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## Acoustic data collected during and between bottom trawl stations: consistency and common trends

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**Abstract:** Acoustic data are often collected during bottom trawl surveys. Their use can potentially improve the precision and accuracy of fish abundance estimates if acoustic data collected between trawl stations are consistent with those collected during trawling operations. This question is addressed here through the analysis of 20 bottom trawl surveys (three survey areas and five different survey series) with coincident acoustic measurements during and between trawl stations. Firstly, on-station and underway acoustic data were compared using statistics computed globally over each survey (average vertical profiles, global indices of collocations, and spatial structures) for various combinations of depth layers. Secondly, we focussed on underway acoustic data recorded in the vicinity of stations, distinguishing between data recorded before and after the tows. On-station and underway acoustic data were highly consistent, and no systematic perturbation of the acoustic signal due to the presence of the gear a few hundred metres behind the vessel was observed.

**Résumé :** On récolte souvent des données acoustiques durant les inventaires faits au chalut de fond. Leur utilisation peut potentiellement améliorer la précision et la justesse des estimations d'abondance des poissons, si les données acoustiques récoltées entre les stations de chalutage sont compatibles avec celles récoltées durant les opérations de pêche. Nous examinons la question en analysant 20 inventaires faits au chalut de fond (trois zones d'inventaire et cinq séries différentes d'inventaires) pour lesquels il existe des mesures acoustiques coïncidentes obtenues dans et entre les stations de chalutage. Nous avons d'abord comparé les données acoustiques obtenues en route et dans les stations à l'aide de statistiques calculées globalement pour chaque inventaire (profils verticaux moyens, indices globaux de collocation et structures spatiales) selon diverses combinaisons de couches de profondeur. Ensuite, nous nous sommes intéressés aux données acoustiques obtenues en route près des stations, en distinguant entre les données enregistrées avant et après le chalutage. Il existe un excellent accord entre les données acoustiques obtenues dans les stations et celles enregistrées en route; on n'observe pas de perturbation systématique du signal acoustique due à la présence des engins de pêche à quelques centaines de mètres derrière le navire.

## 19 **Abstract**

20 Acoustic data are often collected during bottom trawl surveys. Their use can  
21 potentially improve the precision and accuracy of fish abundance estimates if acoustic  
22 data collected between trawl stations are consistent with those collected during trawling  
23 operations. This question is addressed by the current paper through the analysis of twenty  
24 bottom trawl surveys (three survey areas and five different survey series) with coincident  
25 acoustic measurements during and between trawl stations. Firstly, on-station and  
26 underway acoustic data were compared using statistics computed globally over each  
27 survey (average vertical profiles, global indices of collocations and spatial structures) for  
28 various combinations of depth layers. Secondly, we focussed on underway acoustic data  
29 recorded in the vicinity of stations, distinguishing between data recorded before and after  
30 the tows.

31 On station and underway acoustic data were highly consistent and no systematic  
32 perturbation of the acoustic sign due to the presence of the gear few hundreds meters  
33 behind the vessel was observed.

## 34 **Key words**

35 Bottom trawl, acoustic, gear perturbation

## 36 **Introduction**

37 Bottom trawl surveys are one of the main survey methods used in the assessment  
38 of demersal fish stocks around the world (Gunderson 1993). It has recently become  
39 possible to carry out combined acoustic and bottom trawl surveys (e.g., in the Barents  
40 Sea, Aglen and Nakken 1997; Korsbrekke et al. 2001) or to collect acoustic and trawl  
41 data while carrying out a bottom trawl survey (Cachera et al. 1999; Krieger et al. 2001).

42 In some cases, such as Barents Sea cod (*Gadus morhua* L., Korsbrekke et al. 2001), the  
43 acoustic data are used to generate a secondary abundance index from the survey in  
44 addition to a trawl catch-rate index. Acoustic observations can also be used to gain  
45 additional information on fish availability and distribution away from the trawl station in  
46 order to improve the precision and accuracy of the trawl-based estimate. These two  
47 approaches were the basis for the EU funded (Framework Programme 5) project  
48 CATEFA (Combining Acoustic and Trawl data for Estimating Fish Abundance).

49 Two hypotheses need to be confirmed to allow this combination of acoustic and  
50 trawl survey data. The first is that the fishing gear and the acoustic devices are measuring  
51 the same thing. If true it would become possible to derive a relationship between trawl  
52 catch and acoustic observations (Krieger et al. 2001; Hjellvik et al. 2003). The second is  
53 that acoustic data collected away from the trawl stations is consistent with that collected  
54 during the trawling operations. The present paper deals with the second hypothesis.

55 There is considerable evidence that fish engage in avoidance behaviour to the  
56 trawl/vessel combination (Godø et al. 1999; Michalsen 1999; Handegard et al. 2003;  
57 Kloser and Horne 2003). Vessel speed is generally low during trawling (e.g. around 3  
58 knots) and, a large and noisy net is being towed. Away from the trawl stations, the survey  
59 vessel moves much faster (usually over 10 knots) and without a net. The evidence is  
60 mixed as to whether fish also engage in avoidance behaviour under this scenario (Mitson  
61 and Knudsen 2003; Fréon and Misund 1999; Fernandes et al. 2000). Different avoidance  
62 reactions, and hence availability to the echosounder, could have a significant impact on  
63 what is seen on the echogram. In order to use the acoustic data between trawl stations for  
64 the purpose of improving trawl survey estimates or of combining the data, we must be

65 sure that the echosounder is seeing the same component of a population during trawling  
66 as it does while running between stations. This study uses data from a number of different  
67 trawl surveys in the North, Irish and Barents Seas (Fig. 1a). It examines the relationship  
68 between on-station and between-station acoustic data at both the local level (i.e.,  
69 immediately adjacent to the trawl station) and more globally for each survey.

## 70 **Material and methods**

### 71 **Surveys and data preparation**

72 Bottom trawl data with coincident acoustic measurements from three survey areas  
73 and five different survey series were used in this analysis (Table 1).

74 The International Council for the Exploration of the Sea (ICES) co-ordinates the  
75 International Bottom Trawl Surveys (IBTS) in the North Sea. These surveys follow a  
76 random design, stratified by ICES rectangle (Fig. 1b). Trawl and acoustic data are only  
77 collected during daylight hours. The surveys used in this study were those carried out by  
78 the Centre for Environment Fisheries and Aquaculture Science (Cefas) - Lowestoft  
79 (2000, 2001 and 2002), the Fisheries Research Services (FRS) - Aberdeen (1999, 2000  
80 and 2002) and the Institut Français de Recherche pour l'Exploitation de la Mer (Ifremer)  
81 - Boulogne (2002 and 2003). Each survey comprises between 60 and 80 stations. The  
82 North Sea data had the most skewed distributions with many low values and a few  
83 extremely high values. In the case of the French data, 65 % of the total back-scattering  
84 energy on-station was concentrated in 3 % of the stations.

85 The Northern Irish Bottom Trawl Surveys (NIBTS) in the Irish Sea. These  
86 surveys are mostly small (35 to 45 stations) and follow a random sampling design  
87 stratified by depth and substrate (Fig. 1c). Depth varied between 23 and 102 m. Four

88 surveys carried out by Dardni (Department of Agriculture and Rural Development,  
89 Northern Ireland) Belfast were available: autumn 1997, spring 2000, autumn 2001 and  
90 spring 2002. These surveys tend to encounter much more pelagic fish like herring  
91 (*Clupea harengus*) and sprat (*Sprattus sprattus*) than in the North Sea or Barents Sea  
92 surveys.

93 The combined acoustic and bottom trawl surveys for cod (*Gadus morhua*) and  
94 haddock *Melanogrammus aeglefinus*) in the Barents Sea – are conducted by the Institute  
95 of Marine Research (IMR) Bergen. Sampling follows a regular grid with a haul every 20  
96 n.mi. (Fig. 1d). The number of hauls varied between 200 and 300. Surveys were available  
97 from 1997 to 2002.

98 Simrad EK500 scientific echosounders were used for all surveys, with at least a  
99 38kHz split-beam transducer. The echo-sounder angle was of 7° and its pulse duration  
100 was of 1 ms. For this frequency, the efficiency of the TVG is 580 meters (Diner &  
101 Marchand, 1995). Since the maximum depth encountered in the different surveys used in  
102 this study was between 23 meters (Irish Sea) and 540 meters (Barents Sea), the  
103 propagation loss was not a problem. The acoustic back-scattering energies were  
104 converted to Nautical Area Scattering Coefficient (NASC; MacLennan *et al.* 2002) and  
105 expressed in  $m^2 \cdot n.mi^{-2}$ . The integration threshold was set at -70dB NASC values were  
106 available from trawl stations and between trawl stations. For the on-station NASC,  
107 integration was carried out for the whole trawling period. In general, the tow length is  
108 fixed within each survey series. NASC values between trawl stations were available at  
109 fixed Elementary Sampling Distance Units (ESDU) which differed by survey series: 0.1

110 n.mi. for IFREMER data, 1 n.mi. for IMR data, and 0.5 n.mi. for the rest of the datasets  
111 (Table 1).

112 Because the ESDUs were smaller than the average tow lengths, between-station  
113 NASC values were pooled (regularized) to produce ESDU as close to the average tow  
114 lengths as possible for each survey series: 3 n.mi in the Irish Sea, 1 n.mi. in the Barents  
115 Sea, and 2 n.mi in the North Sea.

116 NASC values for each ESDU and trawl station were subdivided into a series of  
117 bottom referenced layers (Fig. 2): ten one-meter layers sequentially from the seabed  
118 followed by several ten-meters layers. The accuracy of the sounder-detected bottom was  
119 verified and corrected where needed. This was achieved using manual or semi-automated  
120 procedures in the analysis of the acoustic data. In the latter case, the layer closest to the  
121 bottom included a backstep to avoid integrating the seabed. The size of the backstep  
122 varied between 10 and 40 cm, depending on the survey series and weather conditions.  
123 Acoustic data preparation was carried out using SIMRAD BI500 for the Norwegian data,  
124 Movies Plus for the French data and SonarData EchoView 3.1 for all of the other data.

125 Acoustic signals of obvious and well-defined pelagic fish schools were excluded  
126 from the analysis.

## 127 **Notations**

128 The superscripts indicate whether a parameter refers to on-station (<sup>o</sup>) or between-  
129 station (<sup>b</sup>) data. For instance, the numbers of samples taken on-station and between-  
130 stations are denoted by  $N^o$  and  $N^b$ . Equations are only given for the on-station data. They  
131 are interchangeable with between-station data by changing the superscripts.

132 The NASC values observed at sample  $i$ , in layer  $k$ , are denoted  $s_A^o(i, k)$ ,  
133  $i \in [1, N^o]$ . The longitude and latitude  $(x_i, y_i)$  are expressed in decimal degrees. The  
134 number and the thickness of the depth layers are denoted by  $k$  and  $t_k$  as follows:

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$$\begin{aligned} t_1 &= 1 \text{ m} && \text{if no backstep (manual bottom correction)} \\ 0.6 \text{ m} \leq t_1 &\leq 0.9 \text{ m} && \text{if backstep (semi-automatic bottom correction)} \end{aligned}$$

138 (Eq. 1)  $t_k = 1 \text{ m}$  for  $k = 2, \dots, 10$

$$t_k = 10 \text{ m} \text{ for } k \geq 11$$

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142 Volumetric Scattering Coefficients,  $s_V$ , expressed in  $\text{m}^{-1}$  are obtained by:

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146 (Eq. 2)  $s_V^o(i, k) = \frac{s_A^o(i, k)}{t_k}$

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150 Layers were also integrated and grouped into a bottom and a mid-water layer. In

151 the North Sea and Irish Sea, the bottom layer was defined as the bottom 10 m and the

152 mid-water layer was the layers between 10 and 40 m off the bottom (Fig. 2). Because of  
153 the high average depth in the Barents Sea area and the large vertical opening of the trawl,  
154 the first 40 meters were regarded as the bottom layer and the mid-water layer was  
155 between 40 and 100 m above the bottom:

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159 (Eq. 3)  $s_A^o(i, 0-40) = \sum_{k=1}^{13} s_A^o(i, k)$  and  $s_A^o(i, 40-100) = \sum_{k=14}^{19} s_A^o(i, k)$

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163 The sum over all the layers is denoted by  $s_A^o(i)$ .

## 164 **Global statistics**

### 165 *Vertical profiles*

166 We computed the average vertical profiles for both on-station and between-station  
167 NASC for each survey according to:

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171 (Eq. 4)  $s_V^o(k) = \frac{\sum_{i=1}^{N^o} s_V^o(i, k)}{N^o}$

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173

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175 This allows for a visual comparison of vertical fish distributions seen on-station  
176 and between stations.

177 *Horizontal structures*

178 Global index of Collocation

179 The match between the two spatial distributions was evaluated using a Global  
180 Index of Collocation (GIC; Bez and Rivoirard 2000). This index is based on the centre of  
181 mass and inertia of each spatial distribution. The centre of mass for say the on-station  
182 bottom layers in a given area ( $CoM_{0-40}^o$ ), was computed as:

183

184

185

186 (Eq. 5)  $CoM_{0-40}^o = \left( \frac{\sum_{i=1}^{N^o} s_A^o(i, 0-40) \cdot x_i}{\sum_{i=1}^{N^o} s_A^o(i, 0-40)}, \frac{\sum_{i=1}^{N^o} s_A^o(i, 0-40) \cdot y_i}{\sum_{i=1}^{N^o} s_A^o(i, 0-40)} \right)$

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190 with equal weight given to each sample. The centre of mass is a vector of coordinates  
191 giving the mean location of the population in terms of longitude and latitude. The inertia

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$$(Eq. 6) \quad I_{0-40}^o = \frac{\sum_{i=1}^{N^o} s_A^o(i, 0-40) \cdot \left( (x_i - CoM_{0-40}^o)^2 + (y_i - CoM_{0-40}^o)^2 \right)}{\sum_{i=1}^{N^o} s_A^o(i, 0-40)}$$

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198

199 is expressed in surface units (typically square nautical miles) and quantifies the spatial  
200 dispersal of the population. The Global Index of Collocation (GIC) is given by:

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$$(Eq. 7) \quad GIC_{0-40} = 1 - \frac{(CoM_{0-40}^o - CoM_{0-40}^b)^2}{(CoM_{0-40}^o - CoM_{0-40}^b)^2 + I_{0-40}^o + I_{0-40}^b}$$

205

206

207

208 It measures the spatial overlap between the on station and between-station populations  
209 and ranges from 1 for complete spatial overlap between the two populations to 0 when  
210 the two are distinct. Numerically, it decreases quickly with decreasing spatial overlap.

211 This index is analogous to an analysis of variance type of criteria as it compares the mean  
212 (square) distance between the centroids of the two populations, and the mean (square)

213 distance between two individuals taken at random and independently from any of the two  
214 populations (Bez, in press).

215

216 Variograms

217         Spatial structures of the vertically integrated NASC values were compared in  
218 more detail using variography (e.g. Rivoirard et al. 2000). Because the goal was to  
219 compare the spatial structures, and not to estimate biomass, the NASC values were  
220 transformed as follows:

221

222

223 (Eq. 8)  $\log(1 + s_A^o(i))$

224

225

226

227 While this non-linear transformation modifies the spatial structure, it does not preclude  
228 comparisons of spatial structures from being made. Zero values remain zero after the  
229 transformation but differences between large data values are reduced.

230         Because the sample sizes of the two sets were significantly different (a few dozen  
231 for on-station data and few hundred for between-station), we did not expect the variances  
232 to be equal (especially when dealing with skewed data). We therefore compared  
233 normalised variograms, i.e. variograms divided by the empirical variance of input data. In  
234 two instances, a poor match was observed between the variograms of on-station and  
235 between-station data. The impact of extreme values was then investigated by excluding  
236 some of the largest data.

237 Normalised variograms were averaged by surveys series, resulting in one  
238 variogram per survey series.

### 239 **Local statistics : before, during and after trawl**

240 To test for the existence of changes in the acoustic signal due to fish response to  
241 trawl gear, we compared records made during trawling with those made just before and  
242 after trawling. The objective was to test the null hypothesis (H0) that on-station and  
243 nearby between-station NASC values were similar, and more precisely, as similar as two  
244 consecutive between-station NASC values that lie outside the stations' areas of influence.

245 A window of the same order of magnitude of the tow durations was chosen to  
246 select between-station data located nearby each trawl station (1 n.mi. for Barents Sea  
247 surveys, 2 n.mi. for North Sea surveys and 3 n.mi. for Irish Sea surveys). This window  
248 was considered to be small enough to provide local statistics but large enough to include  
249 a sufficient number of observations.

250 Bottom and mid-water layers were summarized by two statistics: a biomass  
251 criteria, i.e. the NASC values integrated over the depth layers and, a measure of vertical  
252 distribution, i.e. the altitude of the centre of mass (CoM) of the acoustic energy. The null  
253 hypothesis H0 to be tested, was that these two criteria were equal on average for  
254 observations made before, during and after trawling for both the bottom and mid-water  
255 layers.

256 Comparisons of observations recorded before, during and after the tows were  
257 sensitive to possible mixture of a trawl effect and a distance effect. The objective of the  
258 test was thus to disentangle how much the observed differences originated from the  
259 distance between the observations and from trawl effects respectively. When the spatial

260 distribution of fish is such that any two proximate values are naturally similar (strong  
261 spatial structure of the study variable), observations made before, during and after a trawl  
262 station must be very similar in order for H0 not to be rejected. On the other hand, if the  
263 spatial structure is weak, the average difference between two proximate values is  
264 naturally relatively large, and H0 cannot be rejected, even for a relatively large  
265 discrepancy between observations made before, during and after trawling. Tests were  
266 thus evaluated with regards to the similarity of 1000 randomly selected pairs of  
267 successive between-station observations sufficiently far away from trawl stations to  
268 preclude a trawl effect. For each survey, the following three differences: *during - before*;  
269 *during - after*; and *random1 - random 2*, were thus considered (the first two being  
270 positive when the observations recorded during trawling operations were larger). These  
271 differences were considered relative to the mean value of the integrated NASC values and  
272 the altitude of the centre of mass of the acoustic energy relatively, both parameters were  
273 pooled by survey series. Empirical cumulative density functions (cdf) were thus built for  
274 each survey series and for bottom and mid-water layers separately.

275 Finally, a paired Student test, robust to departure from normality, was used to test  
276 if mean differences were equal to zero. Given H0, a large p-value indicates a high  
277 likelihood that observed difference are consistent with a zero mean.

### 278 **Time of day considerations**

279 With the exception of the Barents Sea surveys, all surveys are performed during  
280 daylight and no impact of the time of the day is expected. In the Barents Sea however,  
281 there is ample evidence that vertical zonation of gadoid fish can vary throughout the day  
282 or year (Hjellvik et al. 2002). In the present analysis, this would not be expected to have a

283 major impact. For the pooled analyses, we have combined data for all times of day and  
284 equal compensation is expected for both on-station and between-station data as these are  
285 homogeneously distributed in time. For the before-during-after studies, each haul is  
286 matched to adjacent between-station data taken at same time, thus reducing the impact of  
287 diel changes. Finally, surveys are taken at the same time of year (Table 1), thus reducing  
288 seasonal effects.

### 289 **Coordinates transformations**

290 In order to compute true distances between samples, coordinates were  
291 transformed to an orthogonal system. A gnomonic projection with a centre at N72°00  
292 E30°00 was used for the Barents Sea data. A transformation based on the cosine of the  
293 mean latitude of the coordinates was applied to the North Sea and the Irish Sea data  
294 separately.

## 295 **Results**

### 296 **Vertical profiles**

297 There is a clear and consistent trend in the vertical acoustic profiles across surveys  
298 and survey series (Fig. 3, 4 and 5). In general, the mean NASC value is highest in the  
299 depth layer closest to the bottom, and decreases approximately exponentially over the  
300 next five to nine meters. Above this, the mean NASC is either relatively constant or  
301 decreases steadily both for on-station and between-station data. For the Irish Sea (Fig. 5)  
302 where a lot of the backscatter can be attributed to fish schools, the above-mentioned trend  
303 only appears after dense (pelagic) school echo traces have been excluded from the  
304 analyses. If these are retained, they result in a more bell-shaped vertical profile with the  
305 maximum energy a few meters above the bottom. The match between on station and

306 between station vertical profiles is nearly perfect for both represented quantiles for the  
307 Barents Sea case where the number of stations is large (Fig. 3), but less evident as the  
308 number of samples decreases (e.g. Irish Sea; Fig. 5). However, there is no general pattern  
309 of on-station or between-station profiles being systematically larger than the other.  
310 Similarly, the year-to-year differences in the vertical profiles are consistently reflected in  
311 both the on-station and between-station data, regardless of the number of samples.

### 312 **Global Index of Collocation**

313 The GICs were greater than 0.9 in 75% of the surveys suggesting a strong overall  
314 correspondance in the spatial distributions of NASC values between on-station and  
315 between-station data (Fig. 6). The GIC was considerably lower (around 0.6) in only two  
316 cases where centres of mass of each distribution was far apart each other compared to the  
317 respective dispersion of each population (inertia).

318 No systematic difference in the GIC values was observed between the bottom and mid-  
319 water layers. The mid-water GICs were generally smaller than those of the bottom layers  
320 (average GICs of 0.91 and 0.93 respectively) but the difference was not statistically  
321 significant (Student's T test: p.value = 0.57).

### 322 **Variograms**

323 The match between the log-transformed variograms for on-station and between-  
324 station data was very good for the Barents Sea surveys (Fig. 7a). For the other survey  
325 series (Fig. 7 b-e), a reasonable match was observed. However, in two cases (IBTS from  
326 FRS and IFREMER), this was only obtained after respectively 2.5% and 2% of the most  
327 extreme values were removed. The between-station data allowed resolution of the small-  
328 scale spatial structures that are inaccessible with the on-station data alone and would lead

329 to geostatistical models compounded of a nugget effect that explains around 40 % of the  
330 total variability (regardless of survey series) and of a component with autocorrelation  
331 limit distance of 200 n.mi for the Barents Sea surveys, and approximately 50 n.mi for the  
332 others.

### 333 **Correlation before/during/after trawl**

334 *Integrated NASC for mid-water layers (Fig. 8a) and for bottom layers (Fig. 8b)*

335 All the cumulative histograms of the relative differences were symmetrical with a  
336 narrow mode around zero indicating that in half of the cases NASC values were larger  
337 during trawling than before and after. Empirical c.d.f. were visually highly consistent for  
338 a given survey series; the differences between them being larger between than within  
339 survey series. The empirical c.d.f. between the quantiles 25 and 75% were highly  
340 consistent. Differences were observed in the distributions' tails only. There was no  
341 evidence of the relative differences *during - before* and *during - after* having a  
342 systematically higher or lower spread than those obtained for randomly selected data. For  
343 bottom layers and for all surveys (Fig. 8b), NASC integrations were on average higher  
344 during the tow than before or after. However, these means were not significantly different  
345 from 0 in most cases (two p-values out of ten below 0.1). Interestingly, the differences  
346 between randomly selected off-station data showed the same symmetrical and skewed  
347 distributions and were considered equal to 0 for all but two cases as well. The picture was  
348 somewhat different for the mid-water layers where the NASC values were alternatively  
349 smaller and larger during trawling than before or after. This, however, was rarely  
350 statistically significant (two p-values out of ten below 0.1). Here again, the average



351 differences between randomly selected off station data were considered not equal to 0 for  
352 two cases.

353 *Differences in altitudes of the centre of mass for mid-water layers (Fig. 9a) and for*  
354 *bottom layers (Fig. 9b)*

355 Differences in altitudes of the centre of mass from NASC values showed weaker  
356 tails and weaker modes than the integrated NASC values did resulting in similar medians  
357 and means. For the "bottom" layers (Fig. 2), the majority of the observed differences  
358 were less than 1 meter. In only one case (FRS) did the differences *during – before* and  
359 *during – after* show empirical distributions shifted towards lower values compared to that  
360 of the reference situation. Despite the fact that the mean of the latter was significantly  
361 different from zero, this was the sole case where we observed a reduction of the mean  
362 height of the acoustic energy associated to trawling activities. None of the other cases  
363 indicated an impact of trawl presence: average differences were alternatively positive or  
364 negative, the proportion of p-values smaller than 0.1 was similar for cases with the trawl  
365 and without, and the differences between empirical c.d.f. were larger between survey  
366 series than within. Interestingly, the *during – before* and *during – after* trawling  
367 differences observed in the Barents Sea surveys were more concentrated around zero than  
368 the differences observed where no trawl was in the water: variations in vertical  
369 distributions were thus smoothed when the trawl was present.

## 370 **Discussion**

371 With the final goal to combine acoustic and catch data, which was not considered  
372 in this study, we examined the hypothesis that acoustic data collected away from the  
373 trawl stations were consistent with those collected during the trawling operations. Rather

374 than examine one survey with a particular format, we chose to study a series of different  
375 surveys ranging from the Barents Sea to the North and Irish Seas, to attempt to identify  
376 broad trends in this type of data. The major differences between the data sets were the  
377 numbers of data points available on-station, and the proportion of stations connected with  
378 acoustic transects. The Barents Sea surveys included between 200 and 300 trawl stations  
379 per survey, whereas in the North and Irish Seas surveys included between 13 and 80  
380 stations. IBTS data were only taken in daylight hours, with the last station of the day and  
381 the first one of the following day not being connected by acoustic transects. As a  
382 consequence, relationships between on-station and between-station observations are  
383 likely to be more apparent for the Barents Sea than for any of the other surveys.

384         The first type of analysis was a straightforward global comparison using all the  
385 available data, for the pooled NASCs by layers for the on-station and between-station  
386 data. The general pattern was broadly consistent across all the surveys. The bulk of the  
387 acoustic energy was found in the deepest layers in the water column: the back-scattering  
388 energy reduces exponentially as the range from the seabed increases and then stabilises  
389 somewhere between 5 m and 10 m off the bottom. More importantly, the pattern is  
390 similar for both on-station and between-station data. Where differences occurred, they  
391 were not systematic as on-station integrated values could be both greater or less than  
392 between-station data. Furthermore, where deviations from the general pattern occurred in  
393 a particular survey, they were seen in both on-station and between-station data.

394         The Global Indices of Collocation (GICs) confirmed the subjective appraisal of  
395 the vertical profiles. To help interpretations, GICs were computed for simulated fish  
396 distributions (isotropic Gaussian fish density with fish density being set to zero for

397 densities below the 5% quantile). From this simulation, it was concluded that a GIC  
398 between 0.6 and 0.8 could be considered as a low value and a threshold of 0.8 might be  
399 adopted as a minimum value for a good match (Fig. 10). For the bottom layers, only one  
400 survey out of twenty showed a poor match, and this had low station numbers ( $N^o = 46$ ).  
401 Slightly poorer results were obtained for the mid water layers, with three out of the  
402 twenty surveys having low GIC values. NASC values were generally much lower in the  
403 mid water layers and also much more variable so this outcome is not surprising.

404         The variograms allowed a more detailed study of the spatial structures associated  
405 with the on-station and between-station data. For the Barents Sea data, the relatively high  
406 number of stations allowed the generation of good quality variograms for on-station and  
407 between-station data. These variograms were highly similar. For the other surveys, the  
408 variograms were less well behaved, reflecting the smaller number of samples relative to  
409 the sampling area and the large skewness of the data. However, they were also similar,  
410 provided that some extreme values were removed in two cases. Variograms were  
411 considered relative to their variances; we only compared their shapes. The variance of the  
412 between-station data was often larger than the variance of the on-station data because the  
413 chances of encountering rare extreme fish concentrations is higher with several thousands  
414 samples than with a few dozen or a few hundred samples (Bouleau and Bez, 2005). Still,  
415 the strong similarity in the shapes of the variograms, would allow using the spatial  
416 structures depicted by the between-station data (rescaled to the on station data variance)  
417 to obtain a variogram model usable for the purpose of quantitative estimation. It is worth  
418 reiterating here, that the variograms were computed with log-transformed data. This non  
419 linear transformation induces bias and the variograms obtained here can not be directly

420 used for estimation purposes. Both the log-transformation and the selection of a certain  
421 quantile (97.5 or 98%) of the data, aim to reduce the impact of the extreme data. This is  
422 not at odds with the fact that most of the total abundance is explained by a very small  
423 proportion of data. As a matter of fact, it is usually agreed that fish data behave like log  
424 normal variables. When simulating a lognormal variable, the likelihood of getting an  
425 extreme value increases with the number of samples. Therefore, we could not have  
426 expected on-station data to sample the tails of the distributions with the same accuracy as  
427 the between-station data, the latter being much more numerous than the former. In  
428 addition, the impact of few extreme values on empirical variograms is known to be large  
429 and not meaningful for the comparative exercise we did in this study. In other words,  
430 what made between-station variograms different from the on-station variograms was only  
431 the occurrence of extreme rare data. The bulk of the observations had spatial distributions  
432 that matched well.

433         The final step in the analysis, was to examine the relationship between on-station  
434 and between-station data in the areas close to each haul. For this comparison we only  
435 used the most adjacent between-station data to each haul. However, given the survey  
436 protocol, a small but non-zero distance existed between observations made before, during  
437 or after trawling. To disentangle how much of the observed differences originated from  
438 the distance between the observations and from a possible trawl effect respectively, we  
439 bootstrapped between-station data to serve as a reference situation for the comparisons.  
440 We found that both before and during trawling data, and during and after trawling data  
441 were, with one exception, not more different than two successive randomly selected  
442 between-station data (the distributions of their differences are strongly similar). The

443 statistical approach is designed so that under H<sub>0</sub>, 10% of the p-value are below 0.1. In  
444 this study, 25% (10 out of 40) of the p-values obtained when testing on station data with  
445 adjacent ones were smaller than 0.1 (6 times for the *during – before* differences and 4  
446 times for the *during – after* differences). Contrary to expectation, this proportion was  
447 35% (7 out of 20) for the so-called reference situations provided by the bootstrapped  
448 between-station data. The null hypothesis that the average difference in biomass or in  
449 height of the centre of mass for observation made before, during or after trawling was  
450 thus acceptable.

451 Most critically for the purposes of this analysis, the inference supported by all the  
452 results is that we see similar energy values on-station and between stations, suggesting  
453 that we were observing the same fish assemblages in the two situations. However, there is  
454 some evidence in the literature of fish reaction to research vessels during trawling (e.g.  
455 Godø et al. 1999; Handegard et al. 2003). Reactions can be both vertical, as in diving, or  
456 horizontal, as in moving out of or towards the path of the trawl. We shall distinguish  
457 between gear and vessel induced reactions. In the Barents Sea for instance, Handegard et  
458 al. (2003) showed that the fish present in the 40 first metres above the sea bed, exhibit a  
459 slight diving reaction to the vessel passing and a marked horizontal reaction to the warp.  
460 Given the mean depths of the study areas, the distances between the acoustic beam  
461 beneath the vessel and the trawl, ranged from 100-200 m for Irish Sea and North Sea to  
462 more than 500 m for the Barents Sea (Fig. 11). It is likely though that if the gear does not  
463 perturb the fish distribution long in advance (long with regards to the above mentioned  
464 distances), on-board mounted echo sounders can only reveal vessel perturbations. In such

465 a case, the only expected perturbation comes from the vessel which is running both  
466 between-station and on-station, the two situations are therefore comparable.

467 We shall also distinguish reactions that lower fish acoustic densities from  
468 reactions that increase them. Fish diving would tend to increase fish biomass in the  
469 metres above the sea bed. It would also tend to increase tilt angle and hence reduce target  
470 strength (MacLennan et al. 1987; McQuinn and Winger 2003; Kloser and Horne 2003).  
471 Fish may also move into the acoustic dead zone (Ona and Mitson 1996; Lawson and Rose  
472 1999) and be inaccessible to the echosounder. In the present study, the statistically non-  
473 significant but systematic stability or increase of NASC value in the “bottom” layers  
474 during trawling is associated neither to a corresponding systematic decrease of NASC  
475 values in the “mid-water” layers, nor to a change in height of the mean energy in any of  
476 the “bottom” or “mid-water” layers. This suggests that none of the above mentioned gear-  
477 avoidance behaviours are operating in the study situations and that the area of influence  
478 of gear perturbations are, on average, less than the trawl to vessel distances. This does not  
479 suggest that trawl perturbations do not exist, but rather that they can not be observed with  
480 on-board mounted echo sounders. In particular, gear perturbations were considered to  
481 explain the lack of correlations observed between the acoustic signal and catch data or  
482 why the highest correlations between acoustic and trawl catches were obtained after  
483 acoustic data were integrated over a greater depth than that of the headline height of the  
484 trawl (Bouleau et al. 2003, Hjellvik et al. 2003).

485 In conclusion, the acoustic data collected between trawl stations were consistent with the  
486 acoustic data collected on stations. Overall, there was good agreement between the two  
487 data sets while there were some exceptions in some individual survey series. Poor

488 matches could be explained by the sparseness and the skewness of the corresponding  
489 data. The Barents Sea case shows what can be achieved for ‘bottom’ layers with a more  
490 substantial data set, where in all cases the on-station and between-station data were  
491 consistent for all indicators and methods. In this case, the correlation between catch data  
492 and on-station acoustics data is high, making it possible to use between-station acoustics  
493 to enhance the quality of trawl survey abundance indices.

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576

577 **List of figures**

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591 **Figure 3.** Vertical profiles of acoustic backscattering. Barents Sea survey (1997-2002).  
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617

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627

628 **Figure 8** Difference between the vertically integrated Nautical Area Scattering  
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631 ( $\square$ ). The mean difference is indicated by the symbols and cumulative distribution of the  
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637

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646 are indicated: solid symbols represent values smaller than 0.1.

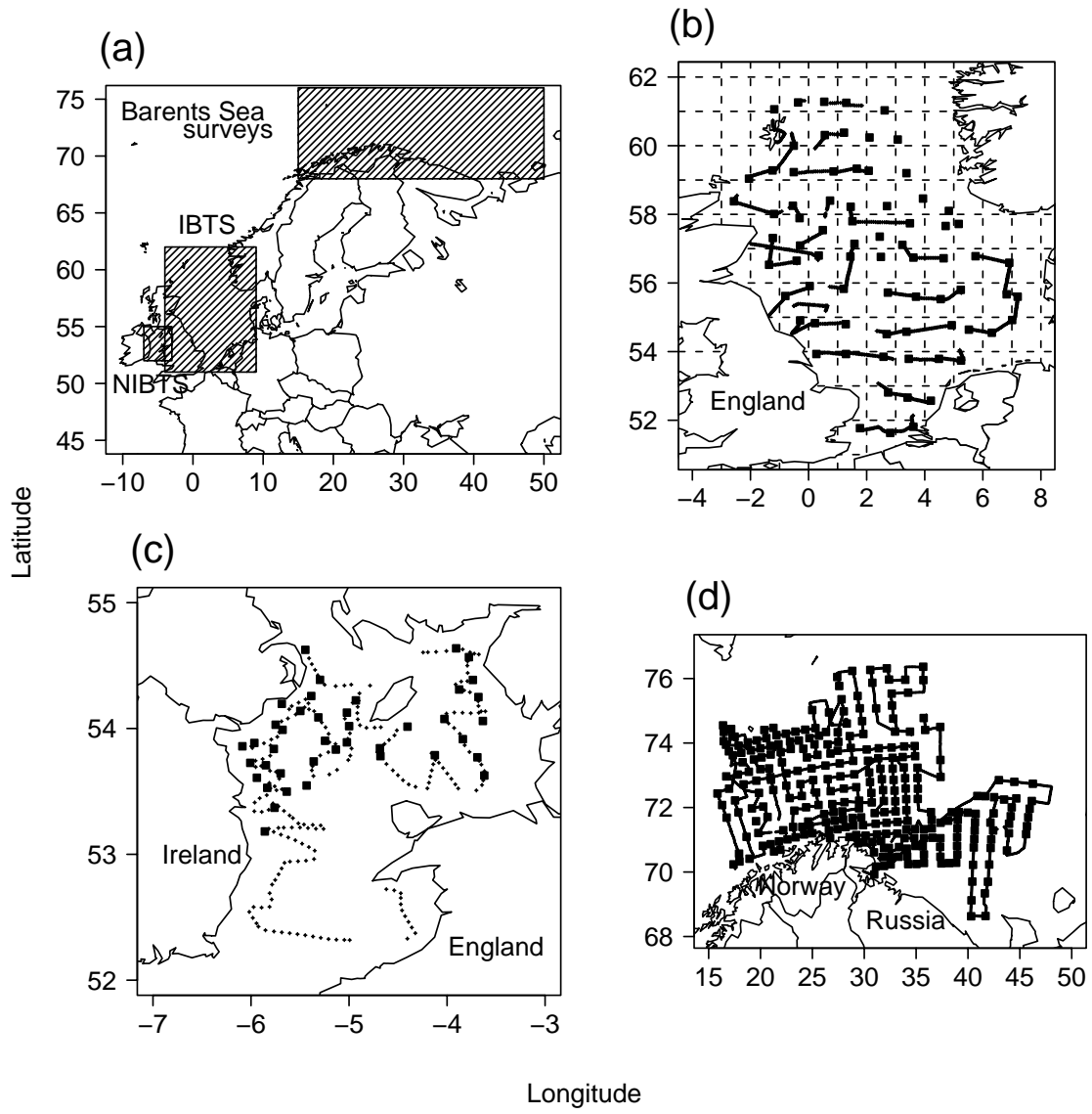
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648 **Figure 10** Global Indices of Collocations (GICs) for simulated situations. Fish  
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650 distribution with fish density being set to zero for densities below the quantile 5%. Two  
651 types of fish populations are concerned (patchy or spread). Several possible distances  
652 between the centres of mass are concerned.

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654 **Figure 11** Scale representation of the observation protocol. North Sea and Irish Sea  
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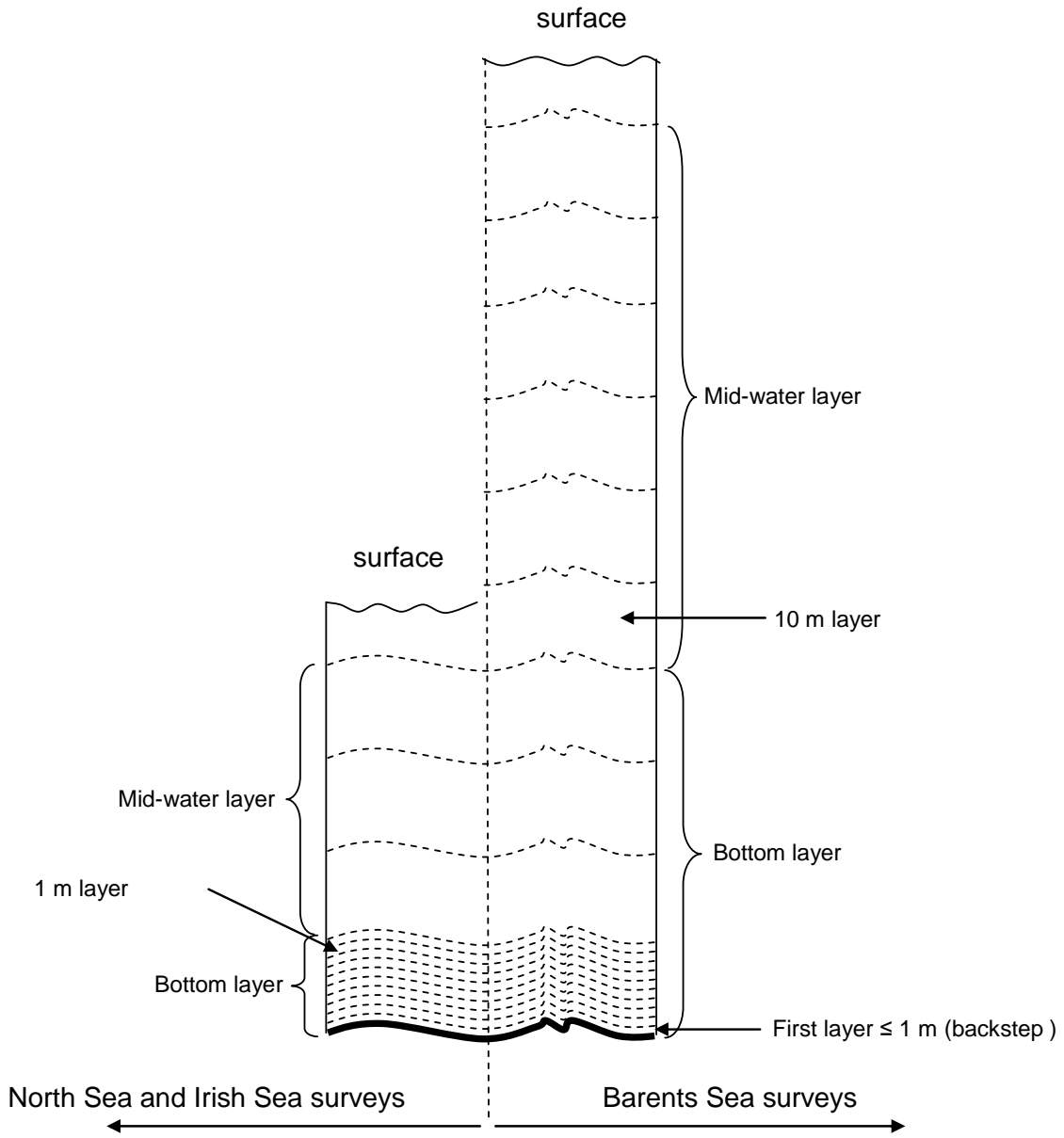
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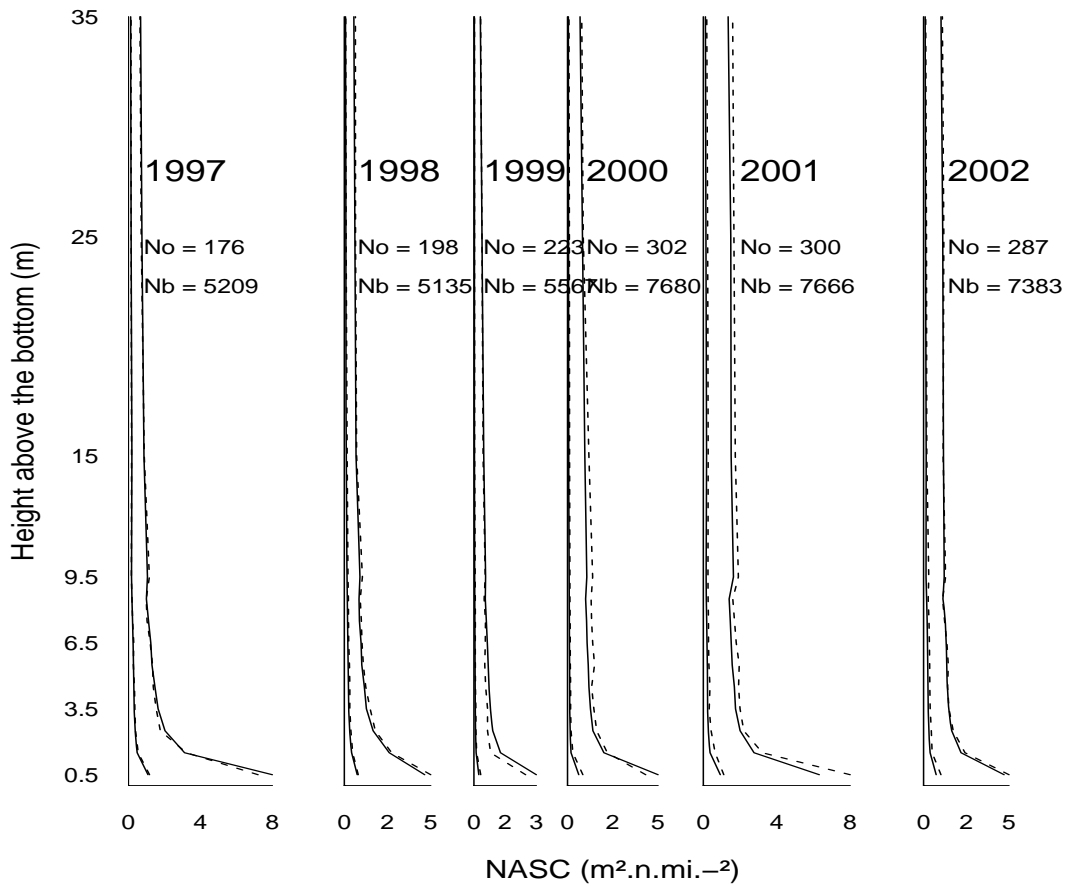


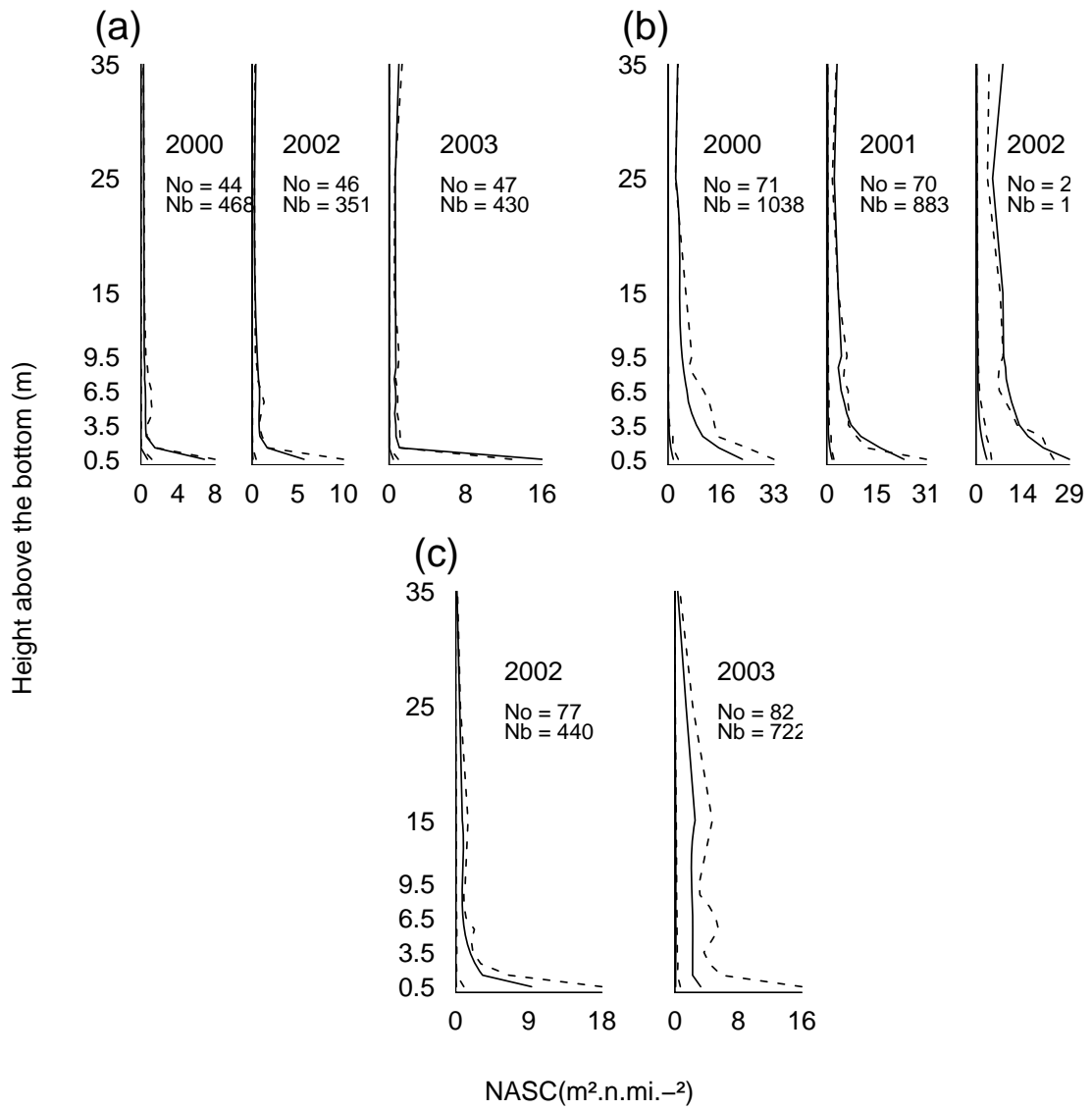
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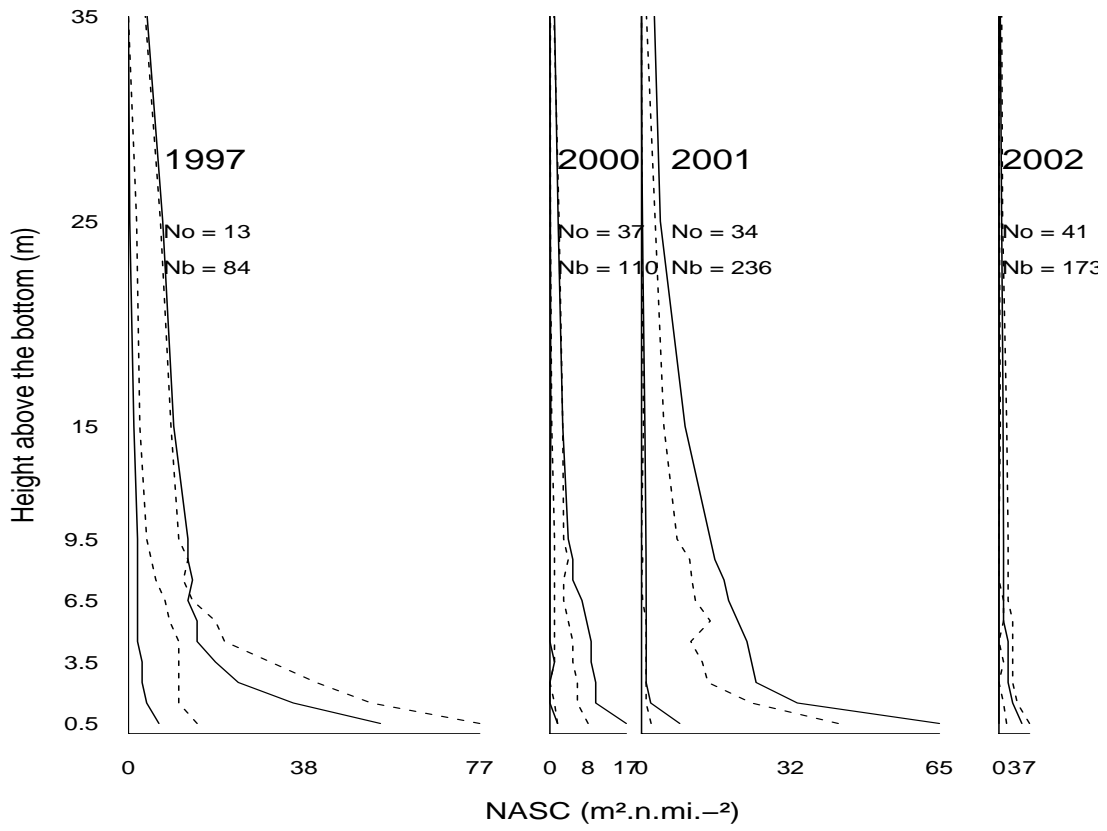
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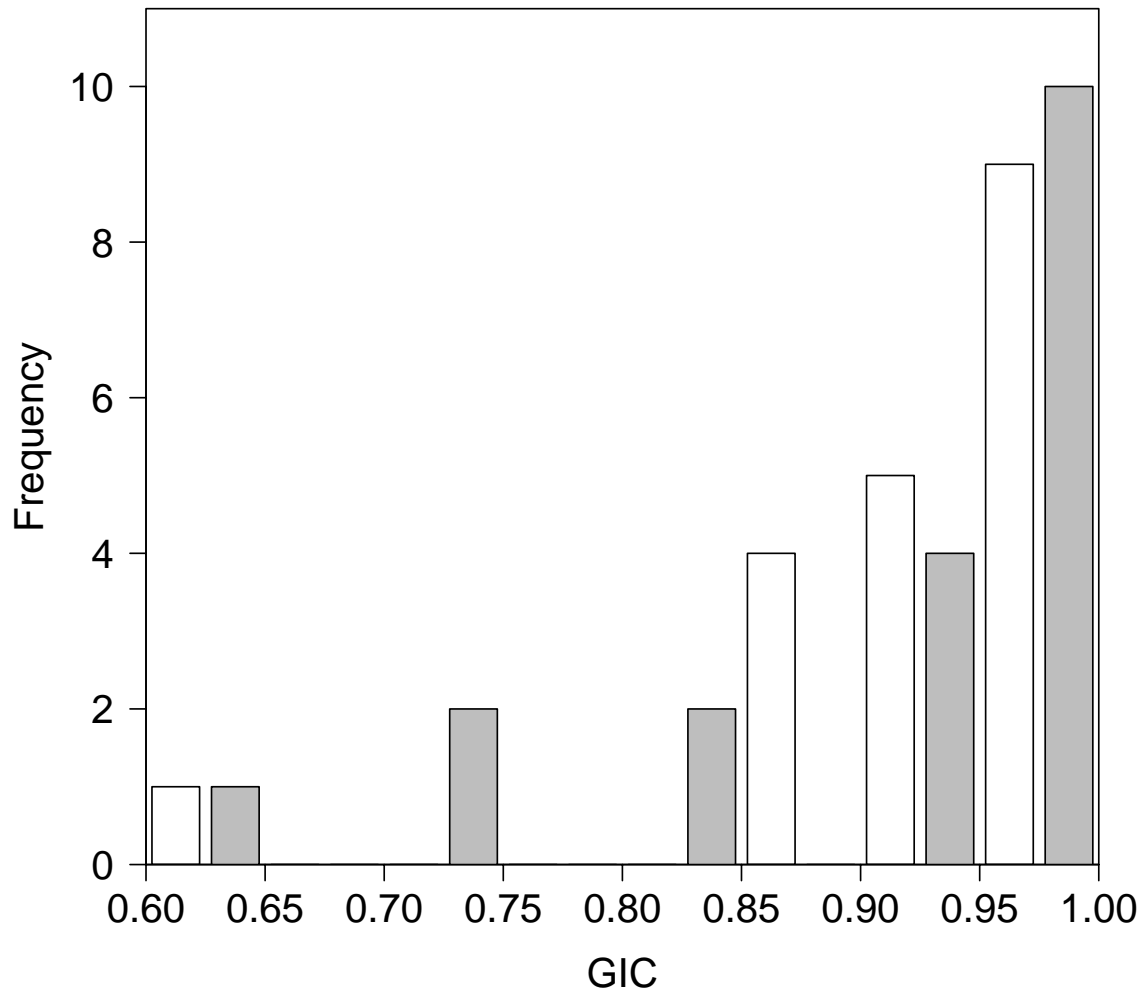


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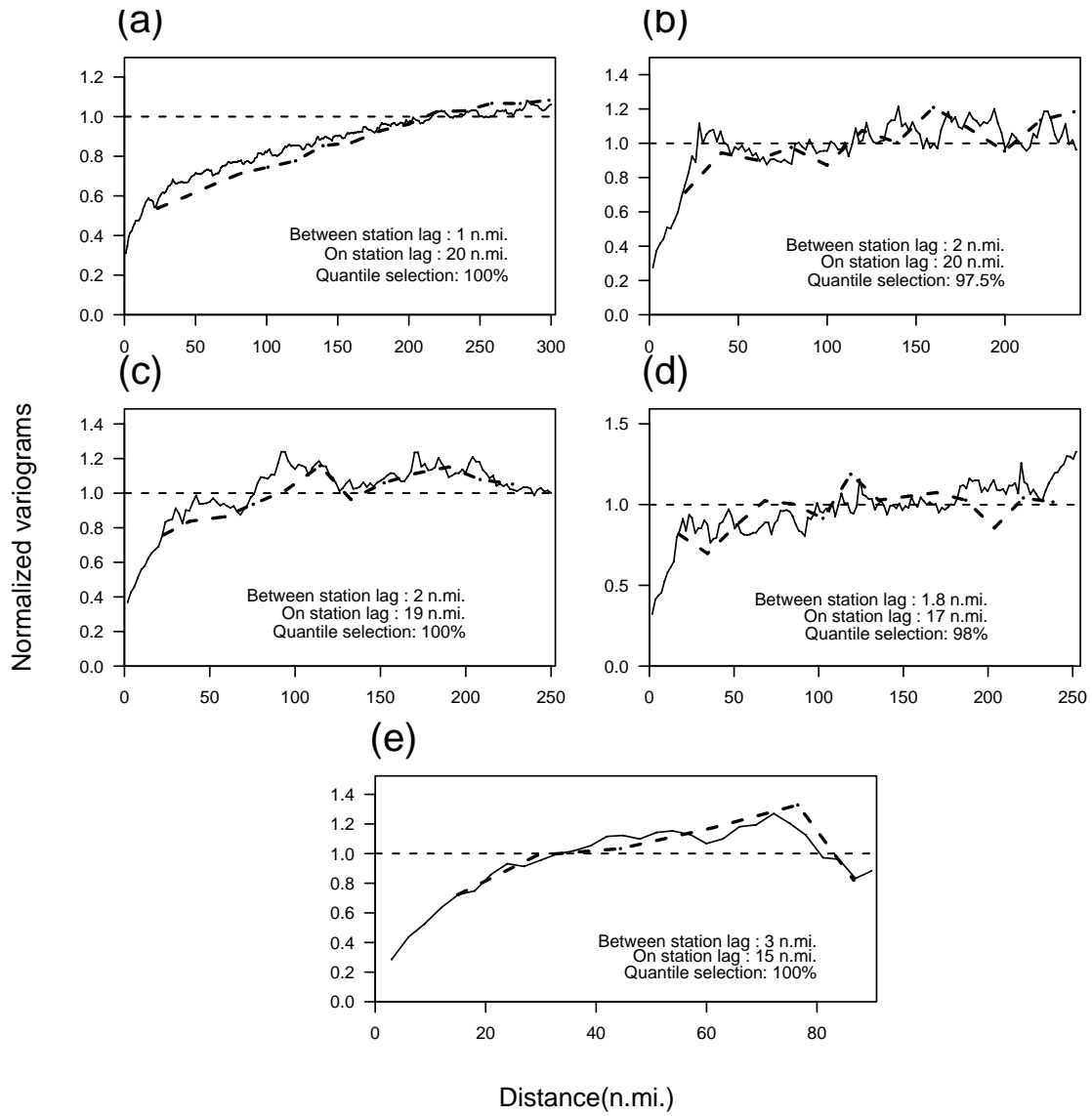
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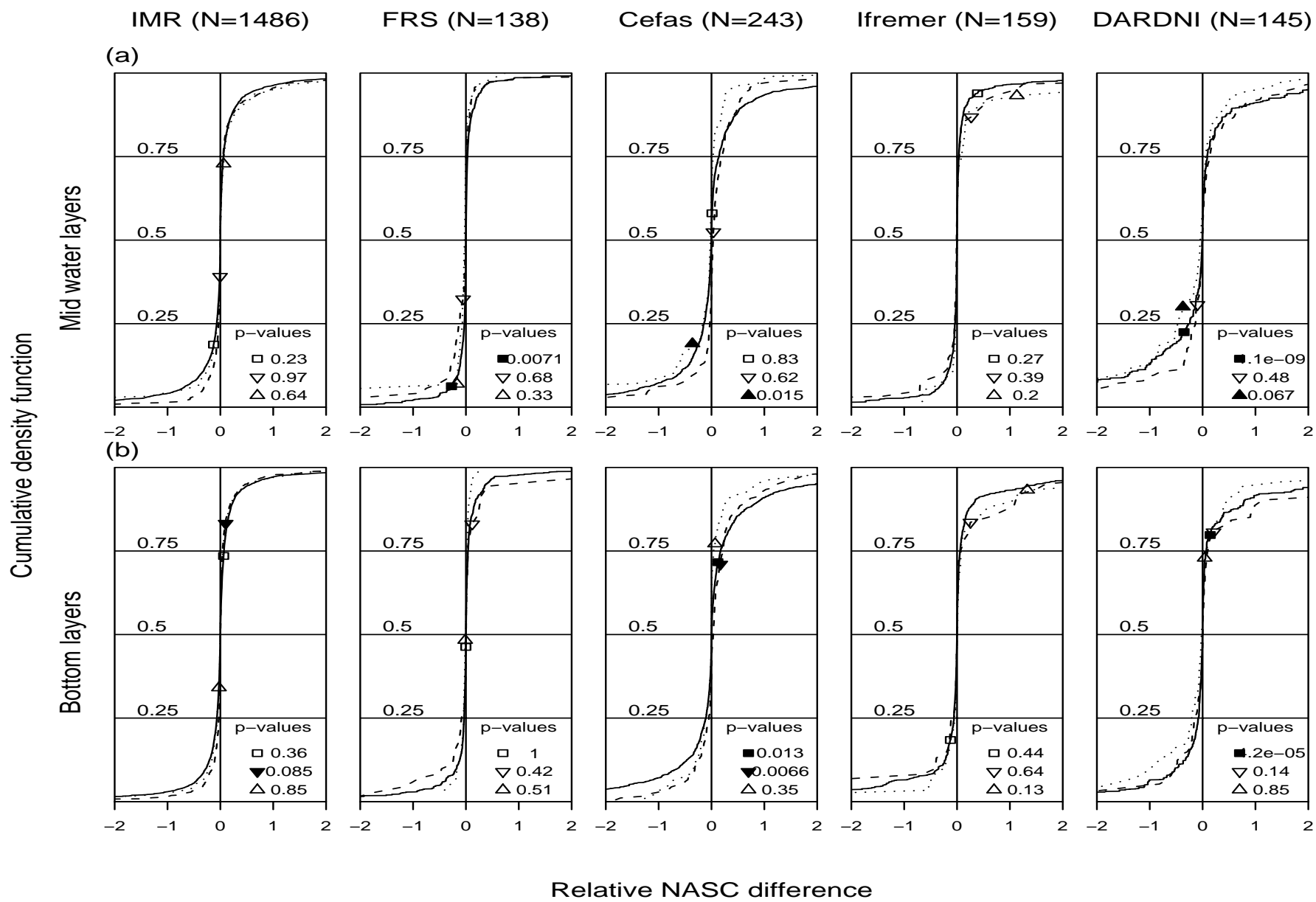


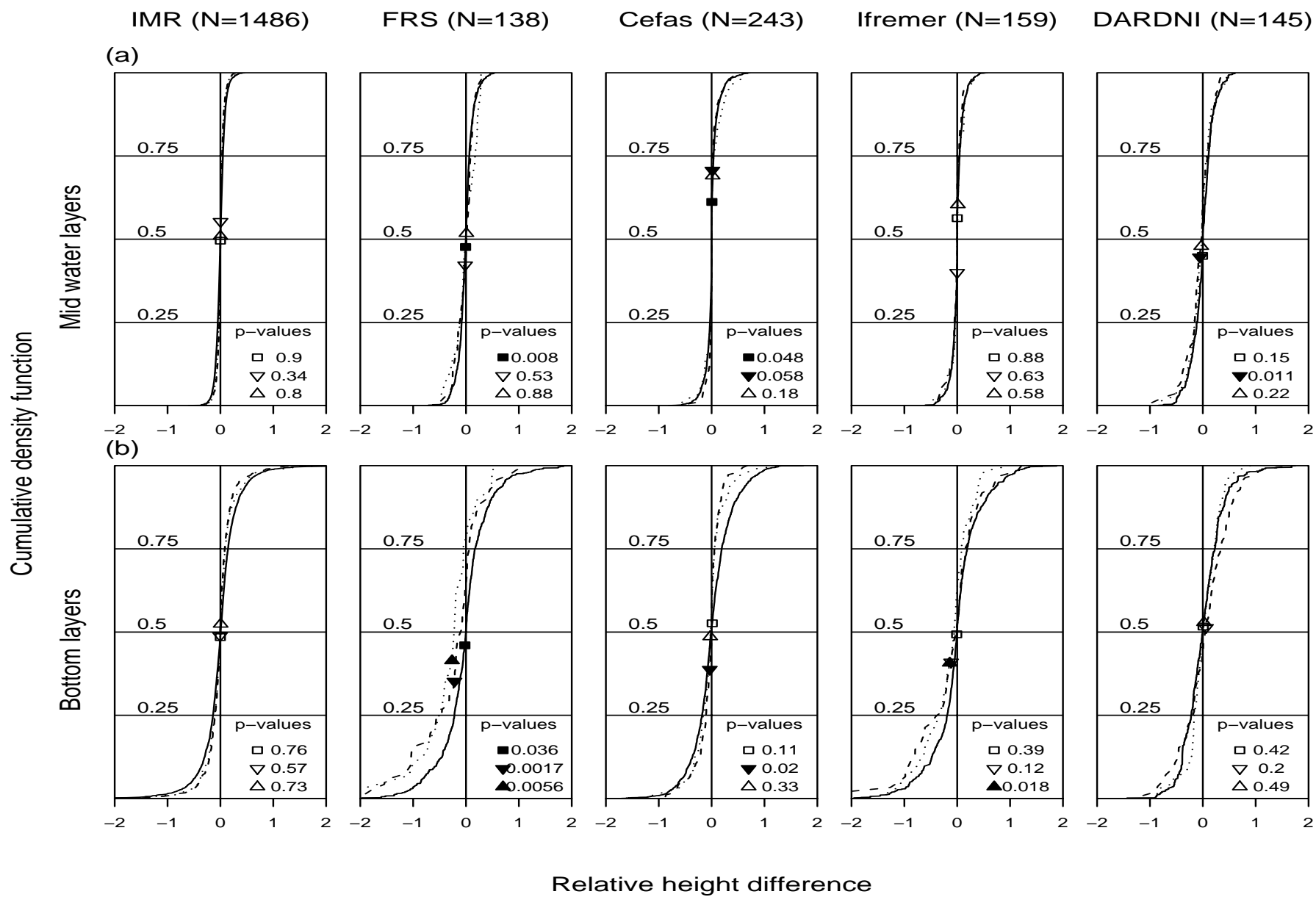
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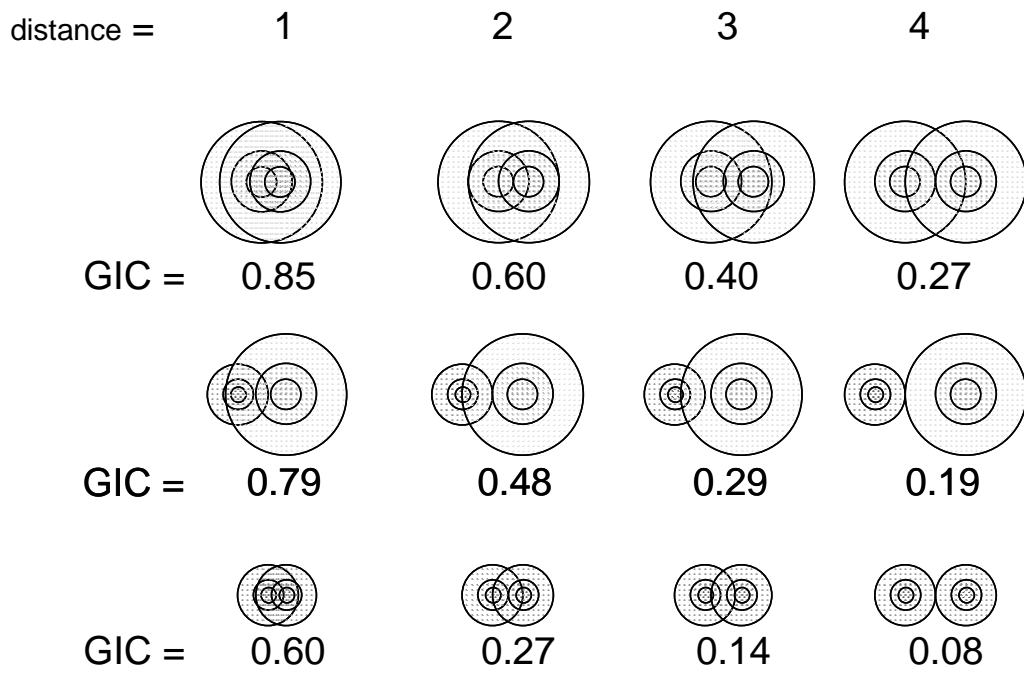
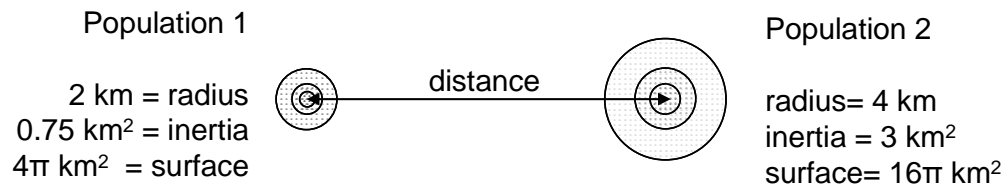


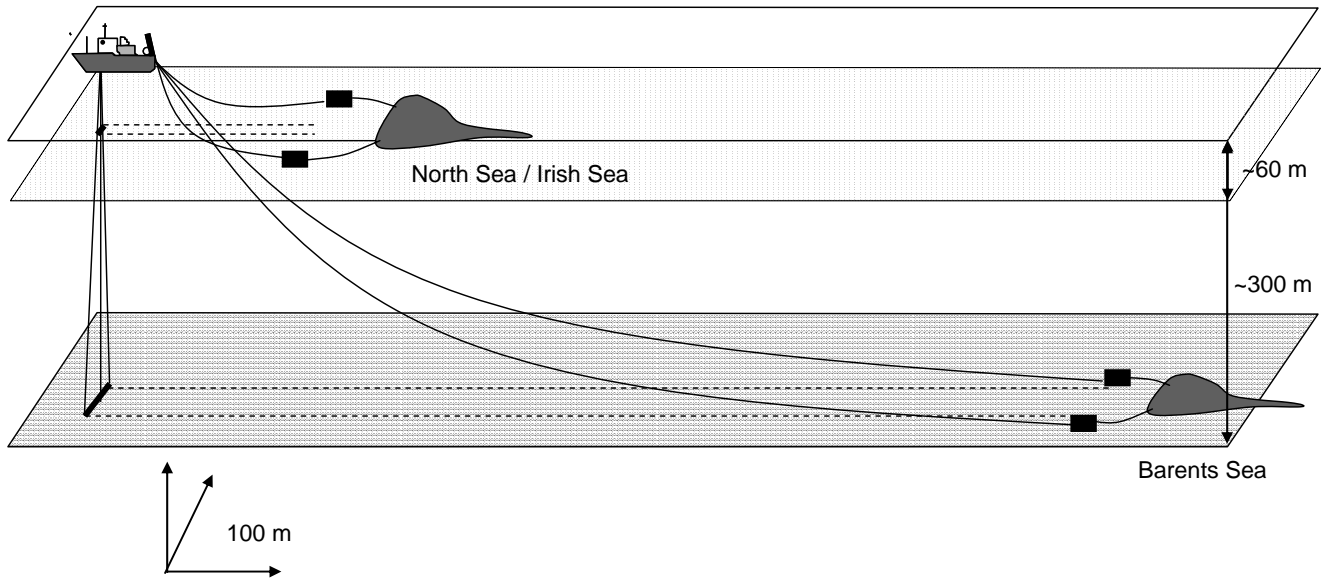














**Table 1.** Main characteristics of the various surveys used in the analyses. ESDU : Elementary Sampling Distance Unit

| Area           | Source  | year | month | Number of<br>stations | Mean                      | Original           | Number of | Height used to                                 | depth range<br>(m) | GIC                        | GIC                  |
|----------------|---------|------|-------|-----------------------|---------------------------|--------------------|-----------|--|--------------------|----------------------------|----------------------|
|                | Survey  |      |       |                       | towed distance<br>(n.mi.) | ESDU<br>(in n.mi.) |           | between station data<br>(after regularization) |                    | split vertical<br>profiles | ''bottom''<br>layers |
| Barents<br>Sea | IMR     | 1997 | 02-03 | 176                   | 1.50                      | 1                  | 5209      | 40   | 143 – 699          | 0.98                       | 0.95                 |
|                | IMR     | 1998 | 02    | 198                   | 1.53                      | 1                  | 5135      | 40   | 63 – 720           | 0.9                        | 0.85                 |
|                | IMR     | 1999 | 01-02 | 223                   | 1.49                      | 1                  | 5567      | 40   | 104 – 480          | 0.99                       | 0.97                 |
| North Sea      | IMR     | 2000 | 01-02 | 302                   | 1.42                      | 1                  | 7680      | 40   | 58 – 550           | 0.98                       | 0.99                 |
|                | IMR     | 2001 | 01-03 | 300                   | 1.49                      | 1                  | 7666      | 40   | 55 – 487           | 0.97                       | 0.96                 |
|                | IMR     | 2002 | 01-03 | 287                   | 1.44                      | 1                  | 7383      | 40   | 63 – 542           | 0.98                       | 0.98                 |
|                | FRS     | 2000 | 01-02 | 44                    | 1.8                       | 0.5                | 468       | 10   | 45 – 150           | 0.6                        | 1                    |
|                | FRS     | 2002 | 01-02 | 46                    | 2.01                      | 0.5                | 351       | 10   | 48 – 144           | 0.89                       | 0.74                 |
|                | FRS     | 2003 | 01-02 | 47                    | 1.98                      | 0.5                | 430       | 10   | 49 – 150           | 0.9                        | 0.98                 |
|                | Cefas   | 2000 | 08-09 | 71                    | 1.98                      | 0.5                | 1038      | 10   | 24 – 178           | 0.99                       | 0.99                 |
|                | Cefas   | 2001 | 08-09 | 70                    | 2.01                      | 0.5                | 883       | 10   | 24 – 211           | 0.99                       | 0.84                 |
|                | Cefas   | 2002 | 02    | 23                    | 1.98                      | 0.5                | 1140      | 10   | 24 – 84            | 0.93                       | 0.97                 |
| Irish Sea      | Ifremer | 2002 | 02    | 77                    | 1.83                      | 0.1                | 440       | 10   | 9 – 88             | 0.9                        | 0.95                 |
|                | Ifremer | 2003 | 02    | 82                    | 1.89                      | 0.1                | 722       | 10   | 14 – 90            | 0.93                       | 0.75                 |
|                | DARDNI  | 1997 | 10    | 13                    | 3.00                      | 0.5                | 84        | 10   | 25 – 103           | 0.98                       | 0.91                 |
|                | DARDNI  | 2000 | 3     | 37                    | 2.90                      | 0.5                | 110       | 10   | 26 – 106           | 0.99                       | 0.95                 |
|                | DARDNI  | 2001 | 10    | 34                    | 2.70                      | 0.5                | 236       | 10   | 23 – 90            | 0.94                       | 0.99                 |
|                | DARDNI  | 2002 | 3     | 41                    | 2.85                      | 0.5                | 173       | 10   | 24 – 102           | 0.93                       | 0.98                 |

