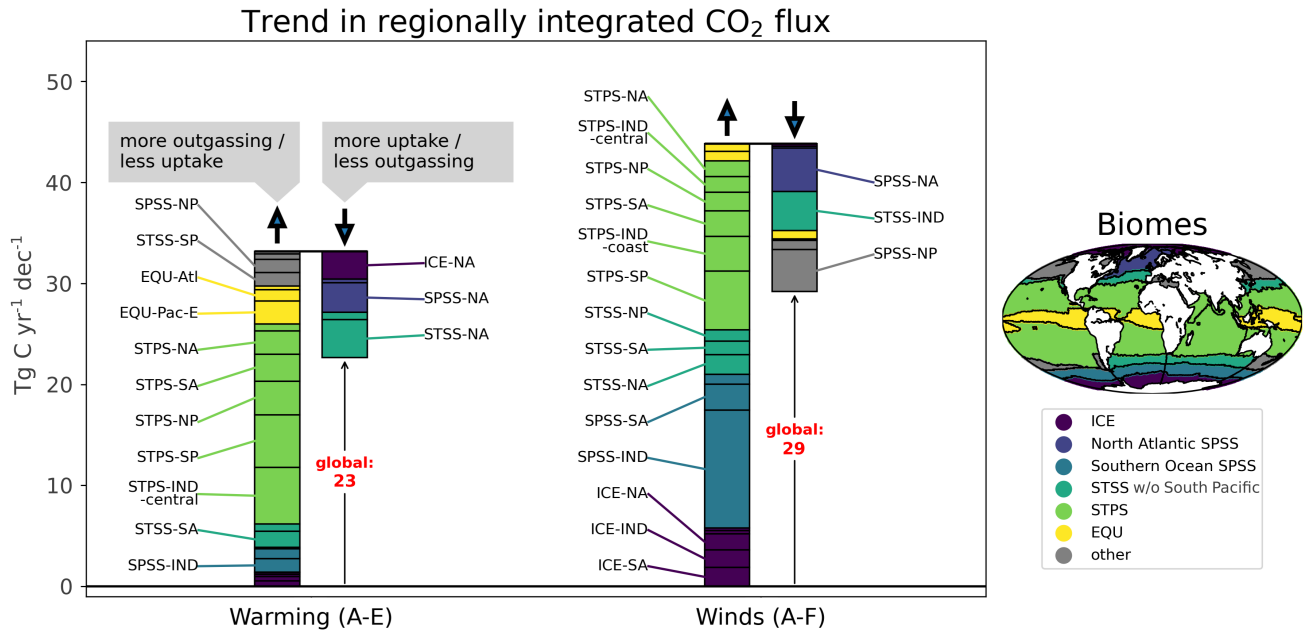
**Figure S3:** (a) The climate effect on the trend of the global air-sea flux of natural CO<sub>2</sub> (simulation D) from 1958-2019 based on an offline estimate (see Text S2). The difference ( $1.3 \times 10^{-2} \text{ PgC yr}^{-1} \text{ dec}^{-1}$ ) between the sum of all offline decomposed factors ( $3.0 \times 10^{-2} \text{ PgC yr}^{-1} \text{ dec}^{-1}$ ) and the simulated total climate effect ( $4.3 \times 10^{-2} \text{ PgC yr}^{-1} \text{ dec}^{-1}$ ) is indicated with a black symbol. (b) Like (a), but for the mean CO<sub>2</sub> flux 2000-2019 relative to 1960-1979.



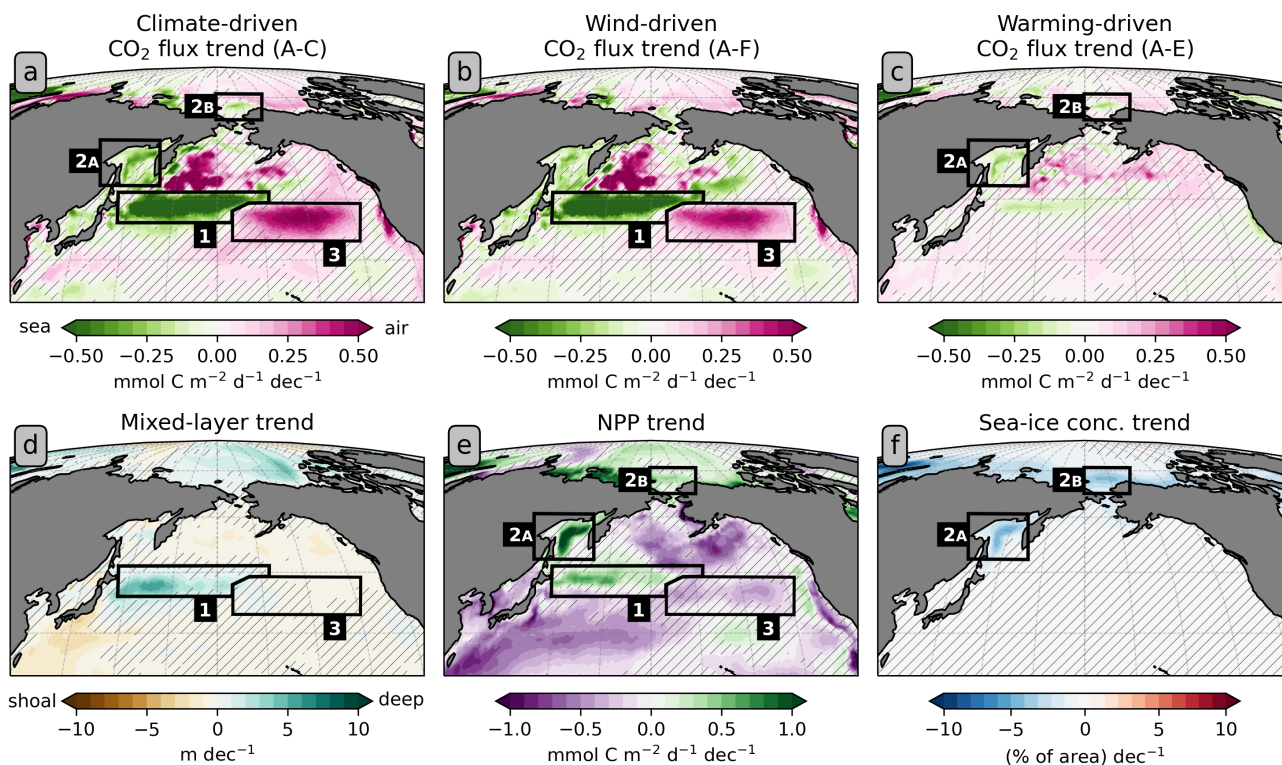
**Figure S4:** The ocean-borne fraction of annual CO<sub>2</sub> emissions in the historical simulation (A) and in the simulation with annually repeated climate forcing (C). Data for the total anthropogenic CO<sub>2</sub> emissions stems from the Global Carbon Budget (Friedlingstein et al., 2023).



**Figure S5:** (a) The mean air-sea  $\text{CO}_2$  flux density 1958-2019 and (b) the net anomaly in the  $\text{CO}_2$  flux density 2000-2019 in the historical simulation A compared to simulation B. (c-f) The effects of the rising atmospheric  $\text{CO}_2$  concentration and climate change on the mean  $\text{CO}_2$  flux density 2000-2019 obtained as the difference between two simulations with and without interannual variability and trends in the respective variable(s): (c) Atmospheric  $\text{CO}_2$  concentration, (d) full climate variability and trends, (e) winds and (f) global warming. Positive values denote more oceanic outgassing or less oceanic uptake, respectively. Hatched areas in (b-f) indicate a low significance of the difference between the two respective fields (p-value  $>0.05$  applying a two-sided Welch's t-test).

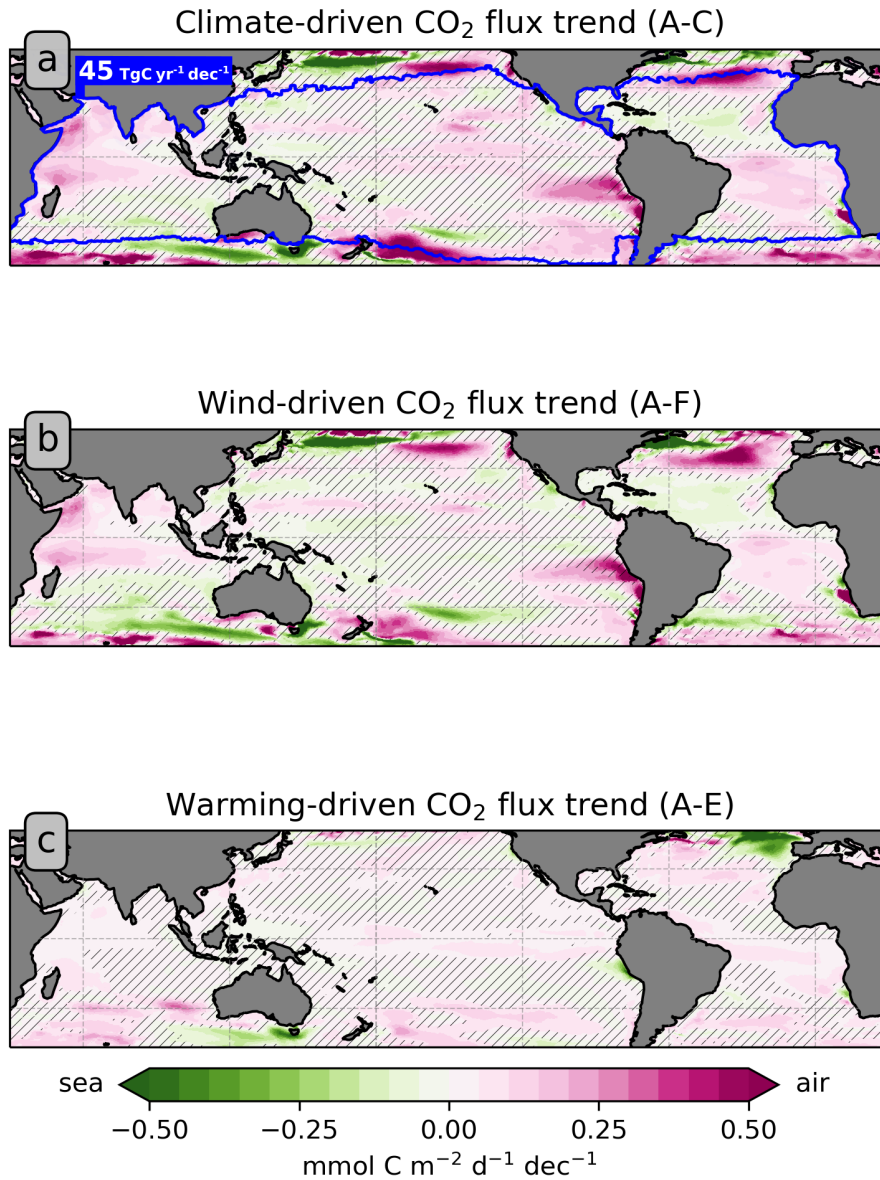


**Figure S6:** Regional contributions to the spatially integrated CO<sub>2</sub> flux trend (1958-2019) caused by winds and global warming. Biomes considered are: Ice biome (ICE), Subpolar Seasonally Stratified biome (SPSS), Subtropical Seasonally Stratified biome (STSS), Subtropical Permanently Stratified biome (STPS) and Equatorial biome (EQU) with subregions: North Atlantic (NA), North Pacific (NP), South Atlantic (SA), South Pacific (SP) and Indian Ocean (IND) (Fay and McKinley, 2014). Labels skipped for absolute contributions less than 1 TgC yr<sup>-1</sup> dec<sup>-1</sup>.

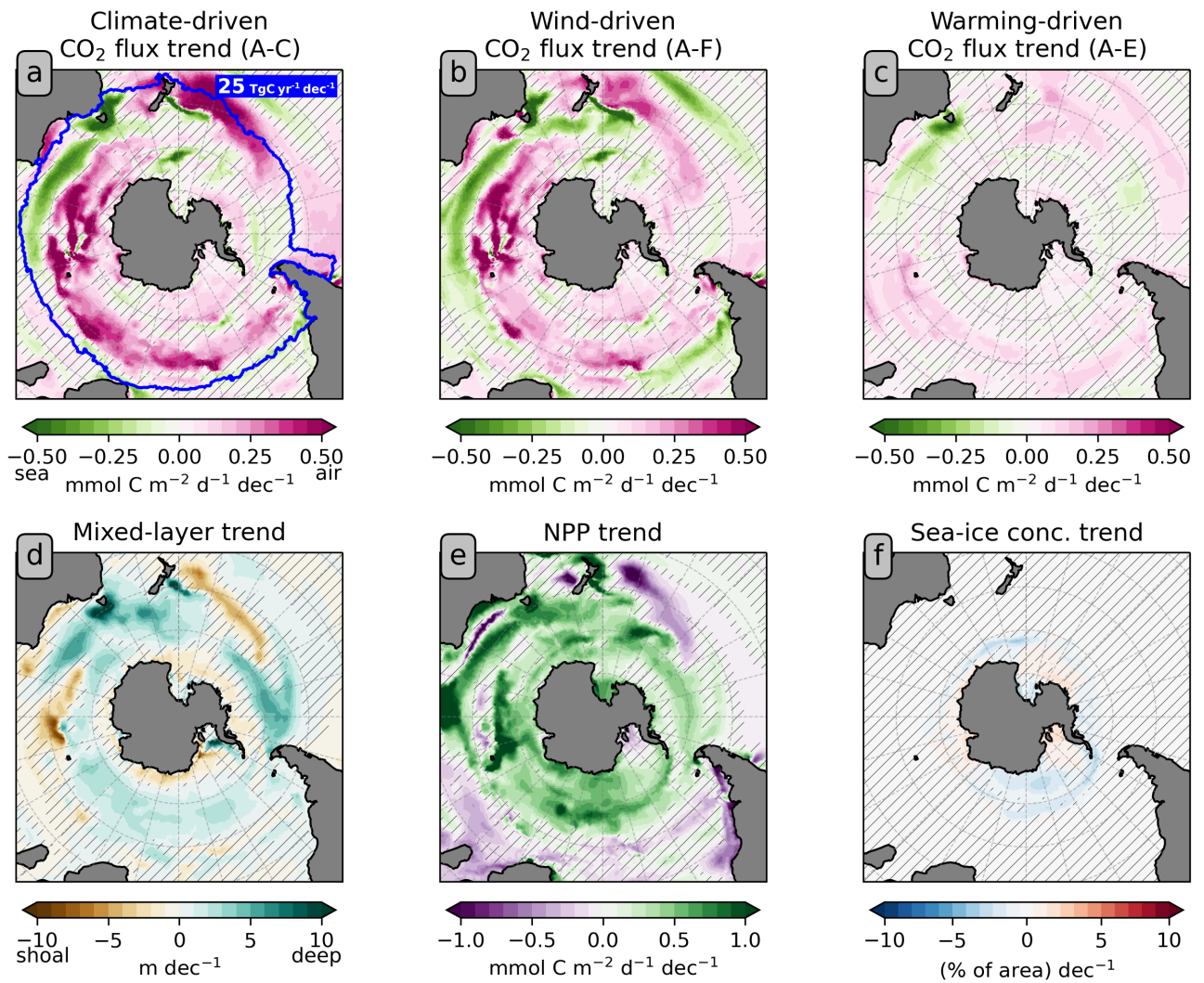


**Figure S7:** (a-c) North Pacific trends in the CO<sub>2</sub> flux density between 1958-2019 due to (a) net climate effects, (b) winds and (c) global warming. (d-f) Trends in (d) mixed layer depth, (e) NPP and (f) sea-ice concentration. Hatched areas in indicate a low significance of the trend (p-values > 0.05).





**Figure S8:** Trends in the CO<sub>2</sub> flux density between 1958-2019 in the tropics and subtropics due to (a) net climate effects, (b) winds and (c) global warming. Hatched areas indicate a low significance of the trend (p-values > 0.05). The blue framed area follows biome definition by Fay and McKinley, 2014.



**Figure S9:** (a-c) Southern Ocean trends in the CO<sub>2</sub> flux density between 1958-2019 due to (a) net climate effects, (b) winds and (c) global warming. (d-f) Trends in (d) mixed layer depth, (e) NPP and (f) sea-ice concentration. Hatched areas indicate a low significance of the trend (p-values > 0.05). The blue framed area follows biome definition by Fay and McKinley, 2014.

**Table S1:** Summary of model simulations. The forcing variables which are varying over time are denoted by 'x' for each simulation; all other variables are held constant or applied as annually repeating forcing ('-').

		<b>A</b>	<b>B</b>	<b>C</b>	<b>D</b>	<b>E</b>	<b>F</b>
atmospheric CO <sub>2</sub>		×	-	×	-	×	×
climate	warming					-	×
	winds	×	-	-	×	×	-
	other					×	×

×: varying, -: constant/repeat-year

**Table S2:** Global time-mean CO<sub>2</sub> flux in PgC yr<sup>-1</sup> and trend in PgC yr<sup>-1</sup> dec<sup>-1</sup> compared to the estimates of LeQuéré et al. (2010), referred to as 'LQ', and Gruber et al. (2019), referred to as 'G', over the available time periods. Furthermore, the mean CO<sub>2</sub> flux 2010-2019 and trend 1958-2019 are compared to the multi-model mean, minimum and maximum estimates of 10 global ocean biogeochemistry models participating in the Global Carbon Budget (Friedlingstein et al., 2023), referred to as 'GCB'. The GCB models are: NEMO3.6-PISCESv2-gas (CNRM) (Berthet et al., 2019 and Séférian et al., 2019), FESOM-2.1-REcoM2 (Gürses et al., 2023), NEMO-PISCES (IPSL) (Aumont et al., 2015), MOM6-COBALT (Princeton) (Liao et al., 2020), MRI-ESM2-2 (Nakano et al., 2011, Urakawa et al., 2020 and Sakamoto et al., 2023), MICOM-HAMOCC (NorESM-OCv1.2) (Schwinger et al., 2016), NEMO-PlankTOM12 (Wright et al., 2021), CESM-ETHZ (Doney et al., 2009), MPIOM-HAMOCC6 (Lacroix et al., 2021) and ACCESS (CSIRO) (Law et al., 2017).

	Mean			
	1958-2019	1981-2007	1994-2007	2000-2019
Global flux (A-B)	-1.53	-1.70 (LQ: -2.2)	-1.79	-2.00 (GCB: -2.32 [-3.11; -1.91])
CO <sub>2</sub> atmos (C-B)	-1.63	-1.81 (LQ: -0.43)	-1.97 (G: -2.6±0.2)	-2.25 (GCB: -2.44 [-3.17; -2.12])
Climate (A-C)	0.10	0.12 (LQ: 0.27)	0.18	0.25 (GCB: 0.12 [0.05; 0.20])
Winds (A-F)	0.09	0.10	0.15	0.17
Warming (A-E)	0.03	0.01	0.02	0.09
nat (D-B)	0.09	0.11	0.18 (G: 0.4±0.2)	0.26
ant ((A-C)-(D-B))	0.01	0.00	-0.00	-0.01
	Trend			
	1958-2019	1981-2007	1994-2007	2000-2019
Global flux (A-B)	-0.238 (GCB: -0.293 [-0.376; -0.249])	-0.158 (LQ: -0.12)	-0.219	-0.397
CO <sub>2</sub> atmos (C-B)	-0.304 (GCB: -0.336 [-0.433; -0.280])	-0.259 (LQ: -0.32)	-0.356	-0.332
Climate (A-C)	0.065 (GCB: 0.044 [0.009; 0.079])	0.101 (LQ: 0.20)	0.137	-0.65
Winds (A-F)	0.029	0.089 (LQ: 0.12)	0.118	-0.113
Warming (A-E)	0.023	0.006 (LQ: 0.04)	0.052	0.076
nat (D-B)	0.072	0.114	0.179	-0.066
ant ((A-C)-(D-B))	-0.006	-0.013	-0.043	0.001